Update on UCPD Compliance

4th Quarter:
Oct 1 – Dec 31, 2017

Report Issued Feb 6, 2018

Presented to
The Audit and Risk Management Committee
Overall Assessment Status

• Initial Assessment of 191 (69%) of the 276 Exiger Recommendations (ER) in the first year
• 181 (66%) of 276 in Substantial Compliance
• Ten (4%) of 276 ERs in Partial Compliance
• 101 (37%) of 276 ERs require No Further Evaluation
• 236 Total Assessments occurred (45 ERs were assessed more than once)

• Total ERs assessed:

<table>
<thead>
<tr>
<th>127 ERs Assessed in 1st Bi-annual Period</th>
<th>109 ERs Assessed in 2nd Bi-annual Period</th>
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<tbody>
<tr>
<td>Q1: 54</td>
<td>Q3: 67</td>
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<tr>
<td>Q2: 73</td>
<td>Q4: 42</td>
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• 42 ERs, to include 17 initial assessments, are currently being evaluated in Q5
Overview of Assessment: All 276 ERs

Assessment Status

- **Assessed**
- **Under Assessment**
- **Unassessed**
Overall Compliance Achievements

Substantial Compliance with 181 (95%) of the 191 ERs assessed:
• 86 in 1st [prior] bi-annual period
• 97 in 2nd bi-annual period
  Two ERs were assessed and achieved compliance more than once
• 36 ERs in Q4 (included in 97 above for 2nd biannual period)

Currently Partial Compliance (PC) with 10 (5%) of the 191 ERs assessed:
• 5 in Q3 (4 were reassessed in Q4 and 3 were found to be in compliance, 1 other will be reassessed in Q5)
• 6 in Q4 (3 to be reassessed in Q5, 3 others planned for future quarters)
  PC = Significant progress made towards substantial compliance

Currently Determination Withheld for No (0%) ERs
• 1 in Q3 was reassessed in Q4 and now in compliance
• 0 in Q4
  DW = Not able to complete compliance determination at this time
Overview of Compliance – Q4: 42 Total ERs Assessed

Compliance Status

- Non Compliant
- Partial Compliance
- Determination Withheld
- Compliant

1. Fundamental Findings: 1
2. Stops: 1
3. Use of Force: 2
4. Policies and Procedures: 2
5. Recruitment, Hiring, Promotion: 1
6. Training: 1
7. Accountability: 1
8. Community Engagement-Problem Solving: 8
9. Mental Health Concerns: 1
10. Equipment: 2
11. Technology: 2
12. Data Collection and Usage: 2
Q4 - Significant Accomplishments

Collaborative Development to Several Key Policies

• Pedestrian Stops and Field Interviews: finalized and disseminated
• Traffic Enforcement: finalized and disseminated
• Crowd Management and Control: finalized and disseminated
• In-Car Video Recording System: to be finalized and disseminated in Q5
• Promotion Policy: finalized and disseminated
• Early Intervention System Warning: to be finalized and disseminated in Q5
• Arrests: to be finalized and disseminated in Q5
Q4 – Items of Note

- No officer-initiated off-campus traffic stops since April 2017
- No Uses of Force since January 2017
- One pursuit occurred in November 2017
- Resignation of Police Chief and appointment of Interim Police Chief Maris Herold
- Reintroduced the Taser as a less lethal UOF option in September 2017
- Continued efforts to achieve IACLEA certification
- Overhaul of the Guardian Tracking System for use as an Early Warning and Intervention System
- Continued robust training schedule to include UOF, live qualification and simulator for firearms, Tasers, Critical Intervention Team, Crowd Control
- Technology and equipment advances including In-Car Video System, and Equipment Tracker
- Began process of filling positions for internal inspections supervisory position and crime analyst
Complaints

- Nine Complaint Investigations were initiated in Q4, one investigated by Exiger
- Eight were Citizen’s Complaints and one was generated internally
- All were closed in a timely manner
- Eight inv’s closed by the end of the quarter were quality investigations
- Four incidents included more than one employee:
  - Involved UCPD employees: 4 sworn members, 2 Security Officers, and 7 student employees (Night- Ride)
- Dispositions of closed inv’s include: 3 Sustained allegations, 5 Not-sustained, 3 Exonerated, 1 Unfounded
- Total of 46 Complaint Investigations since Jan 1, 2017

Uses of Force

- No additional Use of Force (UOF) incidents in Q4
  - Total of one UOF since Jan 1, 2017
Summary of Where We Are

- January – December 2017: 181 of 276 (66%) Substantial Compliance
- Just beginning 2nd Year (Q5, ending March 31, 2018) with a total of 42 ERs under review
  - 17 scheduled by UCPD to undergo an initial assessment for review
  - 19 are being reassessed to ensure ongoing compliance
  - Six to be reassessed due to either being PC or is a re-assessment “as needed”
- Quality evaluation of the Complaints/Internal Investigations completed during late Q4
- 68 (25%) Remaining Recommendations that have not yet been assessed/put forward for review by UCPD
- Continued development of Methodologies to Aid in the Determination of Compliance for ERs scheduled for review
- Continue with monthly group check-in and targeted meetings
- Next Update to Audit and Risk Management Committee in May 2018