

**Regular Meeting of the Board of Trustees
September 21, 2010**

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UNIVERSITY OF CINCINNATI

Official Proceedings of the

Three Hundred and First Meeting of the Board of Trustees

(A Regular Meeting)

September 21, 2010

The Three Hundred and First Session of the Board of Trustees of the University of Cincinnati was opened at 10:07 a.m. on Tuesday, September 21, 2010, in the Russell C. Myers Alumni Center of the University of Cincinnati. Notice of this meeting was given in accordance with Section 121.22 of the Ohio Revised Code. The proceedings of the Board, when not otherwise provided for by its bylaws, are governed by *Robert's Rules of Order*.

Sandra W. Heimann, Chairperson of the Board of Trustees, presided. Mrs. Heimann asked that roll be called.

BOARD MEMBERS PRESENT:

C. Francis Barrett, Margaret E. Buchanan,
Thomas D. Cassady, Sandra W. Heimann,
and Thomas H. Humes

BOARD MEMBERS ABSENT:

Stanley M. Chesley, Gary Heiman, H.C.
Buck Niehoff and Robert E. Richardson, Jr.

ALSO PRESENT:

Gregory H. Williams, President;
Robert F. Ambach, Sr. Vice President for
Administration and Finance;
Santa J. Ono, Senior Vice President for
Academic Affairs and Provost;
Michael W. Carroll, Vice President for
Development and Alumni Affairs;
Sandra J. Degen, Vice President for
Research;

Lawrence J. Johnson, Chair for Council of
Deans;
Mitchel D. Livingston, Vice President for
Student Affairs and Chief Diversity
Officer;
Mitchell D. McCrate, General Counsel;
James D. Plummer, Vice President for
Finance;
Fred Reynolds, Executive Vice President;
Michael J. Thomas, Director of Athletics;
Greg Hand, University of Cincinnati
Spokesperson;
Russell C. Myers, Alumni Representative;
Richard Harknett, Faculty Representative;
Dale Schaefer, Faculty Representative
B. J. Zirger, Faculty Representative;
Portia Watkins, Graduate Student Trustee;
Kyle Quinn, Undergraduate Student Trustee;
Drew Smith, Student Representative;
Susan Stringer, Executive Assistant to the
Board of Trustees and Sr. Vice
President for Administration and
Finance;
and the public

(Prior to the Board Committee Meeting and the Regular Board Meeting,
Chairman Heimann began the proceedings at approximately 8:30 a.m.)

Mrs. Heimann:

Good morning everyone. I would like to note that Stan Chesley, Gary Heiman,
Buck Niehoff and Rob Richardson will not be with us today.

We have new folks at the table this morning. At the last meeting I introduced
Rusty Myers to all of you, but would like to acknowledge that this is Rusty's first official
meeting as the Alumni Representative on the Board. Welcome Rusty.

Dr. Richard Harknett, the new Chair of the Faculty, is an Associate Professor in
the Department of Political Science in the McMicken College of Arts and Sciences and is

a familiar face to the UC Board. He has been at UC for 18 years during which time he has been recognized with both the Distinguished Teaching and the Distinguished Service Professor Awards in McMicken. The Ohio Board of Regents named him a 2010 Faculty Innovator for his classroom pedagogy. We all got to witness a bit of that Sunday. For those of you who weren't here, Richard spoke to our freshman class and did an outstanding job. I think you gave Oprah a bit of a run. *(Laughter)*

He was selected as a Fulbright Professor of International Relations and holds a professorial lectureship at the Diplomatic Academy, Vienna, Austria. His published scholarship focuses on international security relations and he has provided analysis and presentations throughout the US Defense and Diplomatic communities. He earned his PhD from The Johns Hopkins University and a BA from Villanova University before beginning at UC. Welcome.

Dr. Harknett:

Thank you.

Mrs. Heimann:

We look forward to working with you. As usual, we have a very full agenda, so without further delay I would like to call on President Williams for his report. I would also like to say that I thought the entire Freshman Convocation and Investiture of President Williams was outstanding. President Williams' remarks were wonderful, we all have our work cut out for us, and they were moving and inspiring and I just told Greg that if I were a parent of a new incoming student I would have been really proud Sunday afternoon. Thank you for all your hard work.

President Williams:

Thank you, Madame Chairman and thank you for all that you did in terms of being there and being part of that ceremony. I would like to thank all the Board of Trustees' members who were able to be there. It was truly a great event and the response has been very positive from the families of the students, the students and others.

It was a terrific way to kick off the year. It was absolutely a lot of fun, exciting and Richard Harknett did a great job – I would reinforce that – and then he lead a faculty assembly where we had Sir Ken Robinson spoke after that which was also a very tremendous opportunity for us to start thinking about the implementation of plans. Rusty, it is great to have you back here with us officially.

As the chairman indicated *UC2019*►- *Accelerating Our Transformation* was unveiled on Sunday (and actually you have it at your places). For those of you who were able to stay away from the Bengals game and the last day of Oktoberfest, you had a chance to kind of go through that. I do want to express my gratitude and thanks to Student Affairs and the Convocation and Investiture Planning Committee for all their hard work, and to the UC Foundation for putting together the wonderful luncheon that we had before the ceremony. It was really a tremendous time for everyone to come together.

Today I've not provided a Good News List in writing because I will be presenting in a few moments my first Report Card to the Board of Trustees and there's a lot of good news contained in that, but I did want to mention a few items:

1. We are starting the new school year tomorrow with what we project will be our highest enrollment in UC history. In fact, maybe you've seen the *Cincinnati Enquirer* today where it says that UC opens with record enrollment. So, we are in fact opening with record enrollment tomorrow and we'll hear a little bit more about that from Caroline Miller. Our entering class stands as the best academically prepared class we have ever had: the highest mean ACT score, record levels of National Merit Scholars, and the highest average GPA. And, as I've said, Caroline Miller will be giving us more details in just a few minutes in her Enrollment Report.
2. Last week we learned that the *Times* of London named UC in a list of its Top 200 Universities worldwide and their ranking is based on:

- Teaching – obviously the learning environment
- Citation impact – as a measure of research influence
- Research – volume, income and reputation
- International mix – including staff and student ratio
- Industry income – measuring, of course, knowledge transfer

We are very pleased with that ranking.

3. I'd like to take this opportunity to invite everyone to the Proudly Cincinnati Bearcat Rally at Fountain Square on Friday. The program will begin at noon with me, but more importantly Football Coach Butch Jones. The event is open to everyone and I hope all of you can be there to help us cheer on the team.

And now what I'd like to do is call on Caroline Miller to give the enrollment update.

(Caroline Miller, Ph.D., Associate Vice President for Enrollment Management, gave the following enrollment update information.)

Dr. Miller:

Thank you, President Williams and I guess I also need to thank the *Enquirer* for doing such a good job with the enrollment report this morning. I'd like to share a few things with you that weren't in the paper. First of all, Friday, I predicted to the Cabinet that when our international students registered, which they were doing while the Cabinet was meeting, that our SAT scores would rise. They too are a record at 1141. The international students jumped our SAT average by six points. We also have a record number of international students joining us on this campus, I guess, pushing that diversity measure even more.

President Williams mentioned our National Merits and they stand at 45. The Class also includes 1125 Cincinnatus Scholars, 162 Choose Ohio First Scholars, 35 Darwin T. Turner Scholars, 36 Demakes Legacy scholars, and many, many more with college based awards

There are 317 graduates of the Cincinnati Public Schools – 131 of who are Pride Grant recipients. There are 26 new residents of the Gen-1 House. By the way, we looked at last year's 25 residents and their retention rate continues this fall at 92% and the two who did not return to UC are still enrolled in other campuses. So, I think, that's a pretty incredible statement about the work done by our Gen-1 House. They are all in good standing by the way.

The freshmen hail from 87 Ohio counties, 38 states and 33 countries. I've actually set a personal goal to get to all 88 counties – and, yes, President Williams, Williams County is represented.

President Williams:

Good. *(Laughter)*

Dr. Miller:

Our Top 10 feeder schools: Mason High is again #1, Oak Hills #2, and St X is #3. For the first time a non-Cincinnati School breaks into the Top 10 – Centerville of Dayton comes in at the #5 spot. Walnut Hills just edged out as #11 and Dr. Livingston, Sycamore was #13.

We're also welcoming almost 1800 new transfer students – I don't know if that is a record – but it's the biggest number that I've seen in Institutional Research's data. You might recall that we set a specific target around being a destination campus for transfer students.

Graduate student enrollment is also up, led by our very successful distance learning the MS in Nursing, which is truly meeting a critical market need.

On the topic of records: Raymond Walters College has topped 5000 students, and Clermont, I'm sure, will top 4000 students today. They too are providing an incredible pathway for our citizens – many roads for a baccalaureate degree.

More than 3600 Bearcats enrolled in Distance Learning Programs represent a 14% increase over last year. To put that in perspective, in 2000 there were 213 distance learners at UC.

And as is my tradition, I'd like to end in some way to help you punctuate our collective enrollment success – for it is a collective success. A few weeks ago, our Orientation Leaders asked me what my favorite quote was, but they wouldn't tell me why and when they don't tell me why I get a little nervous because you know what student's do with things. But they finally talked me into it and I said, "Well, I'm sort of edging between 'It's not over until the Fat Lady sings' or 'Mediocrity is not a stretch goal.'" Well, I finally landed on, "It's not over until the Fat Lady sings" and the fat lady is going to sing today and I hope you all with join me. *(Laughter)*

President Williams, I think we appreciate that with your *UC2019*► the fact that mediocrity will not be a stretch goal. Thank you for your support.

President Williams:

Thank you. Any questions for Caroline?

Mr. Humes:

Great job.

(Gregory H. Williams, PhD, President of the University of Cincinnati, gave a presentation on the President's Report Card utilizing the report card website at <http://www.uc.edu/reportcard/Sept10/Sept10.htm>.)

President Williams:

What I'd like to do now is to do the Report Card presentation. With the new Strategic Plan we have announced, there was some debate about whether to continue doing and annual report card. Some thought it was not helpful and others did. Ultimately, I decided to do a report card but to try to make it more focused.

We have selected many metrics based on the facts that we think matter and based on the Association of American Universities or AAU. For those of you who have looked at *UC2019*► that is the group we are comparing ourselves to – AAU and the “Lombardi report” which is released annually.

We've selected five year targets that we intend to use to move us up the ladder as we benchmark ourselves against institutions in the AAU and in the Lombardi rankings. Whether we are ever invited to join this “invitation only” group or not, it is important, I believe, to benchmark ourselves against some of the best universities in the country so we are going to see how we can continue to improve and excel in that regard.

We'd like to focus our metrics even more in the months ahead and hope to create a dashboard of ten to fifteen items that will focus on strategic indicators. Each of you has a printed copy of the report card at your places and we're actually right now going to go live on the web which all of you can do for this presentation as well.

Before we jump into the data though, there are a couple of things that I want to mention. First, I want to thank our Institutional Research Office, particularly Lee Mortimer, Nick Frame, Maria Palmieri, Hongmei Zhu, and Caroline Alikonis, for their tireless and exacting attention to this report and to this project. They are our data people and we frankly could not do it without them. I'd also like to thank the Office of the

Provost for the website support including Diana Boone and Ben Hoffstetter. From the President's Office, Executive Vice President Fred Reynolds and Mary Kunnen-Jones played important roles in pulling this all together. Secondly, I want to point out that the report establishes a baseline for my presidency. The gray boxes on the printed version and on the web highlight the baseline year. Third, the report card is structured around nine operational principals and goals outlined in the new strategic plan. There they are.

Now, for the data – at your leisure you can read the entire report card, but I thought in the interest of time I would try to highlight some of the metrics. The first is learning. Caroline has already told us the great news in terms of ACT scores which actually are truly very hard to move.

Learning ►

Under learning, we also see a steady progress in our graduation rate. It is up 1% from last year and I'm confident that we can make even bigger gains under *UC2019* ►. Where it's possible and what we're trying to do is including comparison charts on AAU institutions. If you look at that as you go to AAU institutions you will see that setting the goal at 75% really puts us pretty much about the middle of that and that's why that's the goal that has been established so we will be in the middle of that group and move us higher in that pack. Another impressive jump that I want to draw your attention to is student satisfaction. A student satisfaction inventory is done every other year. Our report card shows a combined score up significantly from the last time. And, this year student satisfaction jumped in more than half of the categories. We measure a number of categories – even parking – and it's up in parking. You know how hard it is to get people be happy about parking on a university campus. We definitely need to keep this up.

Discovery ►

Under discovery Sandra Degen presents the annual Research Report at the November meeting so we don't have all the 2010 figures yet. But, I can tell you that we continue to set records on research funding and we expect a record again this year, but Sandra has pledged me not to say anymore so I won't "scoop" her for her report.

Community▶

Community is a difficult thing to measure by numbers, but we have selected some metrics to keep an eye on. One measure is students participating in volunteer activities, in other words, being involved and out in substantive projects, working in communities both on their own or as part of activities. The numbers in this regard actually grew by 23% this year. So, you can see that we are, in fact, good neighbors and people that are trying to help make a difference in this community. We are very proud to be able to do that.

There are three places in the report card where we indicate that we will have better data once we make the conversion to semesters and once that is in place. And, one of them falls in this category. We will be able to better collect data on the number of students in service learning. As many of you know, in courses these days there is a service learning component to the course where the students work outside the course really furthering their knowledge and their work in the particular academic area in which they are studying. With our transition to semesters and a new tracking system we've planned we will be better able to keep track of that in the future.

Economy▶

Economy is of course vitally important of course to who we are and there are two items that I want to particularly comment on here. First is institutional liquidity. Our target is 25% and it was set by board policy and this year we saw a huge improvement to 23%. When you look at the growth over the years it's been very impressive. This is a university-wide accomplishment starting with the board policy which was enacted in 2006 and the entire university community obviously is working together as we have to tighten belts in the economic situation that we are currently confronting. Second, under Economy I want to highlight alumni giving participation rate. This is the metric that was not part of previous report cards, but I thought it is an area of vital concern. The good news is that it is improving. Under *UC2019*▶ I have asked the Foundation and the Alumni Association to work hard to meet at least our 18% target. Actually it is 18.19%

target reflective of our founding date. This metric is important because it counts for 5% of our *US News and World Report* ranking, so it is not an insignificant number. It is also important because it enhances our resource base if we are more successful in fundraising. So, there are a number of reasons for it.

Sustainability ►

I just want to mention that this is a new category for us to measure and it matters a lot to students. The metrics here are emerging and we want to build on our reputation to our green designation by the Princeton Review as a leader in sustainability. In fact, we're the only public school in the State of Ohio to be listed as a green university and we won't to build on this. More importantly, there are very few universities of our size – major research universities – if any, that have made sustainability including reducing the carbon footprint a part of their accountability measures. It is something that it is very important.

Global Engagement ►

I just want to talk a little bit about global engagement. Under global engagement we have two key metrics. One is studying abroad and enhancing international experiences. As I said on Sunday, these are areas of primary interest and we are up somewhat this year in studying abroad and we really need to enhance our international opportunities for students and that is why I designated some strategic funding to it. Global engagement is another area where we'll have better data after we make our conversion to semesters.

Diversity ►

I won't highlight the diversity data, it is strictly demographic data, but the important thing is that we are tracking it and we're going to be paying very close attention to it. I should note that we are awaiting the University's Diversity Plan coming out of the Diversity Council and we will align with it when it's ready.

Mission-Based Health Care ►

Another emerging area is mission-based health care. Given the transition to UC Health, we'll be taking a close look at this and trying to determine what metrics to include. This is another area that was included in previous reports, yet it's a key part of who we are as a university. We want to be able to evaluate what we are doing and are going to take some time to make sure we get the metrics right on this.

Collaboration ►

This is another area difficult to measure in numbers, but we have chosen two key items. One of them is something where we clearly have an advantage – co-op. As I mentioned on my speech on Sunday, we will be looking for ways to create the next iteration of co-op – co-op for the innovation age.

In conclusion, as a baseline I think we've chosen the right metrics to focus on, but we don't plan to stop here. We'll be honing in on even shorter lists of key strategic measures for a dashboard. We'll also have a double sided, actually we have a double sided handout that has all of these at your places as well.

I'd be pleased to answer any questions you might have. Yes, Tom.

Mr. Humes:

Mr. President, congratulations on a wonderful job with your address to the Convocation on Sunday and your outlining of these activities. I think they are very well thought out. There are three of them that I find particularly interesting and I think that these are the first time that we've seen these. You just mentioned mission-based health care and collaboration and I think those are outstanding things to have. You're also measuring, I believe for the first time although I might be wrong on that, the interaction with the community in terms of how many visitors we have to our campus. I think that is something that has tremendous potential for us. The more people we can get onto this campus to experience some part of the university experience, be it at some type of a science fair or some type of athletic event or whatever, I think that will continue to

expand our enrollment growth over the long term as we can get younger people onto the campus. The more older people we can get on the campus (and I use the term older in the “we” vernacular in this case) the more they will be exposed to the rebirth of this campus. I’m glad to see you measure those things. I think those are things that are fairly easy to do and to accomplish some good and very significant numbers if people really look at it – things that can be done without spending a lot of money.

President Williams:

Trustee Humes, I agree with you wholeheartedly, it is very important to us. First of all, we feel that we are a community resource. We also find that the more we have folks visit the campus, the more they know about us, and of course as you know we have been chosen one of the most beautiful campuses in the world and with the signature architecture that we have here we have a lot of activities here on campus. And, we want more and more people to come. I think that Caroline would reinforce this. We are, for instance, delighted with the perspective students who come here because we feel if they come on campus then they are going to have a chance to see what a terrific place it is and interact. It does make a difference in terms of how a person will ultimately feel about the University of Cincinnati, by being here and by being part of the activities. That’s why we try to have as many activities as we can on campus. It is an important part of what we do and how we showcase ourselves to the world. Thank you for bringing that up.

Any other questions? Thank you very much and you’ll be hearing more about this and the figures as we go forward. *(Applause)*

Now it’s my pleasure to introduce the next presentation, The University of Cincinnati Foundation Annual Report on the Progress of UC’s Fundraising Program, to be given by Steve Wilson, Chairman of The University of the Cincinnati Foundation Board of Trustees.

(Steven A. Wilson, Chairman, The University of Cincinnati Foundation Board of Trustees, gave the following presentation on The University of Cincinnati Foundation Annual Report on the Progress of UC's Fundraising Program.)

Mr. Wilson:

Thank you, President Williams and I'll echo the comments. It was a great Convocation and a great Investiture. Congratulations to you.

When Susan asked me to stand up here, I was concerned that I'd be talking to the back of the front row and I was going to get a first-hand feel for how Zach Collaros feels on Saturdays and was ready to check off and run right over right tackle on my good friend Tom Humes. *(Laughter)*.

Madame Chairman Heimann, President Williams, Members of the Board, thank you for allowing the UC Foundation to present its annual report today at your meeting. As you know, the Foundation's mission is to support the university's efforts to generate private funding. In a very challenging time in our economy, Fiscal Year 2010 was a good year.

Our foundation staff of 120 professionals, led by Mike Carroll, and our 92 dedicated volunteer trustees behind *Proudly Cincinnati* Campaign Co-chairmen Otto Budig and Buck Niehoff have teamed with deans, faculty, students, President Williams, and countless volunteers to reach the 2010 totals and proceed with our 2011 goals.

For fiscal year 2010, private support raised through the Foundation was at \$104,425,962. This includes around \$82.8 million in gifts and pledges and \$21.6 million in non-governmental research funding. We also ended the year with the highest donor count we've had since the campaign began, with 45,284 gifts from 34,628 individuals and organizations. While dollars raised in 2010 were up only slightly from 2009, these figures represent an 11.9% increase in number of gifts and a 5.8% increase in number of donors.

Recapping *Proudly Cincinnati* Campaign progress we ended fiscal year 2010, our fifth campaign year, with \$682.8 million. Total private support to *Proudly Cincinnati* stands at about \$690 million through August 31st. That leaves about \$310 million to be raised to reach our \$1 billion goal by the end of 2013.

We celebrated several major gifts during the previous year including a multi-million dollar commitment from Western & Southern to the Cincinnati Cancer Consortium, as well as a million dollar gift from the Kim and Gary Heiman Family Foundation to the Department of Judaic Studies, and Larry Sheakley's multi-million dollar commitment to help build the Jefferson Avenue Sports Complex.

Good progress was made toward expanding our fundraising footprint across the country. Our regional campaign committees continue to grow and expand, and a number of events are planned for the fall. We are also working to upgrade the capabilities of our campaign website to accommodate pages for each of the local committee areas with region-specific news and upcoming events, as well as blogs to facilitate dialogue around *Proudly Cincinnati*. The new web pages will also include capabilities to upload photos and video.

Our efforts to expand the campaign's presence through regional committees has resulted in twelve active regional committees led by New York City which has already raised \$10 million toward our goal; Northern California which has raised \$9 million; and Southern California and Florida each have raised about \$8 million.

The 2010 Faculty/Staff Campaign under the leadership of Cora Ogle, Jeff Bauer, Frank Bowen, Ginny Hizer and Bruce Alt ran through June 30th. I'm pleased to report that we celebrated a new record for donor participation, with about one-third of UC's permanent, full-time employees donating to the campaign. Current and retired UC employees gave nearly \$4.58 million to 636 fundraising needs and priorities around campus. We were also encouraged by our final donor count of 3,309, which represents a

20% gain over last year's donor total, and the fact that we attracted 582 new donors was also significant.

The Proudly Pennies campaign initiative continues to engage students in fundraising, and a variety of events are planned for fall quarter with the return of students to campus. I hope many of you, as Dr. Williams pointed out, will attend the "Bearcats Rally on Fountain Square" taking place this Friday prior to the Oklahoma football game. This event will have a strong pennies presence, and will also look to engage a number of businesses and corporations with which we have relationships in the downtown area. Students have now raised very close to \$10 million, or I'm sorry, 10 million pennies (*Laughter*) through August 31.

President Williams' arrival last fall, along with his passion for the transformational power of education in fundraising, allowed us to reenergize the *Proudly Cincinnati* campaign under his leadership. We anticipate a similar increase in campaign momentum as we now roll out *UC2019*►. Dr. Williams' plan brings a heightened focus on the significance of private support to the university. Of particular importance and relevance to the Foundation are the following:

- While our first priority is to successfully complete the *Proudly Cincinnati* goal of \$1 billion we are already building our organization to continue a fundraising rate of \$125 million per year after 2013.
- Foundation staff and trustees have been actively engaged with university academic and research leaders to formulate a signature initiative that will drive and showcase UC's advancement of experiential learning, industry partnership and interdisciplinary collaboration. This work has been going for over a year and is beginning to gel and exciting, innovative opportunities are about to emerge.
- Significant efforts continue to strengthen our Alumni Association to reach President Williams' target which was printed at 18% and very carefully couched

to be 18.19% or participation of alumni in fundraising endeavors and giving. And, I can assure you that if we get to 18.19% that number will be 19 one way or another.

- To this end, a classification of donors is being created to improve participation rates at the lower levels of giving. An 1819 Club is being opened to beginning contributors who are willing to start their participation with a gift of \$18.19 – hopefully continue to ramp that up.
- We're also taking steps internally to meet *UC2019* milestones. Each operating department has conducted an in-depth SWOT analysis where they have identified strengths, weaknesses, opportunities and threats and are developing plans to address all of those.
- We've redoubled our marketing efforts under Cathy Wolfe, Foundation Vice President of Marketing. We've continued to enhance and conduct sophisticated research and intelligence around donor selectivity and prioritization to make our donor prospecting more efficient and more productive. We've dramatically enhanced and increased the use of electronic media to communicate with and engage donors. As mentioned earlier, our webpage is undergoing a major redesign and social media are being deployed to draw in volunteers and donors.
- Finally, we're organizing a Foundation Strategic Planning Initiative that will work in concert with the charge assigned to Dr. Ono to build a Strategic Plan for the university academic community. The Foundation Plan will focus on completion of the *Proudly Cincinnati* Campaign and preparation for \$125 million run rate after that campaign completes.

Budget has been a significant priority to the Foundation. As with most components of the university our Foundation struggled with 2010 and 2011 budgets. At

a time when operating funding is under severe pressure, our efforts and needs for human resources were elevated to new highs in the face of *Proudly Cincinnati* commitments to raise \$1 billion.

Traditional funding from collar assessments to the endowment fund and interest on gifts in process were greatly depressed by shrinking asset values and depressed interest rates. In response, costs of operations at the Foundation were materially reduced and avenues for funding from the university were broadened through collaboration with Dr. Williams, Bob Ambach, and University Finance. As a result and considering the longer-term economic outlook, a new contract for services between the Foundation and the University is being drafted and will be presented later this fiscal year.

In closing, we're very excited about the outlook for UC under the leadership of Dr. Williams and Dr. Ono and the energy that will build from *UC2019* and its positive impact on our Foundation's mission. We have a strong and dedicated staff at the Foundation and a tireless core of dedicated trustees. All of whom are poised to succeed in *Proudly Cincinnati* and raise the bar for private funding on a permanent basis.

I'm Steve Wilson and I'm very *Proudly Cincinnati*.

I'd also open up the floor to any questions you might have and would be happy to try to address them. Thank you very much. (*Applause*)

President Williams:

Thank you, Chairman Wilson for all the work that you and your colleagues at the Foundation are doing. It is really tremendous and we are really moving forward so I do thank you so much for all that you have done.

Now we have an update on an emerging opportunity and a possible UC Research Institute. Dean Carlo Montemagno, Dean of the College of Engineering and Applied Science will come up along with Peg Allensworth, Sr. Association Vice President for

Administration and Finance, to give us some background information as to what these opportunities look like.

(Carlo Montemagno, Ph.D., Dean, College of Engineering and Applied Science, and Peg Allensworth, Sr. Associate Vice President, Administration and Finance, gave the following presentation "Update on Emerging Opportunity: UC Research Institute", using PowerPoint slides. A copy of the presentation is on file in the Office of the Board of Trustees.)

Ms. Allensworth:

Good morning everyone. Thank you, President Williams. Dean Montemagno and I are here today to give you, as President Williams said, an update on an emerging opportunity that has risen out of our longstanding relationship with GE Aviation. We'll begin with a little bit of background information and then we'll give you a little bit of information on progress-to-date and then next steps.

As you may recall, about a year ago the university signed the Memorandum of Understanding with GE Aviation and the Chancellor to explore the potential of a unique collaboration that included higher education, industry and the state. The MOU called for the entities to explore the possible creation of a not-for-profit Research Institute and other commercial and research and development opportunities. In essence, we asked ourselves, "What kind of wattage could we generate by working together to advance our respective goals and in the process foster the development of innovative technology and create more jobs?"

Our exploratory discussions with GE Aviation continued a pace for several months and the more we talked the more it became clear that we needed to give ourselves more time to fully understand this potential. So, all parties agreed to extend the MOU until the end of this calendar year. To ensure that the university was prepared to take advantage of this emerging opportunity, the approved Fiscal Year 2011 budget included \$1 million for the possibility of a Research Institute.

Since signing the MOU, UC and GE Aviation have invested energy and resources in exploring this opportunity. The UC and the GE teams have met together weekly at alternate locations to explore the full range of options and the potential operational and funding requirements. I have served as program manager and have been joined on the UC team, of course by Carlo Montemagno, and also Tim Keener, Associate Dean for the College of Engineering and Applied Science; Natasha Cavanaugh, Associate General Council; Beth McGrew, Associate Vice President for Planning; and some others. Our counterparts have made up the team from GE. Following up on those meetings, Carlo and I have met with Bob Ambach and the Provost and will now, of course, be meeting with Dr. Ono and also the Vice President for Research, Sandra Degen.

In addition to the weekly meetings, the researchers from the UC College of Engineering and Applied Science and the technical experts at GE Aviation have met on a regular basis to further identify synergies between UC's research expertise and interests and GE Aviation's product development needs. Shortly, Carlo will touch on these areas for this research collaboration. Finally, I want to let you know that we've established an internal operations team that has begun the detailed work of building the business plan and organizational plans.

Carlo has been fond of saying that this is a journey and he's right. The teams came to realize that although the opportunity has incredible potential it came with considerable complexities. So we decided to take a phased approach. The initial phases would focus on the creation of a Research Institute for industry sponsored and we would limit that, at this point, to GE and other firms wishing to work with the College of Engineering and Applied Science. So only that college and GE Aviation would be our launch partner.

In subsequent phases, we would explore additional possibilities with GE and possibly expand the research institute to serve other colleges if that seemed appropriate. Of course, we would look for more partnerships and funding opportunities. Now I will

turn it over to Carlo to talk about why we would want to consider a Research Institute at this time.

Dr. Montemagno:

A lot of work was done and a lot of discussion and a lot of head banging to get to the point where we are right now. The question is, “Why did we engage in this activity and why don’t we just do it within the existing structure that we have on hand?” Well, UC is extraordinary in comparison to most universities in the country and particularly with the AAU in that we have a very close relationship with industry. That relationship is founded and is seeded by our co-op program.

Every year, the College of Engineering and Applied Science places students in over 600 different companies nationwide and worldwide. Yet we have close relationships, beyond placing of the students, with less than 20 of them. Why is that? Why are we squandering this incredible opportunity to be able to fully engage and satisfy our mission or transfer of knowledge, effective training of the workforce and not taking advantage of this? When we looked at this we found out that there are some barriers that we have in working with industry. In particular, many of the companies that we deal with engage with either proprietary information or classified work and they want some assurances that information is going to be kept confidential. They want to have publication restrictions. These things are very difficult to do at a public university and we need some structure that allows us to engage in doing that. The outcome of all of this though is that we believe we’re going to establish new opportunities for our co-op students – opportunities that extend to graduate co-op experiences for our students and experiences that transcend down to primary education. We have been in discussions with the Princeton School District and others about creating a K through UC to GE STEM pipeline, and educational program at which we are partners with industry and with government and with higher education to allow us to mutually support each other. This Research Institute provides that vessel to allow us to do that.

The launching program that we have is focused on GE and GE has identified three primary areas which are critical and essential to the aerospace industry. These so happen to coincide with the recent \$17 million award that we received from the state for the ORSP Scholar Program. We intend on leveraging and aligning the investment of UC along with the strategic needs of the Southwestern Ohio Aerospace industry in a close partnership to work on issues such as advanced combustion engineering, advanced materials and thermal management. Collectively, us working together in this new form will establish us as truly a leader in research and in industry partnership. I think it will allow us to greatly fulfill our mission and our aspirations as we transform ourselves in the next few years.

Ms. Allensworth:

We have this little step here and that is for me since I am vertically challenged. *(Laughter)* That's why you see us stepping up and down and back and forth here. As Carlo points out this partnership with GE Aviation and the creation of a Research Institute will produce considerable benefits by adopting a more entrepreneurial approach to securing research funding through new and enhanced relationships with industry and corporate partners. It will give us more flexibility in working with those partners and it will open the door for classified research. It will also provide more learning opportunities through expanded co-op positions, internships, and workforce training. It will help us attract and retain world-class talent both in terms of faculty and students and it will also help us attract more business partners. And finally it will foster the development of innovative technology that will lead to more jobs including jobs for UC graduates.

Okay, you're thinking this sounds good, but really show me the money. And that's fair. Earlier I mentioned the \$1 million that was budgeted on a permanent basis for the Research Institute. We would seek to leverage that funding by at least a factor of ten to one. As you may or may not know, GE Aviation currently spends a billion dollars a year on research related to produce development and we want more of that pie. We are working now on securing funding from GE Aviation to support the three areas of

research that Carlo mentioned. We anticipate about \$3 million in the first year and future funding over the next four years to total more than \$20 million. As a further indication of GE's interest and commitment, they are identifying space for a research center at their Evendale campus and we expect to house up to six researchers there initially.

Of course, we are looking at other funding sources. The College of Engineering and Applied Science applied for a \$15 million grant from the National Institute for Standards in Technology to build an Advanced Aviation Metrology Lab which would form a key component of the research partnership with GE. The goal is to develop advanced metrology methods for engine parts that would be coupled with advanced manufacturing methods to produce industrial gas turbines and aircraft engines with ultra low emissions. We will, of course, also continue to seek funding from the state and other agencies.

Our next steps toward creating a Research Institute will be a deeper dive into the details to make sure we get this right to put it on sound footing. We will come back to you in the future with an entity structure plan and a full business plan to seek your approval for establishing a Research Institute. Until then, we thank you for your attention and interest. *(Applause)*

Mr. Humes:

It sounds like a fantastic program. Question on funding, you said that we would put up \$1 million and hopefully GE would do \$3 million and then \$25 million over time. Is our investment capped at \$1 million or what is the expectation of additional investment from UC directly going forward?

Ms. Allensworth:

Right now it's set at \$1 million permanently budgeted. As we think about this Research Institute as one of those things that would really have to float on its own bottom and we would expect that would happen. It's going to be run sort of as an entrepreneurial affair – it will have to grow all of that research.

Mr. Humes:

A great concept and a great idea and with that seed money that's very positive.

Mr. Cassady:

Is it anticipated that this organization is going to own any real estate?

Ms. Allensworth:

I don't think so, but that's a really good question. I think it's too early to tell, but that's not anticipated.

Dr. Schaefer:

It's my understanding that restrictions on classified research is a state requirement. Did we get around that somehow?

Dr. Montemagno:

Yes Dale because it's a separate corporate entity – a private institution – so it is no longer a state institution.

Dr. Schaefer:

Isn't that doing indirectly what you're not allowed to do directly? *(Laughter)*

Dr. Montemagno:

It's finding a path forward. *(Applause)*

President Williams:

Thank you very much Peg and Carlo. It was a great presentation and we look forward to hearing more in the future. Madame Chairman – that completes my report.

Mrs. Heimann:

Thank you, President Williams. We will now proceed with the committee meetings. I would like to appoint Fran Barrett as a temporary member of the Academic and Student Affairs Committee and Tom Humes as a temporary of the Finance and Administration Committee for today's meetings. Our first committee is the Academic and Student Affairs Committee.

THE BOARD COMMITTEE MEETINGS

(Proceedings of the Board committee meetings are contained in the respective committee meeting minutes, which are on file in the Office of the Board of Trustees.)

The committee meetings began at 9:21 a.m.; the meetings concluded at 10:07 a.m.

THE REGULAR MEETING OF THE BOARD OF TRUSTEES

The Regular Meeting of the Board of Trustees was convened at 10:07 a.m. and, as noted on the first page (page27) of these minutes, roll call was taken.

Approval of the Minutes from the Regular Meeting of the Board of Trustees of June 22, 2010

Mrs. Heimann called for additions, corrections, or deletions to the minutes of the Regular Meeting of the Board of Trustees of June 22, 2010. Upon proper motion by C. Francis Barrett and seconded by Thomas D. Cassady, the minutes were approved as distributed.

Approval of the Items Recommended by the Board Committees

Listed below are the items recommended to the Board of Trustees for approval by the Academic and Student Affairs Committee and the Finance and Administration

Committee at their respective meetings held on September 21, 2010, prior to the Regular Meeting of the Board of Trustees.

Academic and Student Affairs Committee Recommendations

10.09.21.01 ACADEMIC APPOINTMENTS

Synopsis: Appointments of Faculty and Academic Administrators

It is recommended that the Board of Trustees approve the academic appointments of faculty identified in the attached document. These recommendations have been approved by the appropriate departments, colleges, and offices of the Vice President for Health Affairs and the Senior Vice President for Academic Affairs and Provost.

Appointments

Steven Carlton-Ford, PhD	Department Head Department of Sociology McMicken College of Arts and Sciences Effective: September 1, 2010, through August 31, 2015
Sooshin Choi, MFA	Director, School of Design College of Design, Architecture, Art, and Planning Effective: September 1, 2010, through August 31, 2015
William Heineman, PhD	Department Head Department of Chemistry McMicken College of Arts and Sciences Effective: September 1, 2010, through August 31, 2015
Deborah Meem, PhD	Department Head Department of Women's, Gender, and Sexuality Studies McMicken College of Arts and Sciences Effective: September 1, 2010, through August 31, 2015
Sandra L. Starnes, MD	Dr. John B. Flege, Jr. Chair in Cardio-Thoracic Surgery College of Medicine Effective: September 1, 2010
Alan Sullivan, PhD	Department Head Department of Anthropology McMicken College of Arts and Sciences Effective: July 1, 2010, through June 30, 2015
Nicasio Urbina, PhD	Department Head Department of Romance Languages McMicken College of Arts and Sciences Effective: September 1, 2010, through August 31, 2015

Peter van Minnen, PhD	Department Head Department of Classics McMicken College of Arts and Sciences Effective: September 1 2010, through August 31, 2015
William Williams, MA	Director School of Architecture and Interior Design Associate Professor with Tenure of Architecture College of Design, Architecture, Art, and Planning Effective: September 1, 2010, through August 31, 2015
E. Steve Woodle, MD	William A. Altemeier Chair in Research Surgery College of Medicine Effective: September 1, 2010

Appointment Extension

Ralph Katerberg, PhD	Head, Department of Management College of Business Effective: September 1, 2008, through August 31, 2013 (previous appointment ended August 31, 2012)
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Emeritus Status

John Cuppoletti, PhD	Professor Emeritus of Molecular and Cellular Physiology College of Medicine Effective: August 1, 2011
Sam Geonetta, PhD	Professor Emeritus of Information Technology College of Engineering and Applied Science Effective: September 1, 2010
Harold Gotoff, PhD	John Miller Burnam Professor Emeritus of Latin and Romance Paleography McMicken College of Arts and Sciences Effective: September 1, 2010
Donald Kelley, MFA	Professor Emeritus of Art College of Design, Architecture, Art, and Planning Effective: September 1, 2010
Danuta Malinowska, PhD	Research Professor Emerita of Molecular and Cellular Physiology College of Medicine Effective: March 1, 2011
Michael Sage, PhD	Professor Emeritus of Classics McMicken College of Arts and Sciences Effective: September 1, 2010
Robert Schlemmer, MS	Associate Professor of Information Technology College of Engineering and Applied Science Effective: September 1, 2010

Kyra Whitmer, PhD Associate Professor Emerita of Nursing
College of Nursing
Effective: July 1, 2010

Wanda Wilson, PhD Professor Emerita of Clinical Nursing
College of Nursing
Effective: February 1, 2010

10.09.21.02 RECOMMENDATIONS FOR TENURE AND PROMOTION

Synopsis: Approval of tenure and promotions

It is recommended that the Board of Trustees approve granting of tenure and promotion for faculty effective September 1, 2010, according to the attached list. These recommendations have been approved through the process of progression through the departments, colleges and the Senior Vice President for Academic Affairs and Provost.

FROM ASSOCIATE PROFESSOR TO PROFESSOR

McMicken College of Arts and Sciences

Billi Johnson Africana Studies Has Tenure

FROM ASSOCIATE PROFESSOR-CLINICAL TO PROFESSOR-CLINICAL

College of Law

Rachel Jay Smith

FROM ASSOCIATE LIBRARIAN TO ASSOCIATE SENIOR LIBRARIAN

University Libraries

Arlene Johnson With Tenure

10.09.21.03 DEGREE TITLE CHANGES

Synopsis: Degree title changes in the College of Design, Architecture, Art, and Planning and College of Engineering and Applied Science

It is recommended that the Board of Trustees approve the degree title changes:

College of Design, Architecture, Art, and Planning

From: Graphic Design and Digital Design
To: Graphic Communication Design

College of Engineering and Applied Science

From: Bachelor of Science in Architectural Engineering Technology
To: Bachelor of Science in Architectural Engineering

From: Bachelor of Science in Nuclear Engineering
To: Bachelor of Science in Environmental Engineering

The proposals* have been reviewed and approved by the appropriate authorities.

10.09.21.04 DEPARTMENT TRANSFER

Synopsis: Department of Economics transfer to the College of Business*

It is recommended that the Board of Trustees approve the transfer of the Department of Economics from the McMicken College of Arts and Sciences to the College of Business effective July 1, 2010.

Finance and Administration Committee Recommendations

10.09.21.05 JANE AND PHILIP FOSTER WING

Synopsis: It is recommended that the Board of Trustees approve the naming of the Jane and Philip Foster Wing located in 5480 A&B of the Aronoff Center.

The Jane and Philip Foster Wing will provide a state-of-the art seminar room for classes taught by DAAP Library and DAAP College faculty, and as a group study space for DAAP students.

10.09.21.06 DR. STANLEY AND MICKEY KAPLAN RECEPTION HALL

Synopsis: It is recommended that the Board of Trustees approve the naming of the Dr. Stanley and Mickey Kaplan Reception Hall in the CARE/Crawley reception hall space, East Campus.

Dr. Kaplan toured the CARE/Crawley Building and chose to use his undesignated endowment for the space outside of the dining area. This space will be used as a reception and gathering space for the UC College of Medicine.

10.09.21.07 SHEAKLEY ATHLETIC COMPLEX

Synopsis: It is recommended that the Board of Trustees approve the naming of the Sheakley Athletic Complex.

This is currently the Jefferson Avenue Sports Complex. Larry Sheakley was responsible for over \$3.5 million raised for the complex. The facility will be used for athletic training and competition.

10.09.21.08 FIFTH THIRD TERRACE

Synopsis: It is recommended that the Board of Trustees approve the naming of the Fifth Third Terrace

*Filed in the Office of the Board of Trustees

This is currently the Jefferson Avenue Sports Complex – Terrace Plaza. Fifth Third Corporation made a financial commitment of \$1.5 million toward the project. The space will be used as a concourse for spectators.

10.09.21.09 JAMES & JOAN GARDNER FAMILY FOUNDATION FIELD

Synopsis: It is recommended that the Board of Trustees approve the naming of the James & Joan Gardner Family Foundation Field.

This is currently the Jefferson Avenue Sports Complex – Large Field. The James & Joan Gardner Family Foundation committed \$1 million toward the project. The space will be the large practice and competition field.

10.09.21.10 CHAMPION'S WALKWAY PRESENTED BY THE HERSCHEDE FAMILY

Synopsis: It is recommended that the Board of Trustees approve the naming of the Champion's Walkway presented by the Herschede Family.

This is currently the Jefferson Avenue Sports Complex – Champion's Walkway. The family of Joni Herschede committed over \$500,000 to the Athletics Capital initiative. The space will be used as the walkway to the athletic training and competition facility.

10.09.21.11 UNIVERSITY RULE 3361:40-5-05

Synopsis: Changes to University Rule 3361: 40-5-05, Conduct, rights and responsibilities: student code of conduct

It is recommended that the Board of Trustees approve the amendment of University Rule 3361: 40-5-05* entitled "Conduct, Rights and Responsibilities: Student Code of Conduct." Each year, the Student Code of Conduct is reviewed in accordance with University Rule 3361: 40-5-03. This year, key changes to the code include provisions that (a) broaden the off-campus reach of the code, (b) facilitate the reporting of sexual assault, and (c) enhance the process for appeals.

10.09.21.12 ADMINISTRATIVE LEAVE

It is recommended that the Board of Trustees approve an Administrative Leave for Anthony J. Perzigian as he leaves the position as Senior Vice President and Provost to return to the faculty, with full salary and benefits, from September 1, 2010 through August 31, 2011.

10.09.21.13 GENERAL RECEIPTS OBLIGATIONS, UNIVERSITY OF CINCINNATI ENERGY SAVINGS PROJECTS/INITIATIVES, PHASE 3

Synopsis: It is recommended that the Board of Trustees approve the attached resolution,* known as Energy Savings Projects/Initiatives, Phase 3 authorizing the issuance of General Receipts Obligations, University of Cincinnati, not to exceed \$10,900,000. This is a request for new debt authority.

*Filed in the Office of the Board of Trustees

The Energy Savings Projects/Initiatives, Phase 3 consists of various projects prioritized within the University's Energy Master Plan, designed to improve efficiencies within existing buildings with new/updated building systems, and throughout various areas of campus. The projects being considered within this phase include, but are not limited to, work in Rieveschl Hall, Rhodes Hall, Kettering Lab Complex, Building G on the University's Reading Campus (formerly Genome Research Institute) and exterior lighting modifications. These projects are specifically designed to facilitate a reduction in energy use and to facilitate the university's compliance with the requirements of Ohio House Bill 251 and the American College & University Presidents' Climate Commitment, as outlined in the University's Energy Master Plan. The third phase of the Energy Savings Projects was approved at the June 22, 2010 Board Meeting as part of the Capital Budget Fiscal Year 2010-11. The various projects planned within this phase are currently in programming or design. Construction will be phased over a one to three year period, based on the requirements of the individual projects.

The purpose of this recommendation is to request the authorization of the issuance of general receipts obligations in the amount of \$10,900,000. The attached resolution also provides authorization to issue the general receipt obligations as Build America Bonds (BABs) or Qualified Energy Conservation Bonds should the economics warrant. The \$10,900,000 provides debt authority sufficient to fund \$10,000,000 of project costs along with debt issuance and capitalized interest costs. Average annual net debt service for the debt to be issued, based on 6% annual interest rate, is estimated at \$890,000 beginning in FY14 and will be repaid over 20 years by the Consolidated Utilities Service Center, utilizing the savings achieved as a result of the reduction in energy use.

10.09.21.14 AUTHORIZATION FOR NEW BANK ACCOUNT IN SPOLETO ITALY FOR CCM SUMMER PROGRAM

Synopsis: Authority is requested to open a new bank account for the CCM Summer Program which has relocated from Lucca to Spoleto, Italy.

As set out in university rule 3361:10-5-14 which gives the Treasurer responsibility for managing receipts of the university only through board-designated depositories, it is recommended that the Board of Trustees approve the opening of a bank account at the Banca Popolare di Spoleto S.p.A. in Spoleto Italy. This account is necessary for the CCM Summer Program in Italy and will replace the account at Cassa di Risparmio di Lucca Bank in Lucca Italy. The account is to be opened by Sara Danner Dukic, Program Manager CCM Spoleto and CCM Summer Programs.

Resolved that effective November 1, 2010 all checks drawn on the University of Cincinnati Bank Account at Banca Popolare di Spoleto S.p.A. bear one of the following signatures: Sara Danner Dukic, Program Manager CCM Spoleto and CCM Summer Programs, or David Adams, Head CCM Performance Studies, or William L. Doering, Treasurer, or Carol S. Metzger, Assistant Vice President and Controller.

10.09.21.15 RAYMOND WALTERS COLLEGE MASTER PLAN

Synopsis: Recommendation to approve the Raymond Walters College Master Plan.

It is recommended that the Board of Trustees approve the Raymond Walters College Master Plan.

A Master Plan articulates a vision for the growth of the campus and outlines primarily the physical framework that will guide campus growth. The plan also offers insight to inform future academic and

financial resource planning, substantiates funding requests to the State of Ohio and provides an outline to guide implementation of the plan.

In 2008 a Master Planning Committee was formed under the direction of the Office of the Provost for Baccalaureate Education. Led by the Interim Dean, the Master Plan Committee included student, faculty, staff, and campus governance representation. Committee meetings, led by the Interim Dean and led and facilitated by the office of Planning + Design + Construction, reviewed data, analyzed issues and identified priorities for the plan. Following the appointment of the Dean, Cady Short-Thompson, the plan was reviewed to accommodate her direction. The attached document* is an Executive Summary of the full Master Plan document that resulted from this two year effort.

Comments from Chairman Heimann

Mrs. Heimann:

The full Board has been present at the Committee Meetings held today and has received the recommendations of the Academic and Student Affairs and the Finance and Administration Committees. The items reviewed and recommended by the Committees are named in the Action Items list at your place.

May I have a motion to approve all of the recommended items?

Upon motion of Mr. Cassady, seconded by Mr. Barrett, the Board approved the items recommended by the Committees by the roll call vote.

Mr. Barrett:

Sandy, could I just make one comment before we vote?

Mrs. Heimann:

Yes.

Mrs. Barrett:

I understand that at the last board meeting there was an issue about the Department of Economics and we approved that during the Student Affairs Committee meeting. I understand that the department itself, the chair and faculty, fully support that

*Filed in the Office of the Board of Trustees

transfer. Secondly, that students in the McMicken College of Arts and Sciences will be able to minor in Economics. Thirdly, that Dean Hardcastle in the McMicken College of Arts and Sciences is now comfortable with that recommendation. Valerie, I understand that you are now comfortable. I just wanted to make sure that since there was discussion last time that all issues have now be resolved. Is that correct?

Mrs. Heimann:

We appreciate those comments Fran.

Recommendation Nos. 10.09.21.01 through 10.09.21.15

AYE: Mrs. Heimann, Mr. Barrett, Mr. Humes, Mrs. Buchanan, and Mr. Cassady

NAY: None

ABSENT: Mr. Chesley, Mr. Heiman, Mr. Niehoff and Mr. Richardson

Unfinished Business and New Business

Mrs. Heimann:

Is there any unfinished business? We'll now have reports from the board representatives and student trustees. Our first report is from Rusty Myers from the Alumni Association.

Alumni Association Report

Mr. Myers:

Madame Chairperson, thank you very much, President Williams and Members of the Board of Trustees. It has been an important and busy summer for your UC Alumni Association.

*Filed in the Office of the Board of Trustees

Last month, the UC Alumni Association Board of Governors voted to take the final step in converting from a dues-based membership model to an open membership philanthropic model model. With this change, all UC graduates will be members of the Alumni Association just by virtue of their having attended the University. On-going funding for our organization will be supplemented by gifts to the Alumni Association and donors will become a part of new UCAA giving societies. Over the next several months, we will be refining our fundraising programs for rollout after the first of the year. On a directly related note, we expect to hire a Director of Development within the next month.

Our Regional Alumni Networks have staged about two dozen events across the country since Memorial Day, including Reds games, local community events, golf outings, Bearcat pre-games, TV watches, and Senior Sendoffs for incoming freshmen. These events collectively drew about 1,000 alumni.

This busy schedule will continue as we move through a jam-packed fall including events related to the current football season.

Locally, we are continuing our series of receptions around Greater Cincinnati area featuring President Williams. Jim and Vivian Schwab hosted the latest gathering at their downtown home just three weeks ago.

In alignment with the university's community engagement goals, we're also co-coordinating a major community-service initiative on Saturday, October 2nd, called "Into the Streets." Students and alumni will volunteer to work side-by-side on various community development and beautification projects.

The annual Demakes Scholarship Luncheon on October 17th will honor our outstanding 2010 class of legacy scholars, their families, and the donors whose gifts help make it all possible.

As part of Sunday's Freshman Convocation, our student group, the Student Alumni Council, distributed the fourth edition of *The Red & Black* to the students in attendance. This book is kind of a "Bearcat 101" and was created entirely by members of the Student Alumni Council, and paid for itself through sponsorship dollars raised by the students. A copy of the book is in your materials and I just want to say that they did an outstanding job with this year's copy.

This year's Homecoming is set for the weekend of Saturday, October 30th. The theme is "Transforming Bearcats Since 1819." We anticipate more than 50 events throughout the UC community, drawing many thousands of Bearcat faithful.

As always, thank you for the Board's ongoing support of the UC Alumni Association's crucial work and the efforts of our staff and volunteers.

Mrs. Heimann:

Thank you, Rusty. We will now have the University Faculty Chair report from Richard Harknett.

University Faculty Chair Report

Dr. Harknett:

Madame Chair, it's my pleasure to report to the Board an extraordinary start to the new academic year in terms of faculty engagement. Over Sunday and Monday, faculty from the entire university came together to begin work on accelerating our transformation. After turning out to support the investiture of our President and the induction of our new student class, faculty gathered in a Faculty Assembly and prodded by world-acclaimed speaker Sir Ken Robinson considered the question of how we create a flexible and adaptive institution that promotes creativity and creative outcomes. The

president, provost, as members of the faculty participated actively, along with Chancellor Fingerhut.

The next morning faculty came together to consider how we operate, and through a Governance 101 program, examined the external forces that we must consider and the internal processes we must work through so that we can be more informed, reflective, and effective in our institutional roles.

We had ten presentations from panelists including Vice President Ambach, Mike Carroll, and down from Columbus, Margie Rolf, and others. The senators then after a lunch watched the president's speech again and met in an agenda-setting caucus to shape committee direction for the year.

This extraordinary amount of engagement then culminated with, in my opinion, the best attended Faculty Senate meeting I can recall. It lasted two hours, in which the President challenged us to begin work and we did with the faculty engaged in a positive discussion with our new Provost Dr. Santa Ono.

I want to thank President Williams for his willingness to structure the opening of the school year with such coordinated engagement so that we are positioned for success. I want to thank the faculty for turning out in such large numbers. It revealed a strong desire on the part of the faculty to take advantage of the distinct institutional moment we have marked with new leadership, semester conversion, and new budget model to work effectively as an academic team. It is through such coordinated engagement that we will succeed in our transformation. I have heard via email already over night and over the last twenty-four hours many positive things from the faculty about our start. Thank you.

Mrs. Heimann:

Thank you, Richard. We will now have our student trustee reports, Portia?

Graduate Student Report

Ms. Watkins:

Thank you. Good Morning Distinguished members of the Board, President Williams, faculty, staff and students.

Since June 21st, President Alex Ignatiou has been working diligently on several goals that will strengthen the role of GSGA and attract potential graduate students to our university.

On July 28th, he met with Dr. Degen to discuss GSGA scholarships and the possibility of the Department of Research continuing to support GSGA. Dr. Degen graciously accepted his request for \$25,000 that will be used to create over 61 new research awards. This was an amazing gift to the GSGA. This is not the first time Dr. Degen actively supports GSGA.

Since the new GSGA officers took office in mid June, reimbursement time for Student Travel Awards was cut in half, from 2-3 weeks in previous years, to five calendar days this year. This was accomplished due to fast, daily processing of paperwork, and the benefits of direct deposit instead of checks being mailed out.

Also of note, this coming November, Key Beck (GSGA Vice President) and President Ignatiou will be traveling to MIT in Cambridge, MA to attend the National Association of Graduate and Professional Students Conference. At this conference, they will place a bid on behalf of our university to host the next NAGPS Regional Conference, which would be between March-April 2011) and the next NAGPS National Conference, which would be between October-November 2011). If we win the bid, we anticipate both media attention and a very large turnout. This is a huge opportunity to highlight how great our university is. I join with President Ignatiou in believing in the limitless potential of our graduate students.

With the summer session over and the new academic year starting tomorrow, the GSGA executive board is already working hard on behalf of the graduate student community. I am excited about the GSGA Agenda and am looking forward to working closely with them on what promises to be a great year for the UC graduate student community. This concludes my report at this time.

Mrs. Heimann:

Thank you, Portia. Kyle Quinn?

Undergraduate Student Report

Mr. Quinn:

Good morning. Undergraduates spent the summer months witnessing the recognition their university has garnered as a result of the hard work and dedication to creating a truly outstanding institution. As mentioned in President Williams' reports, student satisfaction continues to increase and being ranked internationally among the "best of the best" by US News & World Report, The Princeton Review, and Times Higher Education has bearcat undergrads never feeling better about the entire educational experience that UC offers them. For that, I, on behalf of the entire Undergraduate student body, would like to extend our deepest gratitude to the trustees, administration, faculty, staff, and UC supporters who have put the structure in place to make UC such a wonderful place to go to school. That was just my brief script.

As you came to campus this morning, I'm sure you noticed that our most academically talented class now calls UC home and is ready for class to officially begin tomorrow. Student convocation was an unbelievable success and fused with the president's *UC2019* strategic plan flare. And, while I was on vacation in Puerto Rico, I did receive text messages from students claiming that President Williams is quote unquote "ballin" and the translation of that means really good. From a younger crowd's perspective it was really successful and I received several phone calls that I had missed out. And, the excitement after move-in will follow with hundreds of events and

activities taking place as a part of Welcome Week. As you leave today's meeting, take the opportunity to welcome perhaps some new students personally and share your bearcat pride. It's easy to spot our new students, as our undergraduates like to say, "If they have a lanyard with an ID and a key, you know they are new Bearcats."

As always, I like to do a student spotlight for the summer months and they go to a select few:

1. Student Orientation Leaders – these undergrads lead the summer orientation program and are the first line of students instilling the UC pride in our incoming class. These students also had their orientation groups actively participate in a school supply drive to introduce the values of a UC Just Community. Over 4,185 school supplies ranging from crayons to scissors, folders, and pencils and were donated to Taft Elementary and Hughes Center as a result.
2. ROAR Tour Guides – these undergrads lead campus tours all year round. July tour numbers are up 58% since 2007 and overall tour numbers are up 31% from 2008. Thus are just remarkable. I, personally, took a tour to assess that and can say that having an Undergraduate lead the group and answer questions through personal experience does makes all the difference in having an outstanding first-time campus visit.
3. The Mystic Thirteen MortarBoard Chapter – the chapter was honored with the 2010 Silver Torch Award in Chicago this summer for outstanding achievement among our college seniors in scholarship, leadership, and service.
4. UC Clermont Volleyball player Lauren Bradford – who was named USCAA National Player of the Week.

5. UC Scholar-Athlete of the Month – Sam Klosterman of the men’s soccer team.

6. University Honors Program students – these students hosted the annual Honors Retreat for incoming Honors students at Camp Higher Ground in Indiana. With over 300 attendees and guest appearances by Dr. Ono, Kristi Nelson, and Infotech President Ryan Rybolt, our brightest undergrads kicked off the year the right way with one of our highest achieving University programs.

7. And finally, as mentioned by Mr. Myers, *The Red & Black Book* was created by the Student Alumni Council and is mixed in amongst all your other entertaining board materials. I hope you’ll find an opportunity to take a look at that as it’s truly a remarkable publication – more than a bathroom read and something that we carry around campus to look up facts.

That concludes my Undergraduate report, thank you very much and happy school year.

Mrs. Heimann:

Speaking of entertaining, before Drew Smith gives his Student Government Report, the trustees that were at the Convocation and Investiture on Sunday want to know Drew, why you quickly dismissed the trustees and called President Williams back in? What did we miss? What happened?

Mr. Smith:

It was a flash mob.

Mrs. Heimann:

A flash mob – kind of like “ballin.” (*Laughter*) You’re going to have to describe that.

Mr. Smith:

Flash mobs are taking over college campuses all over America. This was UC’s 2nd flash mob and first very successful flash mob. I hope that you will see videos of this on YouTube and hopefully on the university’s website because it turned out fantastic. There was a lot of energy in the room and a lot of students and faculty who had been practicing for this flash mob.

Mrs. Heimann:

I’d just like to say that in the future, the board just might like to be included in that, right?

President Williams;

It is on YouTube right now by the way.

Student Government Report

Mr. Smith:

As students are back on campus and classes start tomorrow, I’ve been speaking with new students about their first experience of life on campus. I’ve been extremely impressed with freshmen’s excitement about starting life at UC. On the Student Government side, we are kicking off some big fall initiatives from our platform to keep their excitement alive and well into the school year.

First Year Experience is a freshman Student Government mentorship program, which has been in recruitment mode all summer and was recently revamped to attract a more diverse representation of the freshmen class. As athletics is an important part of the

University experience, we are strengthening our connections with them by providing a safe and affordable way to transport students to and from the Oklahoma game at Paul Brown Stadium. Also, on October 1st, we will be hosting an athletic open house, where students, fans and trustees can come out and meet all the athletic teams and coaches. At this event, the teams will be able to highlight key games and players for the season.

October will be Sustainability Month where we will launch a pledge for the UC Community to sign that commits themselves to living a sustainable lifestyle. Our goal is to have over 2500 signatures by the end of the month which could be a potential report card measure for *UC2019*►. Finally, Student Government will launch the “It’s Your Call” campaign, which is the primary non-partisan voter registration drive. We held this campaign two years ago, which was very successful, and we’re already off to a great start. There’s a lot of momentum going into the school year and we will continue to reach out to students and sticking to our mission of the year of stronger connections – stronger future. Thank you.

Mrs. Heimann:

Thank you, Drew. Is there any other new business?

Mr. Quinn:

Excuse me, if I could just add that I did receive many wonderful compliments about President Williams, but too about Drew and his contributions at Convocation – I’d just like to recognize him.

Mrs. Heimann:

Thank you, Kyle. Is there any other new business? I will now call for an Executive Session.

Mr. Barrett:

Sandy, before we go into Executive Session, I know that Dr. Williams alluded to the rankings and Dr. Ono, if you have the information that you were kind enough to share

with Margaret and myself in our session last Friday on the rankings of UC, I think those were really incredible rankings – do you have those in front of you?

I wrote down some notes and you said that UC is the top 28th of all US public universities, is that correct?

Dr. Ono:

I was referring to what was mentioned earlier about the Times Higher Education Top World University Rankings where it was ranked 190th of all universities among approximately 15,000 universities and the top 28 or so among the states. I believe that might be in the President’s Good News, I don’t have those in front of me, but it is a remarkable achievement.

Mr. Barrett:

I thought it was just important to bring that up.

Mrs. Heimann:

Good, you might get that information for us if it is different from what the President said in his report.

Executive Session

May I have a motion to enter into Executive Session for the purpose of preparing for, conducting, or reviewing negotiations or bargaining sessions with public employees concerning their compensation or other terms and conditions of their employment.

Upon motion of Mr. Humes, seconded by Mr. Barrett, the Board voted to enter Executive Session by the following roll call vote:

AYE: Mrs. Heimann, Mr. Barrett, Mr. Humes, Mrs. Buchanan, and Mr. Cassady

NAY: None

ABSENT: Mr. Chesley, Mr. Heiman, Mr. Niehoff and Mr. Richardson

Upon proper motion and second, the Executive Session adjourned at 11:27 a.m.

Reconvene Meeting

The meeting of the Board of Trustees of the University of Cincinnati will now be reconvened. (*Meeting was reconvened at 11:27 a.m.*)

May I have a motion to approve the following recommendations:

10.09.21.16 APPROVAL OF THE TENTATIVE AGREEMENT BETWEEN THE UNIVERSITY AND THE AMERICAN ASSOCIATION OF UNIVERSITY PROFESSORS

Synopsis: It is recommended that the Board of Trustees approve the tentative agreement between the university and the American Association of University Professors and authorize the Chair to execute the agreement once it is prepared.

It is recommended that the Board of Trustees approve the tentative agreement between the university and the American Association of University Professors pursuant to Ohio Revised Code 4117.14. Attached to this Recommendation is a summary of the negotiated changes. The University and AAUP negotiators reached a tentative agreement on August 23, 2010 and an execution copy of the proposed agreement is currently being prepared. It is further recommended that the Board authorize the Chairperson to execute the agreement once it has been finalized for signature.

10.09.21.17 APPROVAL OF THE TENTATIVE AGREEMENT BETWEEN THE UNIVERSITY AND THE AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES LOCAL 2544

Synopsis: It is recommended that the Board of Trustees approve the tentative agreement between the university and the American Federation of State, County and Municipal Employees Local 2544 and authorize the Chair to execute the agreement once it is prepared.

It is recommended that the Board of Trustees approve the tentative agreement between the university and the American Federation of State, County and Municipal Employees Local 2544 pursuant to Ohio Revised Code 4117.14.

A Find-Finding hearing regarding wages and benefits resulted in a recommendation that was not rejected by the union membership by the required three-fifth majority; therefore, the Fact-Finder's recommendation was deemed accepted by the union pursuant to Ohio Revised Code 4117.14(C)(6). Attached to this Recommendation is a summary of the tentative agreement that includes the negotiated changes and the recommendations of the Fact-Finder. It is further

recommended that the Board authorize the Chairperson to execute the agreement once it has been finalized for signature.

10.09.21.18 APPROVAL OF THE TENTATIVE AGREEMENT BETWEEN THE UNIVERSITY AND THE INTERNATIONAL UNION OF OPERATING ENGINEERS LOCAL 20

Synopsis: It is recommended that the Board of Trustees approve the tentative agreement between the university and the International Union of Operating Engineers and authorize the Chair to execute the agreement once it is prepared.

It is recommended that the Board of Trustees approve the tentative agreement between the university and the International Union of Operating Engineers pursuant to Ohio Revised Code 4117.14. Attached to this Recommendation is a summary of the negotiated changes. The University and IOUE negotiators reached a tentative agreement on June 18, 2010 and an execution copy of the proposed agreement is currently being prepared. It is further recommended that the Board authorize the Chairperson to execute the agreement once it has been finalized for signature.

Upon motion of Mr. Cassady, seconded by Mr. Barrett, the Board approved the items recommended by the following roll call vote.

Recommendation Nos. 10.09.21.16 through 10.09.21.18

AYE: Mrs. Heimann, Mr. Barrett, Mr. Humes, Mrs. Buchanan, and Mr. Cassady

NAY: None

ABSENT: Mr. Chesley, Mr. Heiman, Mr. Niehoff and Mr. Richardson


Mrs. Heimann:

I know that all the parties involved in the negotiations worked extremely hard during this process and, on behalf of the board, I thank you. I would like to particularly thank AAUP President Steve Howe, Executive Director Deborah Herman, and Negotiator Dave Rubin; AFSCM President Ken Klosterman and Staff Representative Taurean Johnson; and IUOE Chief Steward Clark Miller and Negotiator Terry Ware. I would also like to thank Bill Johnson, Lauren Lantz, John Bryan, Kathy Robins, Karen Faaborg, Jim Plummer and Bob Ambach for their work during this process.

Adjournment

There being no further business before the Board, and upon motion of Mr. Barrett, seconded by Mr. Humes, the meeting adjourned at 11:30 a.m.


SANDRA W. HEIMANN
CHAIRPERSON


THOMAS H. HUMES
SECRETARY