Information Security and Compliance Committee
Meeting Minutes
University Hall, Room 420B
10:00 AM – 11:30 PM
June 17, 2015

Present: Brett Harnett, David Baker, Lorre Ratley, Karen Kovach, Gary Grafe, Jason Green, Angela Sklenka, Matt Williams, Jane Combs, Todd Beekley, Mark Stockman, Tara Wood

Apologies: Olivia Dulle, Tom Robinette, Mel Sweet, Kevin Shaffer, Jeff Corcoran

Minutes: Brett Harnett motioned the committee to accept the minutes; Jane Combs seconded the motion. The committee voted unanimously to accept the minutes with no changes.

New Business
• Welcome and Overview – Bo Vykhovanyuk
  o Bo asked for introductions for the new members.
• Information Security Overview – Matt Williams
  o CIA Triad - Confidentiality, Integrity, Availability (emphasis on availability)
  o Enterprise Risk Management
    ▪ Unclassified technical data
    ▪ Address risk to University
    ▪ Identify assets and then risks
    ▪ Identify and protect export control/restricted research
    ▪ Data dispersed from central systems
  o Enterprise Shared Services
    ▪ Storage (Isilon is UC enterprise storage)
    ▪ Marketing and Communications
    ▪ Collaboration/Intranet (CQ)
    ▪ Task Management (Team Dynamix, Footprints)
    ▪ Educational Awareness (HIPAA training, etc.)
    ▪ Application Level Security
  o Jane requested an OIS employee to attend a future R&D meeting to review policies.
  o Bo wants to create a policy framework targeted for researchers.
- Jane is forming a joint Data Governance subcommittee with John Kennedy and Tara Wood from IS&CC, along with others from the R&D committee.

**Export Controls Update**
- Provide guidance and identify countries with export control restrictions.
- In general, countries listed (North Korea, Sudan, Syria, and Iran) are under a strict embargo, but Tara Wood will clarify this for the committee at next meeting.
- Data presented were from the last 30 days.
  - Bo plans to collect additional data for another 30 days to have a clearer picture of how much data is really being transmitted to and from restricted countries.
- Cuba has been taken off the restricted list.
- May need to add Crimea/Russia.
- UC will be in violation of federal law if it does not stop data transmissions to the restricted countries.
- Each country has its specific level of data restrictions.
- Office of Export Control is the ultimate decision maker on the data restrictions, but they work closely with IOS.
- Restrictions may also depend on the type of data that is being transmitted (email messages, collaborative research, etc.)
- Policies are being developed in accordance with federal laws.
- Methods are being developed to communicate to the users who are sending data to restricted countries.

*(Note: Bo gave the update for Tara Wood because the remote conference link she planned to use wasn’t available until late in the meeting.)*

**IT Architecture Principles Update**
- The IT Architecture Principles document has been endorsed by the IT Managers Committee and the other three (3) governance topical committees as guidelines only (not to be confused with policies).
- Several members of this committee have concerns that the document written as guidelines will be mostly ignored.
- One option discussed is to convene a task force to develop policies in accordance with the guidelines.
  - Task force should include members from OIS, Enterprise Architecture Committee, and Internal Audit.
- Core Services and Shared Infrastructure committee plans to take the IT Architecture Principles as written to IT Council for approval in the July 22, 2015 meeting.

**Adjourn**
The committee adjourned at 11:35 am.