Background

It is understood that it is not possible to eliminate all information security risk from an organization. The University of Cincinnati (UC) is committed to mitigate risk to a level that is prudent or that would be acceptable to a “reasonable person”.

It is therefore the general policy of UC that all organizations are required to take steps to reduce risk, as it pertains to information security, to a level established as best practice.

Where an organization elects not to institute a control or process to reduce the risk any further and they feel that there is still a question as to whether the risk they are going to leave in place is reasonable, the associated risk or vulnerability left unaddressed must be clearly communicated, documented and accepted by UC leadership and/or their designee.

Policy

- All organizations within the University of Cincinnati are required to follow information security best practices and IT@UC policies with respect to the mitigation of risk, except where there exists a strong business reason to exempt an organization from a particular recommendation or practice.
- Any such information security risk exception must be documented by the owning department and approved by the Business Owner (dean, vice president or designee), and is then forwarded to the IT@UC Office of Information Security (OIS) Assistant Vice President or designee for review and approval/denial, or escalation to senior management if required.
- The approval will be granted or denied via the completion of the Risk Acceptance Form (RAF) found on the OIS website. See Appendix for a further explanation of what information is required to be provided on the RAFs.
- OIS is responsible for the maintenance of the RAFs as they pertain to information security. The business owner (dean, vice president or designee) is ultimately responsible for the risk, and by signing the RAF is accepting that responsibility.
Appendix

RAF Field Descriptions

Information required in the RAF fields for the RAF owner to complete are listed below:

- **Name, Title, and Department of Originator:** This should be completed by the person originating the request who is knowledgeable about the risk

- **Summary of Request:** Discuss specifics of the risk, including what OIS Policy exceptions are required. Include as much detail as possible as it applies, such as:
  - Host names
  - I.P. addresses (and if dynamic)
  - Device locations/jack numbers
  - Additional devices on the same subnet
  - Whether devices are located on the network
  - Type of user accounts that have access and if any are shared
  - When you expect the risk will be mitigated or device will be upgraded/replaced to eliminate the risk

As well as any other pertinent information to document this risk.

- **Summary of How Doing This Will Put UC at Risk:** What risk does this cause to UC? If there are known risks left unmitigated, list them here.

- **Benefits of Accepting This Risk:** Explain why accepting this risk would be beneficial to the department/university.

- **Summary of Information Security Controls:** Describe the technical and procedural controls implemented to address the risk above, and if they are documented. Such as, how are you going to minimize or mitigate the risk this solution causes, i.e. VLAN, Two-Tier Firewall, or any other ways you are preventing systems from being compromised? If you are not putting any controls in place simply say “None”.

- **After Controls what is the remaining Risk:** Describe the type and magnitude of remaining vulnerabilities/risks after controls have been implemented.

- **Business Owner (Dean, Vice President or designee) Decision:** This page must be completed by a university Dean, Vice President or designee. They should make a selection and sign and print their name.
Contact Information

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History

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