



Update on UCPD Compliance

2nd Quarter:
April 1 – June 30, 2017

Report Issued
August 2, 2017

Presented to
The Audit and Risk Management
Committee

UNIVERSITY OF 
Cincinnati

 **EXIGER**
Governance. Risk. Compliance.

Explanation of Reporting



- Quarterly updates and Bi-annual reports
- Quarterly updates will be given in PowerPoint format each quarter and will capture relevant information for the quarter and give cumulative data as well. Memoranda of Assessment for the quarter will be included with the report.
- Bi-annual reports will be given in Word format and will capture information for the half year (Jan-June or July-Dec) preceding delivery of the report. Memoranda of Assessment for the full half-year will be included with the report.

Compliance Achievements



- Assessment of 127 of 276 Exiger Recommendations (ER)
 - 54 in Q1
 - 73 in Q2

- Substantial Compliance with 86 of 127 ERs assessed:
 - 52 in Q1
 - 34 in Q2

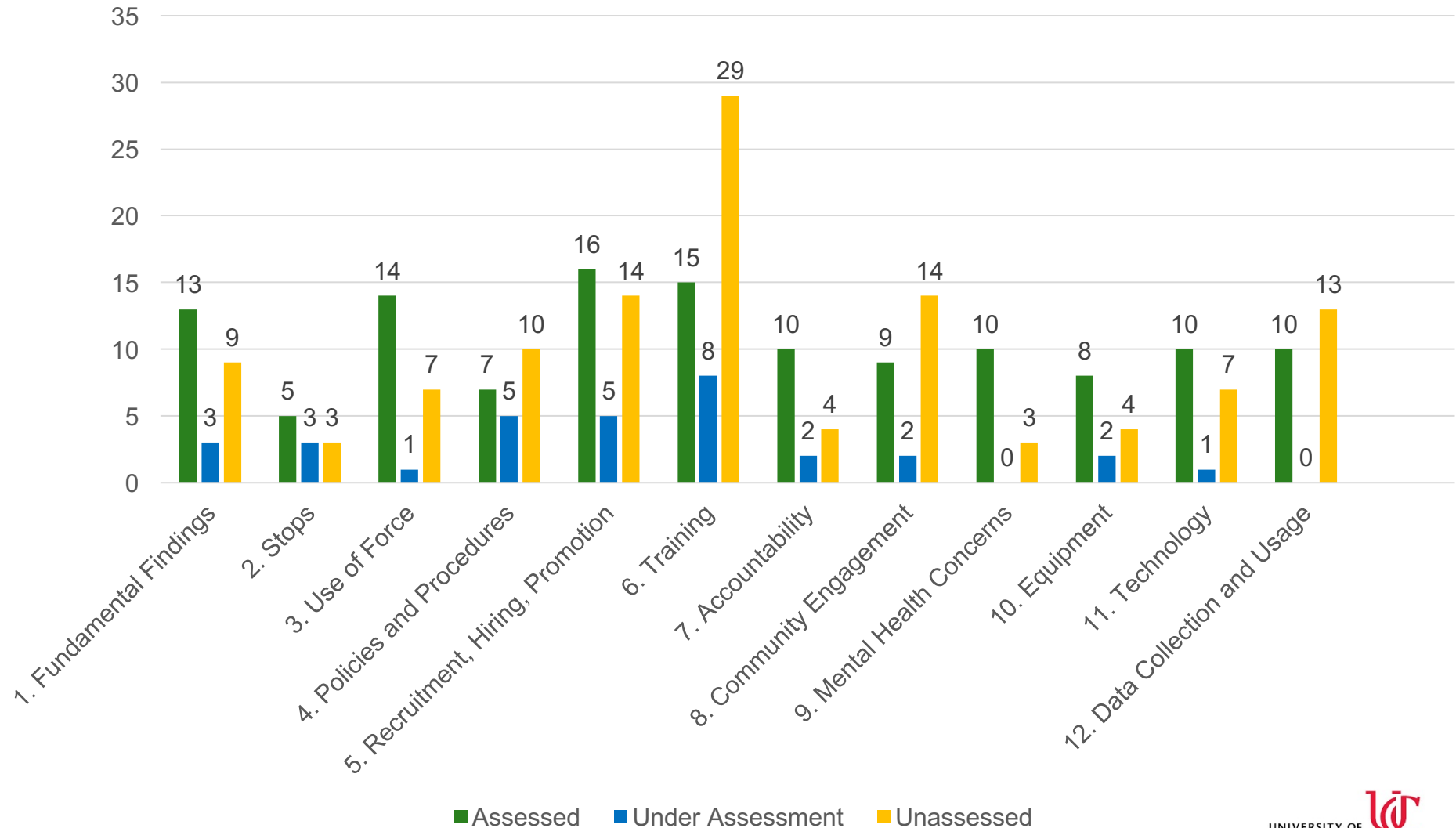
- Partial Compliance with 22 ERs
 - 0 in Q1
 - 22 in Q2

- Determination Withheld for 19 ERs
 - 2 in Q1
 - 17 in Q2

Overview of Assessment: All 276 ERs



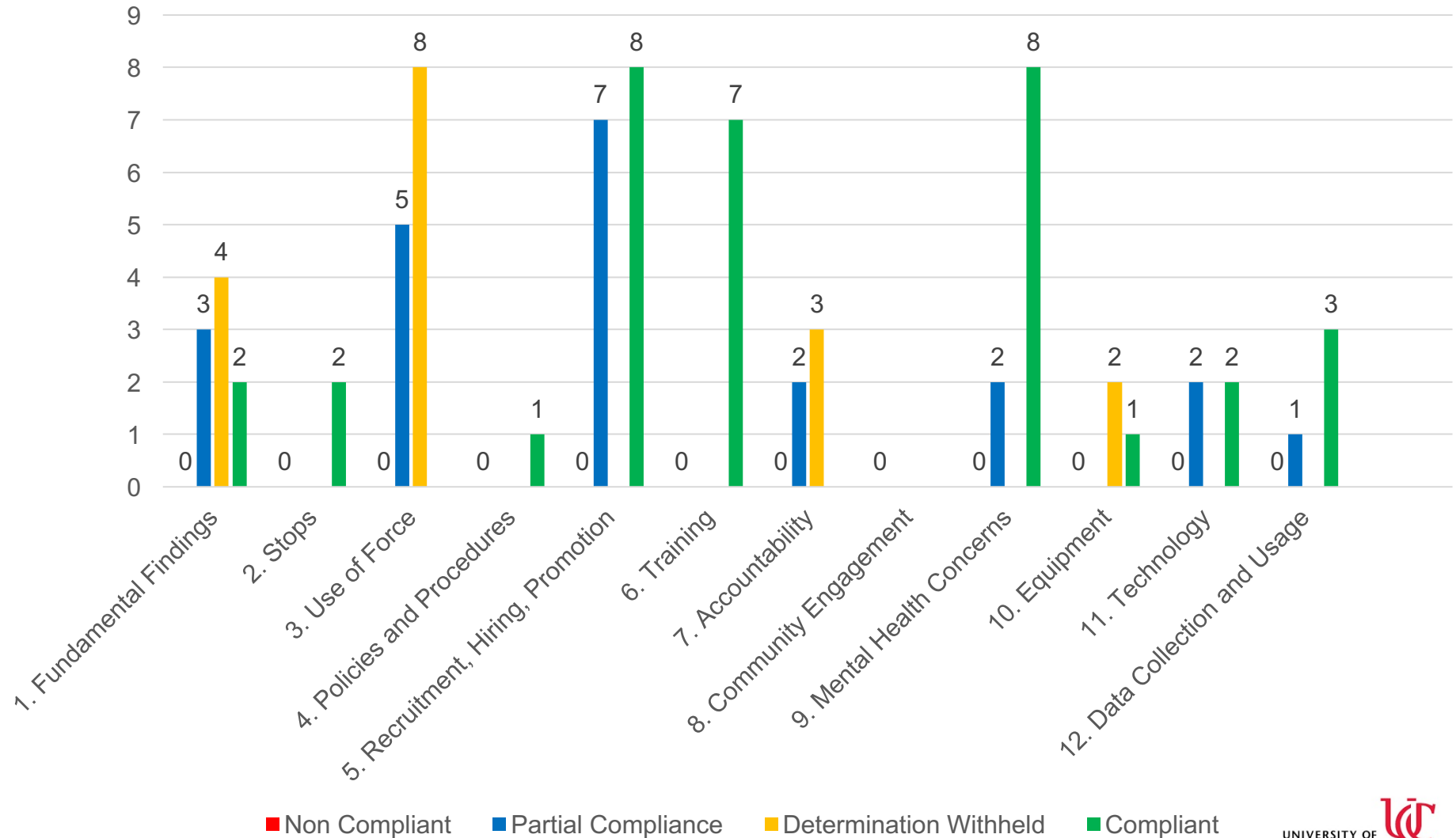
Assessment Status



Overview of Compliance – Q2: 73 ERs Assessed



Compliance Status



■ Non Compliant
 ■ Partial Compliance
 ■ Determination Withheld
 ■ Compliant



- Collaborative Development and/or Update to Several Key Policies
 - Mission Statement
 - Bias Free Policing
 - Mental Health Response
 - Training and Professional Development
 - Annual Training Schedule to include orientation training for newly promoted sergeants
 - Appropriate Patrol Response Levels
 - Body Worn Camera
 - Use of Force (*review in process*)

Complaints and Uses of Force



Complaints

- UCPD investigated 26 Complaints: 22 were generated by a Citizen Complaint and 4 were generated internally (“Internal Action”)
- All were closed in a timely manner or extended per policy, and three remain open
- Some of the 26 include more than one officer and/or allegation:
 - Involved UCPD employees: Nine sworn members, six Security Officers, four Dispatch Officers, and seven student employees (Night-Ride)
 - Dispositions include 16 Sustained allegations, Four Not-sustained, Three Unfounded, and Three Exonerated

Uses of Force

- UCPD investigated one Use of Force (UOF) incident.
 - Officer’s action (drawing of a weapon for cover) was appropriate and within UCPD policy
 - Officer failed to activate Body Worn Camera



- Determination by the University as to which Exiger Recommendations (ER) they desired to implement
- Collaborative development of Methodologies to Aid in the Determination of Compliance (MADC)
- On site visit, data collection interviews and inspections
- Fulfillment of data requests
- Assessment of each Exiger Recommendation utilizing MADDC
- Production of a Report Card and Memorandum of Assessment for each ER assessed (attached as Appendices to the Bi-Annual Report)
- On-going communication with monthly group meetings either in-person or via video conference
- Update to Audit and Risk Management Committee once every quarter

Summary of Where We Are



- Just beginning Quarter 3, ending September 30, 2017 with a total of 66 ERs under review.
- UCPD scheduled 32 ERs for review in various areas - many are training related
- 34 additional ERs to be reassessed due to either DW or PC.
- 117 Recommendations that have not yet been assessed/put forward for review by UCPD.
- Continued development and/or refinement of MADC for 32 new ERs scheduled for review.
- Continue with monthly group check-in meetings and targeted meetings when needed to address any specific issues.
- Next Update to Audit and Risk Management Committee in November.