

## Update on UCPD Compliance

**4th Quarter:  
Oct 1 – Dec 31, 2017**

Report Issued **Feb 6, 2018**

Presented to  
The Audit and Risk Management  
Committee



# Overall Assessment Status



- Initial Assessment of 191 (69%) of the 276 Exiger Recommendations (ER) in the first year
- 181 (66%) of 276 in Substantial Compliance
- Ten (4%) of 276 ERs in Partial Compliance
- 101 (37%) of 276 ERs require No Further Evaluation
- 236 Total Assessments occurred (*45 ERs were assessed more than once*)
- Total ERs assessed:

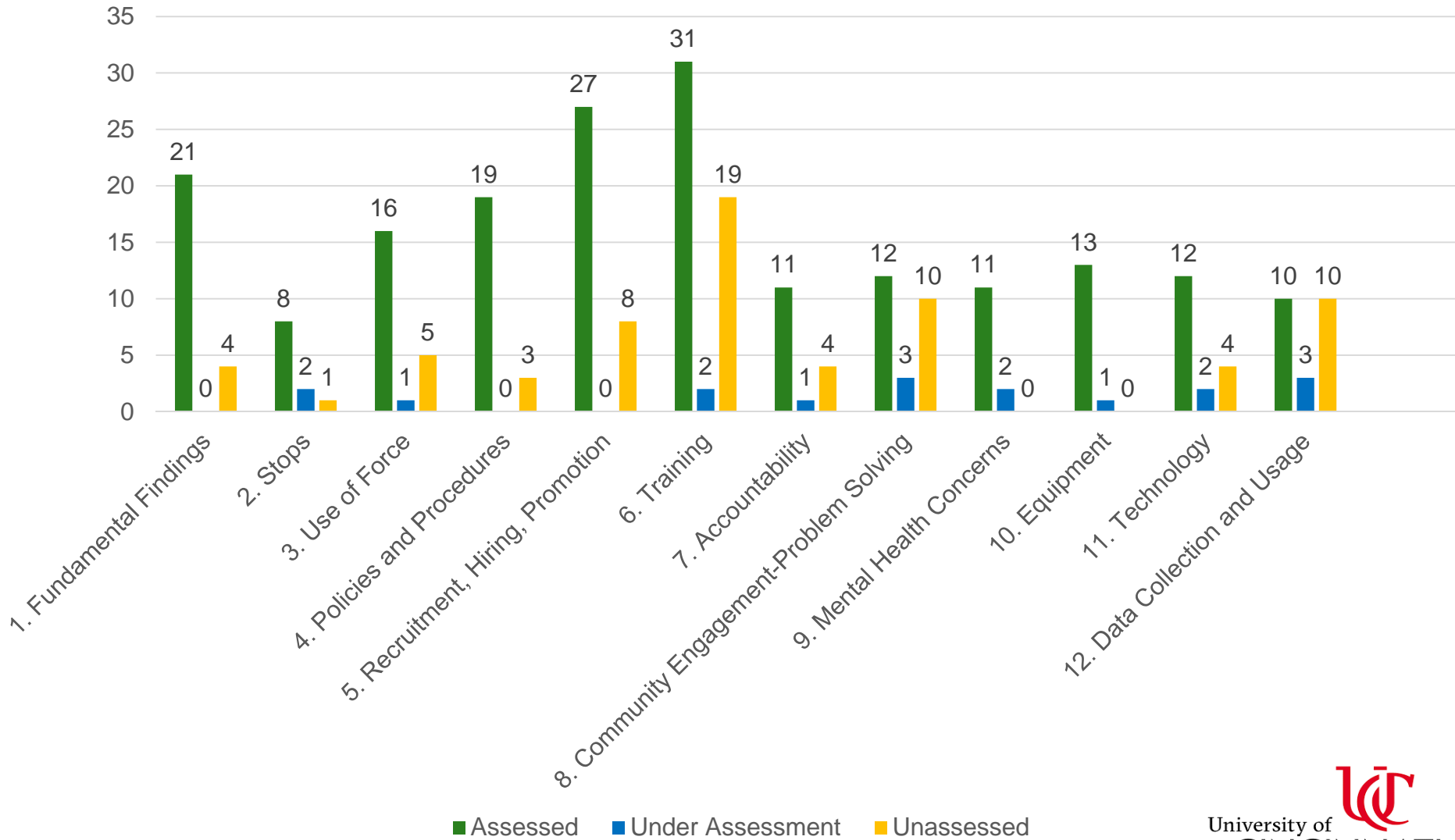
127 ERs Assessed in 1st Bi-annual Period	109 ERs Assessed in 2nd Bi-annual Period
Q1: 54	Q3: 67
Q2: 73	Q4: 42

- 42 ERs, to include 17 initial assessments, are currently being evaluated in Q5

# Overview of Assessment: All 276 ERs



## Assessment Status



# Overall Compliance Achievements



Substantial Compliance with 181 (95%) of the 191 ERs assessed:

- 86 in 1<sup>st</sup> [prior] bi-annual period
- 97 in 2<sup>nd</sup> bi-annual period
- *Two ERs were assessed and achieved compliance more than once*
- 36 ERs in Q4 (included in 97 above for 2<sup>nd</sup> biannual period)

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Currently Partial Compliance (PC) with 10 (5%) of the 191 ERs assessed:

- 5 in Q3 (4 were reassessed in Q4 and 3 were found to be in compliance, 1 other will be reassessed in Q5)
- 6 in Q4 (3 to be reassessed in Q5, 3 others planned for future quarters)

*PC = Significant progress made towards substantial compliance*

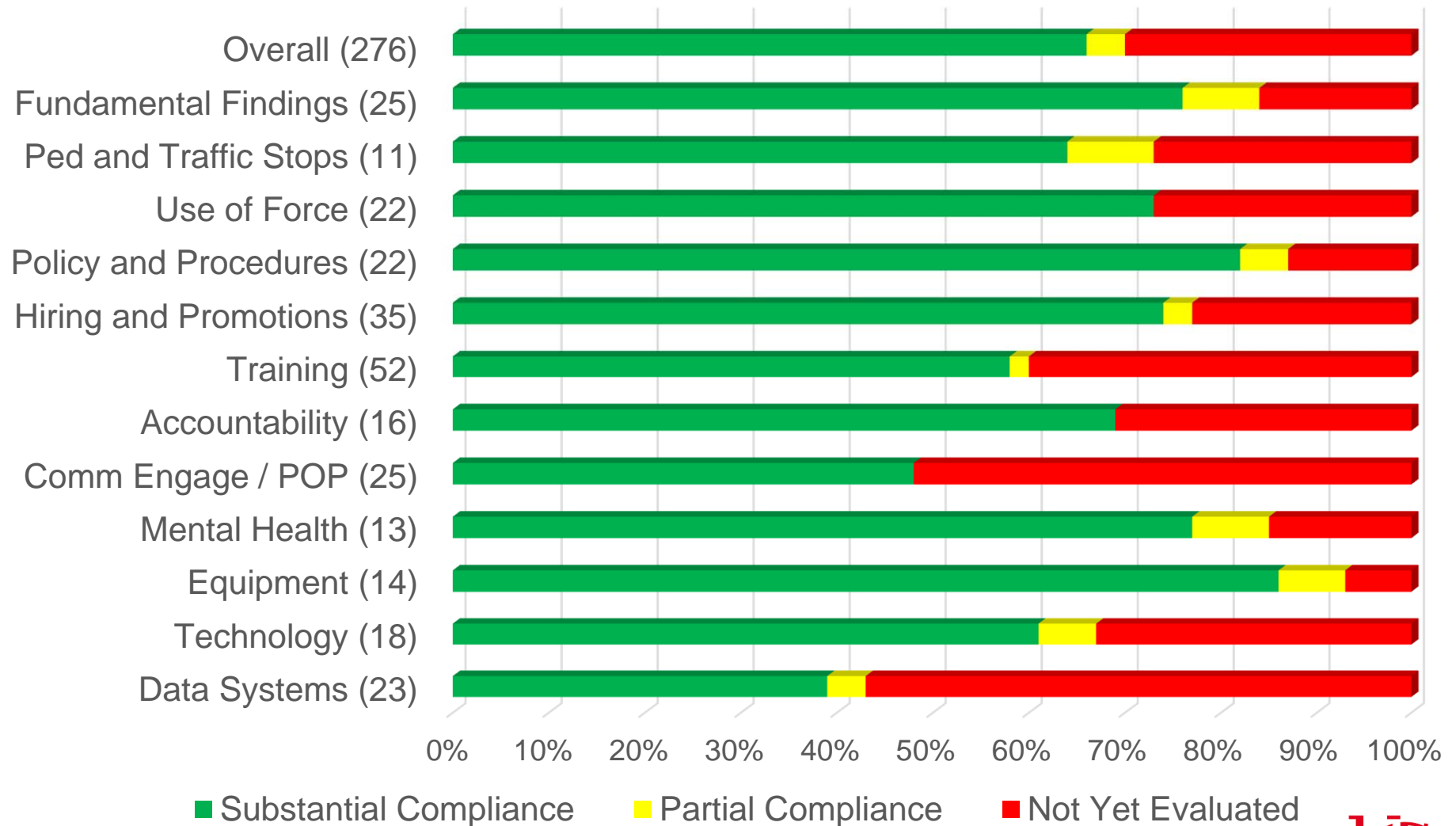
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Currently Determination Withheld for No (0%) ERs

- 1 in Q3 was reassessed in Q4 and now in compliance
- 0 in Q4

*DW = Not able to complete compliance determination at this time*

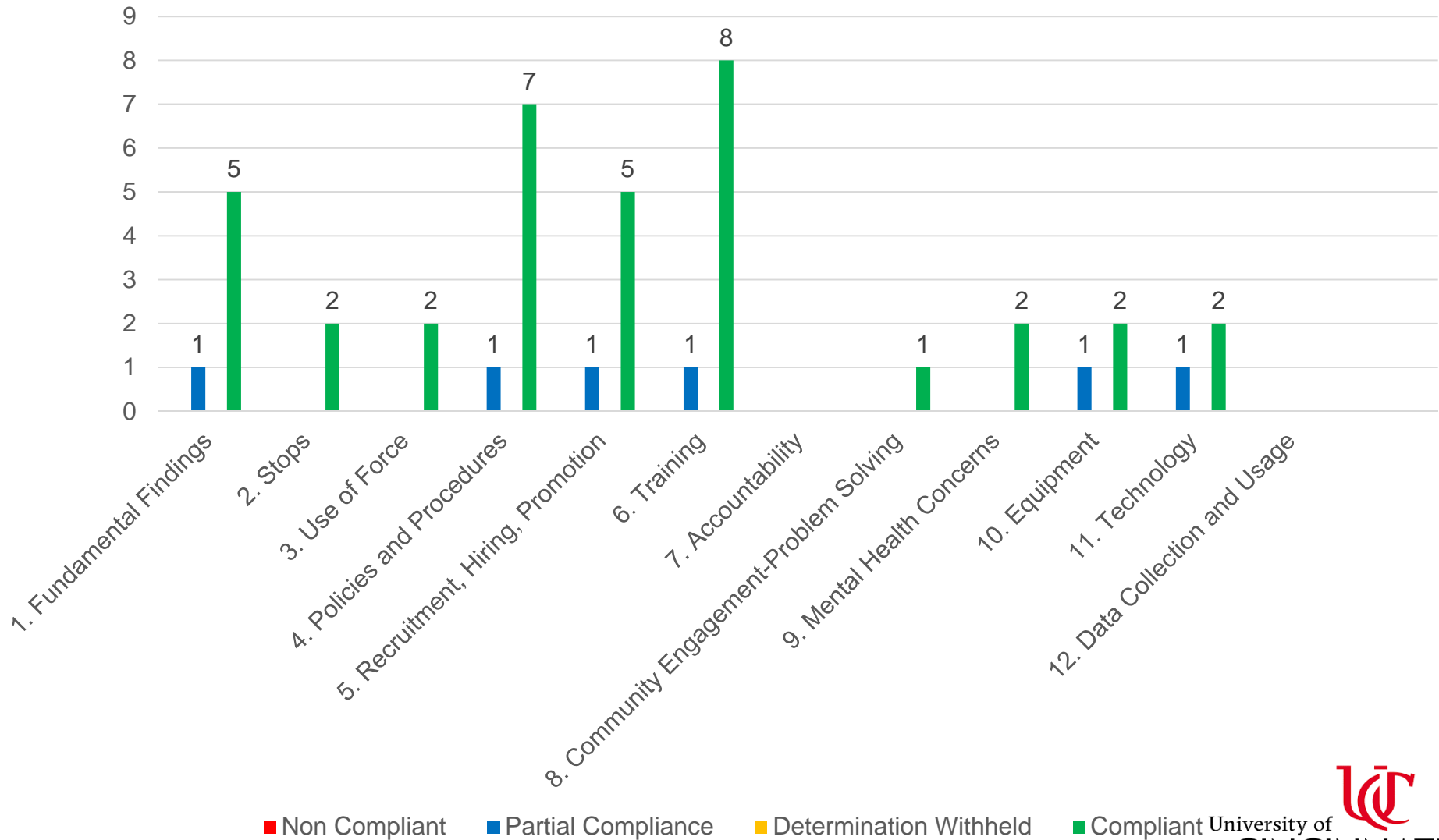
# Overview of Compliance: All 276 ERs by Section



# Overview of Compliance – Q4: 42 Total ERs Assessed



## Compliance Status



■ Non Compliant ■ Partial Compliance ■ Determination Withheld ■ Compliant



## Collaborative Development to Several Key Policies

- Pedestrian Stops and Field Interviews: *finalized and disseminated*
- Traffic Enforcement: *finalized and disseminated*
- Crowd Management and Control: *finalized and disseminated*
- In-Car Video Recording System: *to be finalized and disseminated in Q5*
- Promotion Policy: *finalized and disseminated*
- Early Intervention System Warning: *to be finalized and disseminated in Q5*
- Arrests: *to be finalized and disseminated in Q5*

# Q4 – Items of Note



- No officer-initiated off-campus traffic stops since April 2017
- No Uses of Force since January 2017
- One pursuit occurred in November 2017
- Resignation of Police Chief and appointment of Interim Police Chief Maris Herold
- Reintroduced the Taser as a less lethal UOF option in September 2017
- Continued efforts to achieve IACLEA certification
- Overhaul of the Guardian Tracking System for use as an Early Warning and Intervention System
- Continued robust training schedule to include UOF, live qualification and simulator for firearms, Tasers, Critical Intervention Team, Crowd Control
- Technology and equipment advances including In-Car Video System, and Equipment Tracker
- Began process of filling positions for internal inspections supervisory position and crime analyst



# Complaints and Uses of Force



## Complaints

- Nine Complaint Investigations were initiated in Q4, one investigated by Exiger
- Eight were Citizen's Complaints and one was generated internally
- All were closed in a timely manner
- Eight inv's closed by the end of the quarter were quality investigations
- Four incidents included more than one employee:
- Involved UCPD employees: 4 sworn members, 2 Security Officers, and 7 student employees (Night- Ride)
- Dispositions of closed inv's include: 3 Sustained allegations, 5 Not-sustained, 3 Exonerated, 1 Unfounded
- Total of 46 Complaint Investigations since Jan 1, 2017

## Uses of Force

- No additional Use of Force (UOF) incidents in Q4
  - Total of one UOF since Jan 1, 2017

# Summary of Where We Are



- January – December 2017: 181 of 276 (66%) Substantial Compliance
- Just beginning 2<sup>nd</sup> Year (Q5, ending March 31, 2018) with a total of 42 ERs under review
  - 17 scheduled by UCPD to undergo an initial assessment for review
  - 19 are being reassessed to ensure ongoing compliance
  - Six to be reassessed due to either being PC or is a re-assessment “as needed”
- Quality evaluation of the Complaints/Internal Investigations completed during late Q4
- 68 (25%) Remaining Recommendations that have not yet been assessed/put forward for review by UCPD
- Continued development of *Methodologies to Aid in the Determination of Compliance* for ERs scheduled for review
- Continue with monthly group check-in and targeted meetings
- Next Update to Audit and Risk Management Committee in May 2018