



Update on UCPD Compliance

**Q6/2nd Quarter 2018:
April 1 – June 30**

Report Issued **Aug 21, 2018**

Presented to
The Audit and Risk Management
Committee



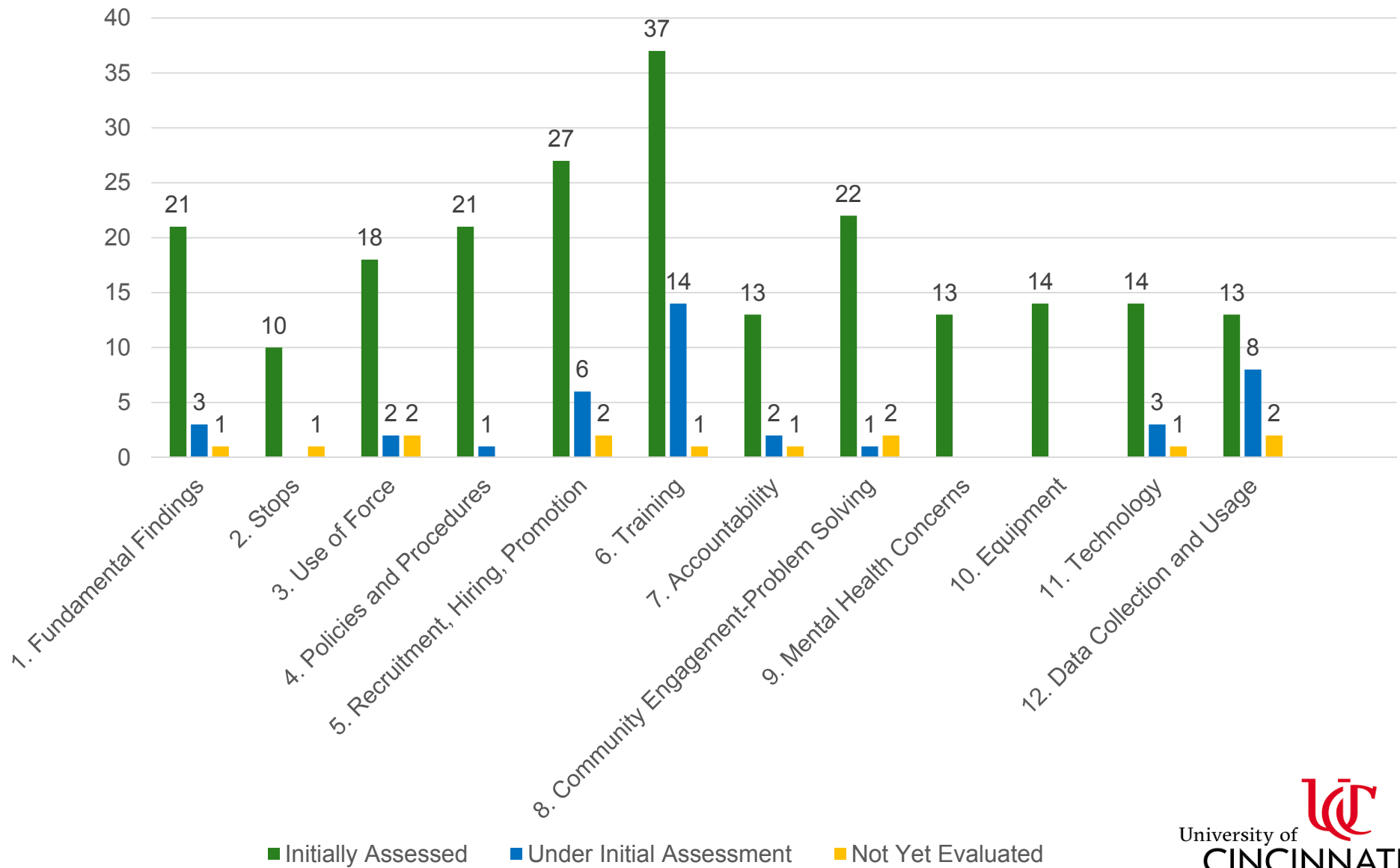
Overall Assessment Status



- Initial Assessment of 223 of the 276 (81%) Exiger Recommendations
- Substantial Compliance: 211 of 276 (76%) ERs
- No Further Evaluation: 121 of 276 (44%) ERs
- Partial Compliance: 8 ERs (3%)
- Determination Withheld: 3 ERs (1%)
- Non-Compliant: 1 (less than 1%)
- Under Assessment (Q7): 78 ERs, to include 40 initial assessments
- Not yet evaluated: 13 ERs (5%)
- Total Assessments: 313 *Note that some ERs have been assessed more than once*

2017		2018		2019	
127 ERs Assessed in 1st Bi-annual Period	109 ERs Assessed in 2nd Bi-annual Period	77 ERs Assessed in 3rd Bi-annual Period			
Q1: 54	Q3: 67	Q5: 44			
Q2: 73	Q4: 42	Q6: 33			

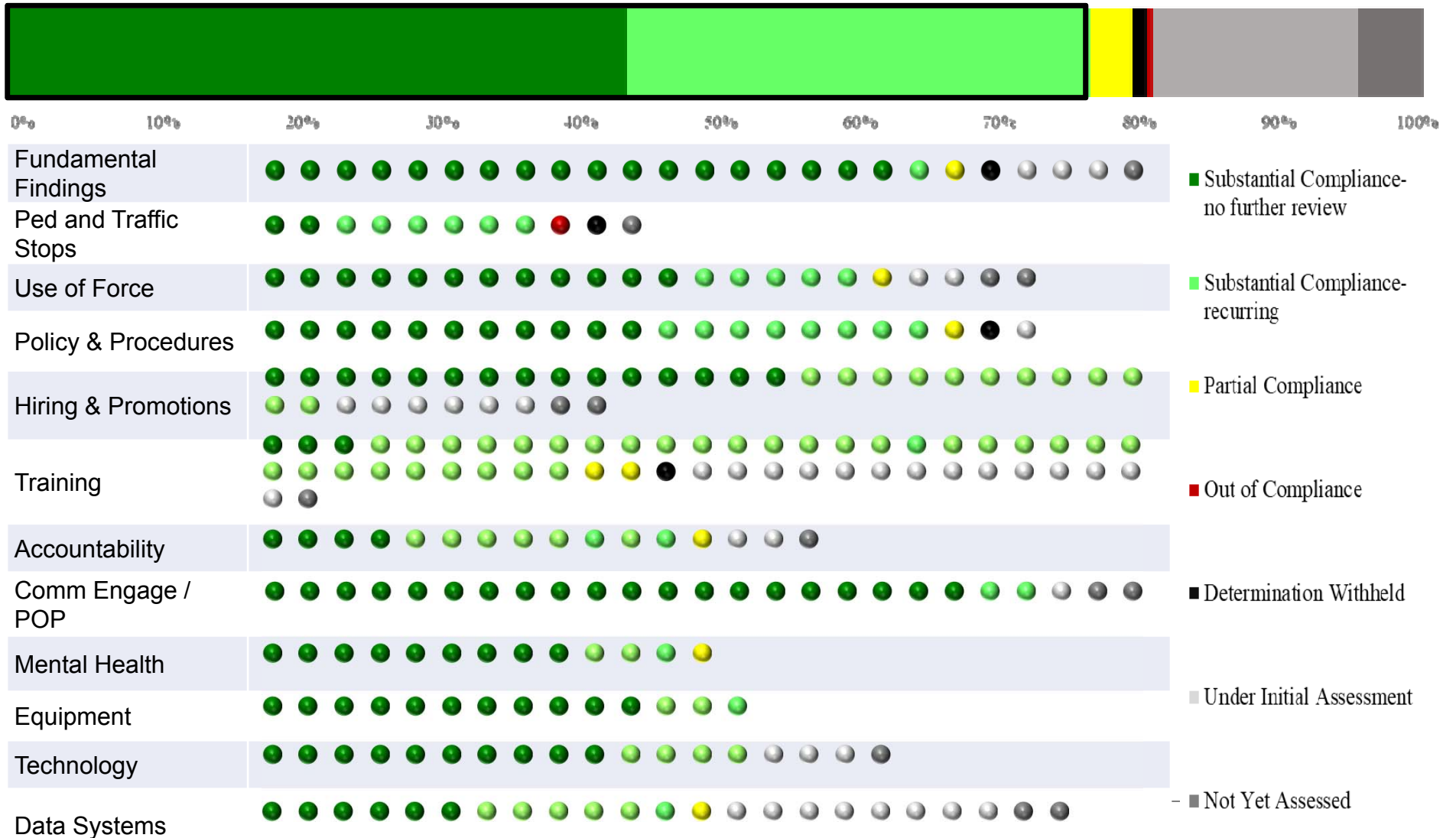
Overview of Initial Assessment: All 276 ERs





Compliance & Assessment Status: Overall and by Substantive Area

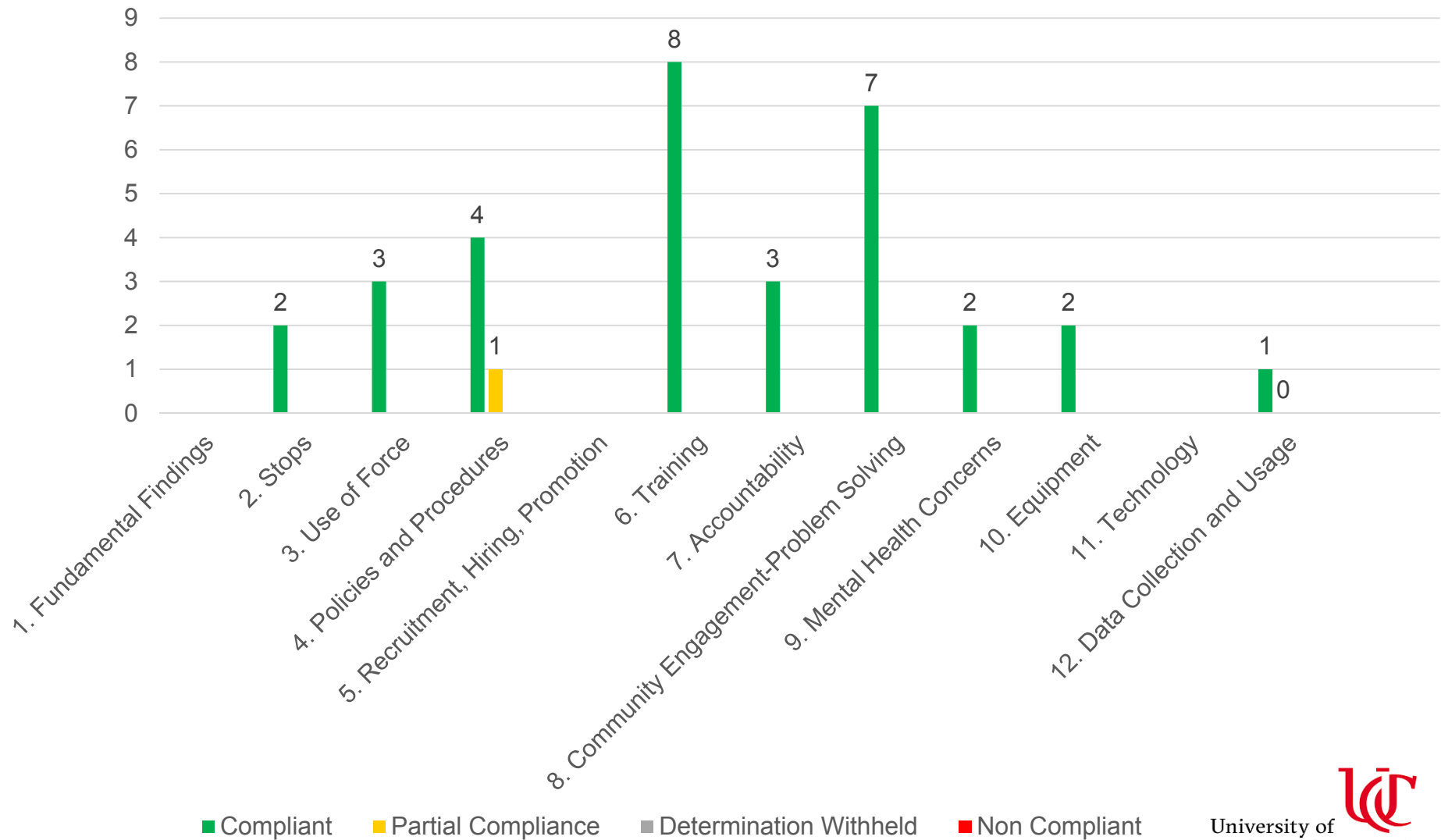
76% Overall compliance



Overview of Compliance – Q6: 33 Total ERs Assessed



Compliance Status



■ Compliant ■ Partial Compliance ■ Determination Withheld ■ Non Compliant

Citizen Complaints and Internal Inv's



Citizen Complaints (externally initiated)

- Six Citizen Complaints were initiated in Q6
- All investigations initiated in Q5 and Q6 were complete and closed in a timely manner.
- Five additional investigations were initiated in Q7 (*quality to be reviewed by Monitor during Q7*)
- Involved UCPD employees: 2 sworn members and 4 Night Ride employees

Internal Investigations (initiated internally)

- Two internal investigations were closed. Both initiated in Q5, but one did not close until late July. Was extended 4 times due to investigative flaws identified in the internal UCPD review process that needed to be addressed (*quality to be reviewed by Monitor during Q7*)

Total

- 61 Citizen Complaints and Internal Investigations initiated from Jan 1, 2017 to July 31, 2018

Uses of Force and Admin Reviews



Uses of Force (UOF)

- One UOF incident occurred in Q6: *bodily force/takedown*
- Quality and timely investigation which included review of video
- Total of three UOF incidents since Jan 1, 2017

Administrative Reviews

- Three weapon-related ARs closed, two occurred in Q5 and one in Q6: Both involved pointing of a weapon (*1 firearm and 2 CEW*)
- Also reviewed ARs involving 2 foot pursuits, and three off-campus traffic stops conducted for emergency purposes

Q6 – Other Noteworthy Topics



- Collaborative Policy Development:
 - Internal Investigations and Employee Conduct and Discipline: *additional revisions related to investigation type/title, the standards for each.*
 - Arrests, Processing, Transportation of Detainees: *finalized and disseminated*
 - Internal Inspections Protocol and Annual Inspection Plan: *to be finalized and disseminated in Q7*
 - Records Management and Victim Services Policies: *finalized and disseminated*
- Training: *Conducted targeted meeting to address documentation and processing issues. Policy revisions in Q6 and Q7; will be finalized and disseminated in Q7.*
- Hiring:
 - *Production and dissemination of recruitment video in May 2018*
 - *Opening of hiring cycle with close to 300 applications for 13 positions*
 - *Three sworn officers hired since Jan 2017 (2 have graduated academy)*
 - *Two supervisor promotions (1 sergeant, 1 lieutenant)*

End of Monitorship



- Impact of decision for early withdrawal from voluntary monitorship
 - *Recognize progress made in reforming UC Police Division*
 - *Many new or updated policies, training, equipment and systems*
 - *Future and sustained compliance and investment at risk*
 - *Compliance in many areas, but not all*
 - *Not all Exiger Recommendations implemented to date*
 - *Implementation testing is not complete*
 - *16 ERs just from Q6 that wont be tested again, but should have*
 - *Began process with three year plan*
 - *Lose creditability of external monitorship*

Summary of Where We Are



- As of June 30, 2018: 76% In Compliance; 44% achieved substantial compliance and require no further evaluation
- Into Q7 (ending September 30, 2018) with a total of 78 ERs under review
 - 40 scheduled by UCPD to undergo an initial assessment for review
 - 38 are being reassessed to ensure ongoing compliance
- Quality evaluation of the Complaints/Internal Investigations will be completed during Q7
- 13 (5%) Remaining Recommendations that have not yet been assessed/put forward for review by UCPD
- Monitor will identify topics that need year-three follow-up
- Continue with monthly group check-in, targeted meetings, MADC development/adjustments
- Next Update to Audit and Risk Management Committee in November 2018