

UNIVERSITY OF CINCINNATI

Official Proceedings of the

Three Hundred and Thirtieth Meeting of the Board of Trustees

(A Regular Meeting)

June 23, 2014

The Three Hundred and Thirtieth Session of the Board of Trustees of the University of Cincinnati was opened at 10:35 a.m. on Monday, June 23, 2014, in the Russell C. Myers Alumni Center of the University of Cincinnati. Notice of this meeting was given in accordance with Section 121.22 of the Ohio Revised Code. The proceedings of the Board, when not otherwise provided for by its bylaws, are governed by *Robert's Rules of Order*.

Thomas H. Humes, Chairperson of the Board of Trustees, presided. Mr. Humes asked that roll be called.

BOARD MEMBERS PRESENT: Thomas H. Humes, C. Francis Barrett, Ronald D. Brown, Thomas D. Cassady, Carl H. Lindner III, William C. Portman, III, Robert E. Richardson Jr., Geraldine B. Warner and Margaret K. Valentine

BOARD MEMBERS ABSENT: None

ALSO PRESENT: Santa J. Ono, President;
Robert F. Ambach, Senior Vice President
for Administration and Finance;
Christina Beer: Student Government
President;
Tom Boat, Vice President for Health
Affairs, Dean College of Medicine;
Beverly Davenport, Provost & Senior Vice
President Academic Affairs;
Kenya Faulkner, Vice President for Legal
Affairs and General Counsel

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Rod Grabowski, President of UC Foundation
 Greg Hand, University of Cincinnati Spokesperson;
 Ryan Hays, Executive Vice President;
 Laurence Jones III, Former Alumni Representative;
 Troy Neat, Alumni Representative;
 Joshua Smith, Graduate Student Trustee;
 Ben Keefe, Undergraduate Student Trustee;
 Tracy Herrmann, Interim Faculty Senate Chair;
 Erin Ascher, Sr. Associate Vice President and Chief Human Resources Officer
 Mike Bohn, Director of Athletics
 Bleuzette Marshall, Chief Diversity Officer
 Debra Merchant, Vice President for Student Affairs;
 Peter Landgren, Chair, Council of Deans;
 James D. Plummer, Vice President for Finance;
 Peter Stambrook, Faculty Representative;
 Greg Vehr, Vice President Government Relations and University Communications;
 Nicole Blount, Executive Assistant to the Board of Trustees;
 and the public

(Prior to the Board Committee Meetings and the Regular Board Meeting, Chairman Humes began the proceedings at approximately 8:34 a.m.)

Mr. Humes:

Good morning everyone and Welcome to the June 23 meeting of the University of Cincinnati Board of Trustees. We have quite a meeting in front of us this morning with some very exciting things. This is the last meeting of our academic year and before we get into the meeting I'd like to mention a few reasons why I believe that we have some things to be incredibly thankful for in this past year.

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The achievements of our university and you as a team, particularly our academic team and leaders leading us have accomplished some truly phenomenal things this year. Just as a few, we were named the number one public university of the year. As I'd said before, that's not number one in the Midwest, that's not number one in the state of Ohio its number one in the country. We also were named the number one public university in regard for value received on higher education (most bang for buck) again remarkable achievement.

We were rated the number one university in the country for contribution to community and return on investment to the local community. And we were named the third most up and coming university in the country by U.S. news and World report. On top of that, new record enrollments. The highest rated academically new class we have ever taken in. Highest number of national merit scholars ever received. And we also accomplished something that less than two percent of other universities in the country have ever accomplished and that is the successful completion of a fund raising campaign, Proudly Cincinnati that raised over one billion dollars for this university. So I would like to take a moment and thank Dr. Santa Ono, his team and all of the members of this university community for a great year. (Applause)

We have a very full meeting agenda, the last two months have been particularly busy, perhaps most noted by a series of phenomenal graduation events. We actually had six different graduations and graduated approximately six thousand students, again remarkable achievement. They were fantastic events and again I thank the deans, faculty and everyone for their work.

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This morning, we are pleased to welcome two new faces to our table. First we have our newest board member, Ms. Margaret “Peg” Valentine and Troy Neat – would you both stand and be recognized? Thank you.

Margaret “Peg” Valentine, the newest Board of Trustee member that was appointed by Governor Kasich on May 27, 2014. Peg is a longtime supporter and alumni of UC. She is the Vice President of Valentine Research, Inc. She is chair of the Board of Trustees to the Economics Center, she is a UC Foundation Trustee, UCATs member, Valentine House board member, School of World Languages and Literature advisory board member, the Vice President and Secretary of the Cincinnati Preservation Association, a board member of Ohio Valley Scottish Society and the list does indeed goes on. Peg is a supporter of the arts as well as a mentor and tutor in Cincinnati Public Schools. Peg, we welcome you to the board of trustees. *(Applause)*

Next, we would like to welcome Troy Neat as the newly elected president of the Alumni Association Board. Troy is a Senior Vice President- Investments with the Neat, Redpath & Spaeth Wealth Management Group at Merrill Lynch. Troy also serves on the UC Blue Ash Dean’s Advisory Board and the UCAA Strategic Planning Committee. Additionally, Troy serves on the Sigma Chi House Corporation as well as the Lincoln W. Pavey Educational Foundation. Troy enjoys traveling with his family, golf, and following UC athletics. Welcome Troy. *(Applause)*

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We also have a special guest with us in the audience this morning, would Tom Williams please stand. (Applause) You will hear from Tom in a few minutes as part of Dr. Ono's presentation. If that name sounds familiar, it should, Tom is the chair of the 3CDC, the economic development driver for our community. He has also been chair of the port authority and virtually every organization devoted to economic development in our city. Truly a great leader and Tom we welcome you to our campus.

We also have to say farewell to two very special people that have contributed greatly to our university and I would like to ask the following to please come up and stand beside me, Josh Smith and Laurence Jones. Josh has served as our university graduate trustee for the last two years and has done an absolutely wonderful job and provided dedicated, and focused leadership to our board and has done so well representing his constituency, I know that he's proud and I'm proud to say that he is a very recent graduate of the UC College of Law. Thank you very much for everything Josh and congratulations. *(Applause)*

Laurence is finishing up his two year term as president of the UC Alumni Association. He has done a remarkable job, very busy times, lots of excitement, lots of great things going on. A lot of rejuvenation of the Alumni Association in conjunction of the reorganization of the entire development and Alumni office and we really appreciate all of the work and the many hours you have put in for UC. So congratulations to one of our great bearcats. *(Applause)*

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At this time I'd like to thank Tracy Herrmann for fulfilling the faculty senate chair position, we look forward to working with you. Could you tell everyone what your title is and what you do at Blue Ash College?

Ms. Herrmann:

Well I am a professor of Allied Health and Radiologic Technology and I am taking over as chair of the University faculty and the faculty senate until October and we will hold an election at that time for a permanent replacement.

Mr. Humes:

Now is that AM or FM radio technology? *(Laughter)* We welcome you and thank you for filling in for the rest of the year and look forward to you being a part of our meetings.

Ms. Herrmann:

Thank you.

Mr. Humes:

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Next, I would like to call Rob Richardson to the podium for a special presentation and I would like to have Rob joined by one of UC's most well known and most dedicated bearcat. Would Greg Hand please come forward?

Mr. Richardson:

We had a retirement ceremony for Greg, but it's an honor to actually do this officially at the Board of Trustees meeting, so we have a resolution that I would like to read to him, but before we do that, I'd like to talk to you about Greg. Greg has probably been here longer than almost everyone in terms of their service to presidents, I think you've been through seven presidents, and he certainly knows the most about UC, he knows everything you want to know and everything you don't want to know, you can certainly learn from Greg Hand.

Someone once asked me which of the lions are Mick and Mack, I said I have no idea, but I know who will and that's Greg Hand. He certainly knows everything about this university. We go back about thirteen years and I know this is more than a nine to five for him; he lives and breathes red and black. He loves this university and everything he tried to do, he tried to further the university. Anybody that interacted with him can tell you that he tries to do everything possible to help each and every person at this university, no matter who they are. He treats everybody equally and I think that's why so many people came out for his retirement, that's why I was glad to be there, sad to see him leave, but as Tom Humes has said, that he is going to be brought back in for the

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Bicentennial and were going to keep working you, though I know you want to get back to your lovely wife and we've taken a lot of your time, but you should know that we as bearcats are appreciative for everything you've done, so with that I'd like to read this resolution.

WHEREAS the Board of Trustees of the University of Cincinnati wishes to express its deepest appreciation to Gregory L. Hand, a 1974 graduate of the McMicken College of Arts & Sciences, for 36 years of faithful and outstanding service to his alma mater;

WHEREAS the said service began in 1978 and spanned duties from editing the faculty/staff newspaper, to science writer, to news bureau manager, to adjunct instructor and ultimately Associate Vice President and University Spokesperson;

WHEREAS during both calamity and prosperity, Mr. Hand has provided cogent public relations advice to scores of presidents, vice presidents, deans, department heads, faculty and staff members;

WHEREAS Mr. Hand expanded his duties in university communications to frequent the stacks of the University Archives, becoming an avid seeker of UC history and co-authoring a number of booklets and volumes showcasing the University's giants, genius, traditions, travails and triumphs;

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WHEREAS this seeker of history grew into the keeper of UC history, and even in retirement his love of the University of Cincinnati will carry over into the greatest celebration in its history, the UC Bicentennial;

NOW, THEREFORE, let it be resolved that the Board of Trustees of the University of Cincinnati, a State University organized under Chapter 3361 of the Ohio Revised Code, commends and expresses its appreciation to Gregory L. Hand for more than three decades of honorable and exceptional service enhancing the prestige and standing of the institution he cherishes.

BE IT FURTHER RESOLVED, that this Resolution be spread upon the minutes of said Board and that a certified copy be presented to Mr. Hand.

Mr. Richardson:

On behalf of the University Of Cincinnati Board Of Trustees, I would like to present this resolution to you and your family. *(Applause)*

Mr. Humes:

It's very rare, when you have someone who has provided such leadership to the university retire after so many years. But we actually have two people that fit this category today, you've met the first one and your about to meet the second one. Name

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might not be as familiar to everyone on campus, but his contribution to the university will be very familiar to you.

To present this, Tom Cassady would you please come to the podium? And joining Tom we'd like to ask Mr. Lee Mairose to come forward. *(Applause)*

Mr. Cassady:

The board has been receiving advice from Lee for a long time and about all we are doing with our bonding and as you know that is a very important part of what we do to create the campus and the environment that we have here and without his guidance over decades, we would not be where we are today. So with great pleasure I provide this resolution from the board to you.

WHEREAS the University of Cincinnati Board of Trustees has learned of the retirement of Lee Mairose, Managing Director of RBC Capital Markets and

WHEREAS this proud double graduate, whose 1971 BBA was capped by an MBA in 1978 is a longtime Bearcat fan, UCATS member and season ticket holder as well as a generous donor to the Lindner Honors-PLUS program as well as events at CCM and DAAP throughout the year and

WHEREAS Mr. Mairose joined Seasongood & Mayer shortly after his graduation from the University of Cincinnati and rose through the ranks – a testament to his formidable

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skills and perspicacity – being named Managing Director as Seasongood was acquired by RBC Capital Markets in 2007 and

WHEREAS Mr. Mairose has, for more than 40 years, contributed meaningfully to the success of the University of Cincinnati, throughout changes at the University, his firm and throughout the municipal markets; he has served with unwavering honesty and integrity and

WHEREAS his counsel contributed to the successful issuance of billions of dollars of general receipts bonds, the introduction of bond anticipation notes to the University's fiscal toolkit, the financial wherewithal for the implementation of the Campus Master Plan and the establishment of a liquidity reserve to achieve structural balance in the budget and

WHEREAS Mr. Mairose has also been integral to the formation of the Health Alliance of Greater Cincinnati, the evolution of Cincinnati Children's Hospital Medical Center into a nationally prominent clinical and research facility and the creation of UC Health to further the University's healthcare mission of clinical, teaching and research,

NOW, THEREFORE, let it be it resolved that the Board of Trustees of the University of Cincinnati, a State University organized under Chapter 3361 of the Ohio Revised Code, expresses our sincere gratitude to Richard Lee Mairose for his contributions to the fiscal vitality of this institution.

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BE IT FURTHER RESOLVED, that this Resolution be spread upon the minutes of said Board and that a certified copy be presented to Mr. Mairose with our best wishes for a well-deserved retirement.

Mr. Cassady:

On behalf of the University of Cincinnati and the Board of Trustees, I would like to present this resolution to you. *(Applause)*

Mr. Humes:

You know I always wondered what perspicacity meant *(Laughter)*

Mr. Cassady:

I had to ask Greg Hand before the meeting?

Mr. Humes:

I just figured it out; it's a new kind of bond that Lee is going to create in his retirement that will allow us to get an income of about forty percent a year. *(Laughter)*

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He just hasn't announced it yet. *(Laughter)* Congratulations to both of you and thank you for everything that you've done.

Mr. Barrett:

Tom, could I say something else? When we set up UC Health, we could not have done it without Lee four years ago, with all the financing he arranged and Lee and his wife go to every one of our football games and every one of our basketball games, they are truly dedicated to the university and not only does he help us professionally but on the social side, he is just tremendous for our university and I thank we ought to recognize Lee and his wife for being here this morning. *(Applause)*

Mr. Humes:

Any other comments from our board members? Okay, we will now move on to President Santa Ono for his report. President Ono?

Dr. Ono:

Thank you Tom. I just want to add to the thanks to Lee Mairose and Greg Hand for their service to the university and congratulations to them on their retirement. I also want to say although Adrienne Lane is not here, that we had a lovely reception for her. We have been really blessed at the university to have a long lineage of outstanding chair

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of the faculty and I want to thank you Tracy for stepping in and I think you will do a fantastic job. The faculty of the university really lies at the core of the university; they are the greatest asset of the institution. Everything that has been mentioned thus far about accomplishments for this university has been due to the hard work of the faculty of the university, I salute the faculty and you (Tracy) for serving as the chair of the faculty.

I want to thank Josh Smith for serving and Laurence Jones, I'm going to miss you, but you will keep coming to events right.

Mr. Jones:

They won't let me go.

Dr. Ono:

Troy, remember that first time we met at the airport?

Mr. Neat:

I do.

Dr. Ono:

I'm looking forward to seeing you on a regular basis, you've already given so much to this institution and the sky is the limit for what we can do as an organization. As you know, the Alumni Association plays an incredibly important role and we have great leadership there with Jen and with yourself. I can't wait for what lies ahead for many years to follow. Thank you Troy.

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I want to focus my report today on something that lies at the core of what our faculty do. That is their research and I want to focus a few minutes on the importance of innovation and entrepreneurship in the Cincinnati USA region. I want to start off by saying this all came about because of a trip where Tom Williams and I were actually together and he actually approached me and said we really have to partner to really move the institution and the city to the next level and I want to thank Tom for his leadership and reaching out to me on that trip that began what I'm going to talk about today and what he's going to talk about as well.

Our board has been supportive of our recent efforts to enhance innovation and infrastructure here at the university and in our region. This is an issue that will continue to require the work and support of the administration to support the faculty and students of this institution really lies at the core of what we do.

The University of Cincinnati our faculty, our research enterprise is critically important sparks or catalyst for the economic engine for the Cincinnati region for the state of Ohio and beyond and we take this responsibility very seriously. Last fall you might recall that UC was recognized as the first ever recipient of the economic prosperity and innovation university award, a national award given out by the National Association of American and Public Universities the APLU. They recognized our efforts and our impact on our community and also our influence on the economy of the region and of the state, this is something we are very proud of, but we will not rest on our laurels. But we can and we will do an even better job at this.

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We are thankful and thrilled that the Cincinnati Business committee and its innovation task force recognize the key role that the University of Cincinnati plays and our affiliates and the entrepreneurial eco system that is this region. The University of Cincinnati Research Institute (UCRI) that we've launched in the past couple of years are among our new efforts to grow our capacity in this area. In just its first year, UCRI has completed one hundred and thirty one new contracts with another thirty to forty in the works. If you're tracking actually the growth of UCRI in the number of contracts that are resulting from this new initiative it continues to move in a very sharp upward trajectory. This also kicked off collaboration with GE Aviation that includes a 130 million dollar research facility to be built by GE and a six million dollar sponsor research from GE to UCRI. One of the largest research initiatives that have ever been undertaken at this institution.

We also announced just a couple of weeks ago that the University of Cincinnati is going to be the lead center of the Midwest Pain Consortium in collaboration with the pharmaceutical giant Eli Lilly, we will continue to make these sorts of alliances to strengthen the local economy. I want to keep getting better at our innovation enterprise and the work with the local region and the state to create an innovation ecosystem that encourages innovation and entrepreneurship and maintains innovation friendly culture and results in more start-up companies coming out of Cincinnati, the university and its affiliates and we want those companies to stay here and build the economy.

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Our region has recently been designated as an aero-space corridor by the US Department of Commerce and part of that has to do with GE Aviation, what's happening at the University of Dayton and what's happening at the University of Cincinnati. That expertise and reputation doesn't happen without a critical mass of talent and collaboration among academia, industry, government and business and we plan to ramp that up as a community.

Working with partners in the community, the University of Cincinnati is always working towards not only that kind of critical mass but also the generation of new start-ups and licensing income that we will use to further grow that critical mass. We're always looking for ways to accelerate the commercialization of research discoveries from our faculty and going forward we need to collaborate even more with a constellation of institutions across the state including Ohio State, Case Western Reserve, and Cleveland Clinic and feed all educational institutions in this state for the good of the state and of this region. We began to work extensively with Ohio governor John Kasich and our uptown consortium and enhancing the biomedical corridor across the state and in this region. You've read about that in recent articles in the Cincinnati Enquirer and the Cincinnati Business Courier. We have a long ranging strategy to build upon our research infrastructure.

UC is an active participant in the regional innovation efforts the Cincinnati Business committee and Ready has spear-headed through its innovation task force. Those efforts resulted as you know in the formation of Cintrifuse its funds of funds,

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which we are an anchor partner. As a further step, we want to assemble with the Cincinnati Business Committee, a group of advisors including trustees around the table and Business leaders around the region, who will regularly provide guidance on how we might best devise support systems for our faculty as they carry on their scholarship and research, because they are truly the spark plugs of this very important part of the economy.

This morning I have invited Tom Williams to comment on this and the importance of it. Tom is one of the leaders in our region, who has worked very hard to keep our regions innovation and entrepreneurial ecosystem at the top of the agenda; in fact, he was there when the idea of Cintrifuse was hatched. Tom is the co-principal owner, vice-president and treasurer of the Cincinnati Reds and president and CEO of North America Properties and immediate past chair of the Cincinnati Business Committee. He's really the leader who initiated the whole concept and led the initial implementation of the CBC's innovations task force; which resulted in the founding of Cintrifuse. I should also add that he was a key champion in an effort to secure state funding for the development of the Martin Luther King interchange and I-71 as you have read that will really serve as a tremendous opportunity, not only for the University of Cincinnati but also for Cincinnati Children's Hospital and affiliated entities that will be migrating here hopefully in the near future because of his vision. Please welcome Tom Williams. *(Applause)*

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Mr. Williams:

Good Morning, black and red is easy for me, actually I am an alum, I don't want the development department to hear this but I did graduate from the Law school in 83'.

The way this began several years ago, is we did an indicators report on Cincinnati and we benchmarked our city against other peer cities around the country and one of the glaring voids was venture capital spend and when you compare those to Pittsburgh and Cleveland, St. Louis, the venture capital expenditures in this community to build new businesses was woefully low. We realized the difficulty of bringing new businesses to the city is very challenging and is not that easy when you're competing with right to work states and Texas and so forth. We realized we had to invest in our own resources, so we brought Mackenzie in and we did a study and one of the major resources in this community that we have is University of Cincinnati, its research, \$400 million a year.

This is a huge driving force for R&D in the Midwest and in the country obviously with the accolades you heard about. We realize that the important thing - we knew UC was evolving into more of a conscious of commercialization and so forth and we needed to support that and we did that by forming Cintrifuse as we said earlier and it is driven by the University. Silicon Valley exists where it is, because of Stanford. Then you have the triangle down in Carolina with Duke and MiT up in Boston and UC is that kind of engine and obviously the research institute is exactly the kind of effort that will push this forward. I also understand that UC has been a leader in the commercialization

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agreements with corporations which you quoted some numbers earlier and also intellectual property rules among faculty and staff, which is very important. So the accolades are well deserved here, but this is for decades to come this culture is going to evolve.

Cintrifuse is up and running as you know and within a couple of weeks we'll have a fulltime CEO, we've had Jeff Weedman there as an interim from Procter & Gamble. Santa and I were discussing the idea of a formal committee or task force that would include trustees, business leaders and whoever else would be appropriate for that. It would be charged with providing guidance and support with the evolving culture of innovation, collaboration and commercialization among the faculty. Collaboration and Commercialization is the key. Most importantly, this group, task force or committee would be directly accountable to this board and the business community would be very enthusiastic about a structure like this, because it's exactly what we need to do to bring the two groups together to form this ecosystem that is actually coming together on its own in our community. So, that's the concept at forty-thousand feet, that's what Santa and I talked about and that would be very exciting, I think to sort of connect all of this right up to the top reporting to the board.

Mr. Humes:

Are there any questions from the board?

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Mr. Richardson:

I would like to say, we are definitely on board with making this happen Tom. Just so you know we are excited to have the CBC as a partner and we'll certainly be looking forward to working with you and your group.

Mr. Williams:

Okay, thank you very much. *(Applause)*

Mr. Humes:

I think that Tom is one of the great thinkers of our city and also one of the great doers; it's an unusual combination, a business man who has been incredibly successful and also very committed to this region and this community. He understands, he gets it in terms of what does it take to drive the economic engine that is Cincinnati. How do you drive jobs? How do you drive new opportunities? Tom I would just say I know that this board will sincerely and aggressively embrace your thoughts and your idea and suggestion in forming a group to do this and we'll work on this with you and over the next few months and have something ready to launch this fall that will be a very productive and exciting joint-venture with the CBC and the downtown community and the total University community to truly make something special happen at another level. Thank you.

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Dr. Ono:

Thank you very much Tom. I'd like to say in addition to our interaction with the CBC, there are growing ties and involvement with the state, we are having considerable input in-terms of the allocation of third frontier funding. We have also been serving recently on a steering committee with Jobs Ohio and that will be part of the broader ecosystem that will actually align with what's being created here in Cincinnati and also with the National Council of Competitiveness in Washington, DC. We're really creating a continuum of connections and network that we really think will support the research of our faculty as we try to innovate to even higher levels. I want to conclude by just adding a couple more kudos, to give you an idea of how we are ramping up this effort.

UC center for intelligent maintenance systems founded and directed by Ohio eminent scholar faculty member Jay Lee has won the prestigious Alexander Schwarzkopf Prize for technical innovation from the National Science Foundation. One of the highest honors for faculty in this country. His work focuses on creating "smart" equipment. Dr. Lee's work is truly world-class, and he has worked with over 100 international organizations since the center opened – many of those are corporate partners. Jay Lee actually exemplifies what we're trying to do as an institution.

UC Educator Assistant Professor Ann-Marie Jezequel in Romance Languages has won the Order of the Academic Palms from the French government, one of the highest

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honors the French government bestows and we're very proud that this has been awarded to a member of our McMicken College of Arts and Sciences. It is really a prestigious honor recognizing efforts to enhance the prestige of the French culture.

I also want to mention that UC and Hong Kong Polytechnic University have signed a new memorandum of understanding (MOU) allowing for a dual enrollment program. Students from both schools can pursue two degrees – one from UC in the master's program in engineering and one from Hong Kong in the master's in science program and graduate with both. It is the type of collaboration we are pursuing and hope to do more of to expand the global network and global brand of the University of Cincinnati. That concludes my report and now to our presentation this morning, Senior Vice President of Finance and Administration Bob Ambach who will be reviewing the university budget.

Mr. Ambach:

Thank you Santa, Good Morning, thank you for the opportunity to present the annual finance and budget presentation to the board this morning*. In the next few minutes, I'm going to provide an overview of the projected year-end estimates and a summary of the proposed fiscal 15 budget as presented in the budget books at your places.

**Presentation on file in the Board of Trustees office*

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Let's start out by looking at the fiscal 14 year- end estimates. The next few slides we will cover the fiscal 14 year- end projections. But, in a nut shell the schedules tell a simple story. And that is, we are ahead in revenue and under in expenses.

FY 2014 was our fifth year using Performance Based Budgeting (PBB). As you will recall PBB is an allocation system for assigning financial performance requirements. In 2013, all units – academic and administrative – were assigned mandatory financial targets. This is a snapshot of how we did. The revenue supporters (administrative units and the libraries) met their thresholds through expense reductions and reallocations. Most of the academic units met their targets through a combination of expense reductions and revenue growth. Those that did not are working closely with the provost office on transition plans.

This schedule represents the uptown campus , main campus general funds budget. As you see from the screen, we are projecting nearly a \$37 Million net profit at the end of the year. Primarily the largest driver of the excess revenue over expense is related to the gross tuition, semester conversion and summer enrollments, as well as enrollment increases and retention. These excess funds related to gross tuition will be distributed to the colleges via performance based budget and split with the provost office. The second largest contributor to the margin is around \$7 Million that we've been carrying as a reserve for future years that we did not have to tap into this year. We also were able to see about a \$2.2 Million in state share of instruction (SSI). There are a number of other smaller lines that make up excess revenue.

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The only negative side is related to our declining research portfolio, where we saw about \$2.5Million reduction in our overhead recovery at the institution. Overall, I say a pretty positive picture for the uptown campus.

There's an equally positive picture at the UC Blue Ash Campus, we'll see about a Total of \$1.2Million positive net projected at year-end. A transfer of the net will be made to the UCBA building reserve for future capital projects. Total revenues are anticipated to be short of budget due to small shortfalls in tuition and State Share of Instruction. Total expenditures are expected to be on target with estimated expenses totaling \$31,000 less than originally budgeted.

Clermont as will see a positive net margin at the end of the year. Clermont College will close fiscal year 2014 with a positive estimated fund balance of \$1.148M which will be transferred at year-end to reserves.

Tuition is expected to be up \$600,000 over budget as the revenue target was conservative. State Share of Instruction (SSI) is expected to be \$163,000 below original projections. They were able to balance the revenue and expense by reducing their operating expenditures. However, they did have increased expenditures related to adjunct faculty because of the increased enrollment.

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Campus Services Auxiliary budget which includes parking, housing, dining, book store, the auxiliary functions was right on budget with a total of \$541,000 rejected net at the end of the year. That balance will be transferred to its R.R. funds for future capital projects around the auxiliary enterprises.

Athletics it'll come in right on budget at a breakeven. The revenue and expenditures are higher than originally projected. The overall operating position at the end of the fiscal year will breakeven.

We can move on to building the FY15 operating budget. Building our 2015 budget was once gain a collective effort that included budget hearings, presentations, and discussions as the budget was vetted through the university's governance and decision-making process.

Revenue assumptions for fiscal 2015 as we discussed previously and throughout the year as we generally do within our performance based budgeting model, we projected flat enrollment. We have seen increase support from the state of \$6.6million, this can be contributed to graduations and completions in the new funding model that were implemented during this biennium of the state budget, also in a commitment to increase our scholarship budget by \$1.2 Million to the scholarship budget.

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You'll also recall that this board approved back in April a two percent tuition increase which will generate about \$7.8Million. Again on the research portfolio we are projecting the decline in the overhead recovery from our research portfolio, so it's a negative of \$3.3Million. Overall, that equates to about a \$10 million net increase in our revenue projections in fiscal 15 over fiscal 14.

As we look at our incremental expense categories as we build the fiscal 2015 budget, they are generally broken down to about three categories, Compensation and Contractual Obligations, Mandatory or Essential and Strategic Initiatives. If we take a look at each of those categories in a little further detail. The FY 15 general funds budget does not include any additional funding for the compensation increases. Those increases will be funded at the unit level. We are also recommending a two percent across-the-board increase for unrepresented staff, also to be funded by the college/unit level. That doesn't mean that there is an additional expense that each of the unit needs to absorb, overall between the contractual increases that are part of the collective bargaining agreements and the two percent across the board increase equates to about \$5.1Million of additional expense that the university units are budgeting to absorb within their operating budgets.

The case for the two percent salary increase can really be looked at as follows; as we do benchmarking as we've been developing the third century initiative and looking at our investment staff, UC is currently lagging market by approximately 10% of total rewards that includes both salary and benefits for unrepresented positions. As you recall, we have also

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shifted healthcare cost to the staff as we attempted to bend the curve in our healthcare cost.

And the staff has only received two increases over the last five fiscal years.

Incremental Expenses that can be categorized in the mandatory or central are things that we discussed with the board before, Capital infrastructure, financing of the MSB project, roof replacements, preventative maintenance, right sizing our accounts receivable write-off budget as well as an increase in the SACUB (Student Advisory Committee on the University Budget) General fee budget. As we increase the general fee there are additional dollars allocated to the students and the student activities.

Within the strategic initiatives this is where we've spent a lot of time this year focusing on only investing in those things that are part of our third century or strategic initiatives for the institution. We've been able to identify at least for the first fiscal year of fiscal 15, about 3.1 million dollars out of the general funds for beginning the seed of creating our third century initiatives. We are planning to make a significant investment in public & safety enhancements of about 2.3 million dollars, the new student information system that this board approved last year, there is about a one million dollar investment in order to build the debt service for that as well as continuing down the road of funding our structural deficit as well as our research deficit plans. So total of the strategic initiatives is about \$8.6 Million.

This is a summary of FY2015 Incremental Revenue and Expense Projections. Our ability to balance the budget is due to three key contributions:

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A 4.12 % increase in state appropriations, the tuition increase approved by you in April and a plan by all VPs to manage the remaining variance within their units which I will go into in a little more detail on the next slide.

Back in November or December, Santa challenge the executive committee and the vice presidents that sit on the executive committee to identify \$3.1Million worth of budget reductions or savings in order to close the budget gap. Each of the units was then responsible for reporting back to Santa and the executive committee on how they would do this. Some of the examples included reallocation of resources, reduction in operating/salary expenses as well as offloading expenses to non-general funds. Also a portion of this has been funded from the revenue split that the provost gets increased revenue.

This slide and the next are the familiar pie charts representing the operating budget from two perspectives. This first one shows resources by source. Tuition and fees lead at 38%, with Grants and Contracts at 18%, and state appropriations is down at 17% even though we saw a minor increase in that support year over year.

This chart illustrates expenditures by function. As you can see, the largest slices are aimed at our core missions of teaching, learning and research. If you combine instruction research, public safety, academic support, student services and scholarships that totals around 67 % of our operating expenditures plan for 2015.

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In conclusion, I think we developed a balanced budget which focuses on our priorities and investing in people in the third century initiatives. I'd be happy to answer in any questions.

Mr. Humes:

Questions of Mr. Ambach? I have one, Bob, I know you work with all of the other university administrators throughout the state system, how can you compare in a nut shell our budget performance compared with what you're seeing at the other state universities.

Mr. Ambach:

I would say, with the exception of perhaps Ohio State, were probably in one of the strongest position in terms of our current performance. Vice President for Finance, Jim Plummer and I attend a monthly BFO (Business Finance Officers) meeting. We do a round table at the end of those meetings and I would say that most of those institutions are seeing flat or declining enrollment, there late to the game perhaps in changing the academic criteria for admittance and so they are not seeing some of the success in the state share of instruction that come along with course completions as well as graduations. I think you sited the six thousand numbers. There are additional benefits to our state support as we have changed the academic profile and been successful there. I think also, a part of this goes back to 2006 where we adopted all of the fiscal policies about minimum cash balances, no deficit spending. So I think we positioned the institution to weather some of the storms, I think we are always encouraged

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when we see a positive net line but I think we have to continue to be diligent to keep moving forward.

Mr. Humes:

Thank you. Other questions?

Mr. Portman:

Question on state support, is that a broad base support for every university within the state or exceptional to UC?

Mr. Ambach:

It's actually broken into three funding levels that changed actually for this coming year. It used to be that there was a funding pool for the state universities, for the 14 institutions and there branches were on its own separate funding model and then the community colleges were in a separate funding model. This year they are actually blending the regional campuses and the main campuses together into one formula that is based on performance. The technical colleges are still being funded for enrollment and not for completion of courses. It is one big pot of money with various slices to each of those segments of the universities.

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Mr. Cassady:

To follow up on that same line, as we move to basically a performance kind of allocation from the state, do we anticipate that's going to be positive for us?

Mr. Ambach:

I believe that it is. Based on the strength of the class and the progress our students are making towards graduation. Course completion and graduations will be positive for us.

Mr. Cassady:

So part of the assumptions you make in an upcoming budget should probably disclose to us what assumptions your making on those.

Mr. Ambach:

Yes.

Mr. Humes:

Are there any other questions or comments? Bob congratulations to you and your team, this is an enormous task and not only did you perform the task but after reading through

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everything, I think it is a credit to your approach and your teams approach to the budget, the president's dedication to a frugal and productive environment and to a reallocation and redirection of resources to those areas that we can focus on and grow for our future. There's a great balance that has to be achieved in a budget. Frugality on one hand on the other hand this university is moving forward, we've never been at a greater time, so how do we continue to move forward and I know that's what you have been working on and will continue to work on.

Again, Bob, I think the changes that you referred to that have been put in place four to five years ago have shown great positive conservative fiscal responsibility on our university's part that are paying great dividends. As well I think we have to note the two mega decisions that were made one in the ninety's by President Joe Steger to invest in the redo of this campus. Another made by President Nancy Zimpher in the early 2000's to focus on the student experience and on increasing academic levels of achievements of the university. I think those two things have come together and have enabled us to succeed and thrive if you will particularly when it comes to enrollment as compared to many other universities. So Bob congratulations to you and your team and thank you.

Mr. Ambach:

Thanks Tom, I'd be remised not to say, but it truly takes a village, there is tens, thirty people working on this process. The partnership I have with Beverly and the provost and her relationship with the academic units, it truly takes a lot of people and particularly the budget office to put together the type of document you have in your materials. Thank you very much.

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Mr. Keefe:

Chairman Humes, can I say something? When I brought to the student body the 2% tuition increase a few months ago, I think there was a lot of concern about that was going to be on the students, this budget is very promising to see the reinvestment in the SACUB funding and everything so thank you Bob and the rest of the finance office.

Mr. Humes:

Thank you. We have a special group with us today and, is Greg Loving in the audience? Greg will you stand up? Greg is an assistant professor at Clermont College, also the chair of the AAUP and a great leader. Greg just told me he was going to work on a project to bring groups of faculty to all of our board meetings and we welcome you. I was so glad to hear that, I think that is fantastic. We look forward to your continued very valued input in terms of how our university needs to move forward. You are a critical resource. I'm also pleased to say that in this last year that we also concluded an agreement on a new three year contract with the faculty that again should build a great basis for a lot of wonderful collaboration for all of us to move forward and a continuation of new ideas of how do we continue to move forward and upward and be more productive. Thank you very much Greg.

THE BOARD COMMITTEE MEETINGS

(Proceedings of the Board committee meetings are contained in the respective committee meeting minutes, which are on file in the Office of the Board of Trustees.)

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The Academic and Student Affairs; Health Affairs Subcommittee; Finance and Administration Committee; Governance and Audit; and the Compensation Subcommittee meetings began at 9:35 a.m. and concluded at 10:35 a.m.

Special Report

(Before the final the Compensation Subcommittee meeting, Mr. Humes called on Athletic Director Mike Bohn to make a special report)

Mr. Bohn:

Good Morning thank you very much. First of all I'd like to thank you very much for the individual leadership of so many people around the table and also people in this room of playing a major role in our efforts to build a program that we can all be proud of and your efforts do not go unnoticed and they are most appreciated and are very proud of our bright future and I think there's no question from day one I was fortunate enough to be able to engage Men's basketball program on my very first day and that was a day when Mick and his team beat UConn and I think there was no question that the competitive grit and that excitement associated with that game was significant in our efforts to continue to look for ways to enhance our basketball program and Coach Cronin's passion for the university is unquestioned in his players. That is obviously a critical piece for what we're trying to build in our basketball enterprise and our corner stone agreement that we've put together with him represents a significant commitment to our storied basketball program.

I'd like to thank our partner Kenya Faulkner for her efforts in helping put together our agreement with Coach Cronin and in his eight years, he's built a solid top-25 program with integrity, transparency and hard work. And the national marketplace associated with basketball coaches is extremely competitive, very similar to what we were able to put

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together with our football coach Tommy Tuberville, and these leaders are obviously critical to the entire enterprise of the institution and different things that were putting together. With Mick's leadership, we anticipate continued national prominence, growth and improvement in all facets of the men's basketball enterprise. Students, fans, donors, alumni and our student athletes have unequivocally demonstrated a competitive grit that is helping to provide a model of sustained excellence. Continuity in our head coach is the foundation for us to expand upon our strategic investments in our collective college basketball experiences. Therefore I recommend to the Board approval of an extended contract for Mick Cronin.

Mr. Humes:

Questions, of Mr. Bohn? Term of the contract?

Mr. Bohn:

Seven years.

Mr. Humes:

Any additional questions?

Mr. Barrett:

I'd like to add one comment Tom, of all of Mick Cronin's talents, which are many, I think two of the most important ones would be first of all the great working relationships he has with his assistant coaches and his staff and secondly, probably most importantly are the personal relationships that he has with each individual player. I think that really is important to our program and really important to our student athletes. I think Mike would concur with those.

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Mr. Bohn:

No question.

Mr. Humes:

Any other comments or questions?

Mr. Cassady:

I've noticed a dramatic improvement year over year on just that point Fran.

Mr. Humes:

We would like to thank you Mike for your great leadership and work in pursuing this, we know it's taken a lot of time to work through the details to make sure we have the best package for the university and for the future of our basketball program and I know that you have accomplished that with your agreement with Coach Cronin. We've all talked and we all know the role that athletics play in our great university and basketball has been a large part of our history for many years and we look forward under Coach Cronin's leadership seeing that continue. I think that Coach Cronin's leadership and working with you will also be crucial in terms of seeing our basketball program continue not only on the floor but on the other side in terms of arena, locations, attitudes, student spirit, role academic participation, community participation, and etcetera.

I would also like to thank you again for everything you are doing for our athletics department. You are pardon the vernacular, but you are working your tail off and really causing great things to happen and reaching out to so many people which is exactly what we need to do. It's an example of a great department with great people and a great future.

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Mr. Bohn:

Thank you very much.

Mr. Humes:

We will refer this item as an action item under formal board agenda for consideration at our regular meeting in a few minutes.

(Proceedings of the Board committee meetings are contained in the respective committee meeting minutes, which are on file in the Office of the Board of Trustees.)

THE REGULAR MEETING OF THE BOARD OF TRUSTEES

The Regular Meeting of the Board of Trustees was convened at 10:35 a.m. and, as noted on the first page of these minutes, roll call was taken.

ROLL CALL VOTE

Upon a motion from Mr. Richardson, seconded by Mr. Barrett, the roll call vote was taken.

AYE: C. Francis Barrett; Thomas H. Humes; Thomas Cassady; William C. Portman, III; Carl H. Lindner III; Ronald D. Brown; Robert E. Richardson Jr.; Geraldine B. Warner and Margaret K. Valentine

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NAY: None

ABSENT: None

Approval of the Minutes from the Regular Meeting of the Board of Trustees

Mr. Humes called for additions, corrections, or deletions to the minutes of the Regular Meeting of the Board of Trustees of April 15, 2014. Upon proper motion by Mr. Richardson and seconded by Mr. Barrett, the minutes were approved as distributed.

Approval of the Items Recommended by the Board Committees

Listed below are the items recommended to the Board of Trustees for approval by the Academic and Student Affairs Committee, and the Finance and Administration Committee and a Special Report from Mike Bohn held on June 23, 2014, prior to the Regular Meeting of the Board of Trustees.

Academic and Student Affairs Committee Recommendations

14.06.23.01 ACADEMIC APPOINTMENTS

Synopsis: Appointments of Faculty and Academic Administrators

It is recommended that the Board of Trustees approve the appointments of faculty and academic administrators identified in the attached document. These recommendations have been reviewed and approved by the appropriate authorities.

Dean Appointment

Marshall H. Montrose, Ph.D.	Vice Provost and Dean of the Graduate School Professor of Molecular and Cellular Physiology College of Medicine Effective: August 1, 2014 through June 30, 2019
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Dean Reappointment

Cady Short-Thompson, Ph.D.	Dean of UC Blue Ash Professor of Communication Effective: July 1, 2015 through June 30, 2020
David M. Szymanski, Ph.D.	Dean of the Lindner College of Business Professor of Marketing Effective: July 1, 2015 through June 30, 2020

Emeritus Status

Gary E. Dean, Ph.D.	Associate Professor Emeritus Department of Molecular Genetics, Biochemistry and Microbiology College of Medicine Effective July 1, 2014
Daniel Durbin, Ph.D., PE, CEM	Professor Emeritus Department of Civil and Architectural Engineering Technology College of Engineering & Applied Science Effective August 15, 2014
Richard E. Harris, M.D.	Adjunct Professor Emeritus Department of Pediatrics College of Medicine Effective July 1, 2014
Michael Haas	Assistant Professor – Practice Emeritus Department of Electrical Engineering and Computing Systems College of Engineering & Applied Science Effective January 1, 2014
Frank Herman, MFA	Professor Emeritus School of Art College of Design, Art, Architecture & Planning Effective September 1, 2014
Linda S. Levin, Ph.D.	Research Associate Professor Emerita Department of Environmental Health College of Medicine Effective September 1, 2014
Sharon McFarland, Ph.D.	Associate Professor-Educator Emerita Department of Managerial Communication Lindner College of Business Effective July 1, 2014
Roy T. McKay, Ph.D.	Field Service Associate Professor Emeritus Department of Environmental Health College of Medicine Effective July 2, 2014

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Howard G. Shertzer, Ph.D. Professor Emeritus
 Department of Environmental Health
 College of Medicine
 Effective July 1, 2014

Department Chair Appointment

Brian B. Adams, M.D., MPH Appointment as Chair and Drs. Diya F. Mutasim, Hugh M. Gloster, Jr. & Harry L. Claassen Endowed Chair of Dermatology
 Department of Dermatology
 College of Medicine
 Effective July 1, 2014 through August 31, 2021

Carlos Gutierrez, Ph.D. Department Head
 Department of Romance Languages & Literatures
 McMicken College of Arts & Sciences
 Effective August 15, 2014 through August 14, 2019

Margaret K. Hostetter, M.D. Appointment as Chair and Mary M. Emery (Rachford) Chair in Pediatrics
 Department of Pediatrics
 College of Medicine
 Effective July 1, 2014 through August 31, 2021

Kay Kinoshita, Ph.D. Department Head
 Department of Physics
 McMicken College of Arts & Sciences
 Effective August 15, 2014 through August 14, 2016

Gila Naveh, Ph.D. Department Head
 Department of Judaic Studies
 McMicken College of Arts & Sciences
 Effective June 1, 2014 through May 31, 2019

George Sorial, Ph.D. Department Head
 Department of Biomedical, Chemical, & Environmental Engineering
 College of Engineering & Applied Science
 Effective May 1, 2014 through August 14, 2019

Department Chair Appointment Extension

Andrew T. Filak, M.D. Extension of Appointment as Department Chair
 Department of Medical Education
 College of Medicine
 Effective September 1, 2014 through December 31, 2014

New Faculty Appointments

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Christopher Carter Associate Professor with Tenure
 Department of English & Comparative Literature
 McMicken College of Arts & Sciences
 Effective August 15, 2014

RECOMMENDATIONS FOR TENURE OR PROMOTION

From Assistant Professor to Associate Professor with Tenure

College of Education, Criminal Justice, and Human Services

Todd Haydon	Education
Sally Coup Moomaw	Education
Hye Pae	Education

Clermont College

Nicholas Abel	Math & Physical Sciences
Anuradha Chatterjee	Languages
Christopher Green	Science & Health
Lora Hasse	Humanities
Nestor Hilvano	Science & Health
Michael Preston	Science & Health
Rebecca Reynolds	languages
Teri Rysz	Math & Physical Sciences
Kimberly Rae Taylor	Languages

McMicken College of Arts & Sciences

Neil Ayres	Chemistry
Robert Buckingham	Mathematical Sciences
Ashley Currier	Women's Studies
Farrah Jacquez	Psychology
Andrew Lorent	Mathematical Sciences
Stephen Matter	Biological Sciences
Alison Mcleish	Psychology
Edward Merino	Chemistry
Carolette Norwood	Africana Studies
Patricia Valladares-Ruiz	Romance Languages & Literature
Gary Weissman	English & Comparative Literature
Jure Zupan	Physics

College of Nursing

Gordon Gillespie	Nursing
Rebecca Lee	Nursing

Blue Ash College

Pamela Rankey	Business/Commerce
Brenda Refaei	English
Ionas Rus	History
Claudia Skutar	English
Ann Witham	Biology

College-Conservatory of Music

Deirdre Carberry	TAPAA
Lincoln Cornish Scott	Ensembles & Conducting
Peter DePietro	Electronic Media

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College of Allied Health Sciences

Aimee Dietz	Communication Sciences & Disorders
Seung-Yeon Lee	Nutritional Sciences

College of Design, Architecture, Art, and Planning

Peter Chamberlain	School of Design
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Professional Practice & Experiential Learning

Mary Clare	Professional Practice
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College of Medicine

Darleen Sandoval	Internal Medicine
Adam Carle	Pediatrics
Kasper Hoebe	Pediatrics
Edith Janssen	Pediatrics
Renu Sah	Psychiatry & Behavioral Neuroscience

Tenure Only at rank of Associate Professor

College of Education, Criminal Justice & Human Services

Kathleen Koenig	Education
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College-Conservatory of Music

Gwendolyn Detwiler	Performance Studies
Jean Hamilton	TAPAA

College of Medicine

Jason Blackard	Internal Medicine
Michael Borchers	Internal Medicine
Meifeng Xu	Pathology & Laboratory Medicine
Dao Pan	Pediatrics

Tenure Only at rank of Associate Librarian

Libraries

Suzanne Maggard	Special Collections
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From Assistant Professor to Associate Professor

Clermont College

Bilquis Ferdousi	Business Division
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College of Medicine

Erin Haynes	Environmental Health
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From Associate Librarian to Associate Senior Librarian

Libraries

Elizabeth Meyer	Library Services - Albrecht
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From Associate Professor to Professor

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Blue Ash College

Suzanne Bradshaw	Biology	(Has Tenure)
Susan Sipple	English	(Has Tenure)
Cynthia Stegeman	Dental Hygiene	(Has Tenure)
John Wolfer	Art & Visual Communications	(Has Tenure)

College of Engineering & Applied Science

Kelly Cohen	Aerospace Systems	(Has Tenure)
Chia Chi Ho	Energy, Envir, Biol & Med Eng	(Has Tenure)
Ian Papautsky	Electronic & Computing Systems	(Has Tenure)

Clermont College

Wilhelm Kossenjans	Science & Health	(Has Tenure)
Clifford Larrabee	Science & Health	(Has Tenure)

College of Allied Health Sciences

Gary Dick	School of Social Work	(Has Tenure)
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McMicken College of Arts & Sciences

William Connick	Chemistry	(Has Tenure)
Richard Harknett	Political Science	(Has Tenure)
Jennifer Malat	Sociology	(Has Tenure)
Kenneth Petren	Biological Sciences	(Has Tenure)
Robert Skipper	Philosophy	(Has Tenure)

Lindner College of Business

Hsiang-Li Chiang	Operations and Business Analytics	(Has Tenure)
Craig Froehle	Operations and Business Analytics	(Has Tenure)
Michael Fry	Operations and Business Analytics	(Has Tenure)

College of Pharmacy

Kevin Li	Pharmacy	(Has Tenure)
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College of Design, Architecture, Art, & Planning

Mahyar Arefi	School of Planning	(Has Tenure)
Flavia Maria Cunha Bastos	School of Art	(Has Tenure)

College of Medicine

Mario Medvedovic	Environmental Health	(Has Tenure)
Judith Heiny	Molecular & Cellular Physiology	(Has Tenure)
Yi-Gang Wang	Pathology & Laboratory Medicine	(Has Tenure)
H. Leighton Grimes	Pediatrics	(Has Tenure)
James Mulloy	Pediatrics	(Has Tenure)
Susanne Wells	Pediatrics	(Has Tenure)
Charles Caldwell	Surgery	(Has Tenure)

From Instructor - Clinical to Assistant Professor - ClinicalCollege of Medicine

Marcus Lehman	Anesthesiology	(Tenure N/A)
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Anthony Elias	Dermatology	(Tenure N/A)
Shuchi Gulati	Internal Medicine	(Tenure N/A)
Houman Varghai	Internal Medicine	(Tenure N/A)
Courtney Brown	Pediatrics	(Tenure N/A)
Sharat Chandra	Pediatrics	(Tenure N/A)
Dominick DeBlasio	Pediatrics	(Tenure N/A)
Brian Turpin	Pediatrics	(Tenure N/A)
Jonathan Thompson	Surgery	(Tenure N/A)

From Assistant Professor - Clinical to Associate Professor - Clinical

College of Medicine

William Hinckley	Emergency Medicine	(Tenure N/A)
William Knight	Emergency Medicine	(Tenure N/A)
Michael Lyons	Emergency Medicine	(Tenure N/A)
Sarah Ronan-Bentle	Emergency Medicine	(Tenure N/A)
Brian Stettler	Emergency Medicine	(Tenure N/A)
Janet Boyle	Internal Medicine	(Tenure N/A)
Nagla Karim	Internal Medicine	(Tenure N/A)
Hanan Kerr	Internal Medicine	(Tenure N/A)
Robert O'Donnell, Jr	Internal Medicine	(Tenure N/A)
Donald Schoch	Internal Medicine	(Tenure N/A)
Andrew Duker	Neurology & Rehabilitation Medicine	(Tenure N/A)
Emily DeFranco	Obstetrics & Gynecology	(Tenure N/A)
Angelo Colosimo	Orthopaedic Surgery	(Tenure N/A)
Joel Sorger	Orthopaedic Surgery	(Tenure N/A)
Shagufta Khan	Pathology & Laboratory Medicine	(Tenure N/A)
Peter Hua Tang	Pathology & Laboratory Medicine	(Tenure N/A)
David Cooper	Pediatrics	(Tenure N/A)
Richard Czosek	Pediatrics	(Tenure N/A)
Selena Hariharan	Pediatrics	(Tenure N/A)
Maureen O'Brien	Pediatrics	(Tenure N/A)
Hamilton Schwartz	Pediatrics	(Tenure N/A)
Jeffrey Simmons	Pediatrics	(Tenure N/A)
Drew Barzman	Psychiatry & Behavioral Neuroscience	(Tenure N/A)
Carol Engel	Psychiatry & Behavioral Neuroscience	(Tenure N/A)
Craig Erickson	Psychiatry & Behavioral Neuroscience	(Tenure N/A)
Su-Ju Lee	Radiology	(Tenure N/A)
Ross Ristagno	Radiology	(Tenure N/A)
Maria Calvo-Garcia	Radiology	(Tenure N/A)
Robert Fleck	Radiology	(Tenure N/A)
Sundeep Keswani	Surgery	(Tenure N/A)
Deepak Gopala Krishnan	Surgery	(Tenure N/A)
Ayman Mahdy	Surgery	(Tenure N/A)

From Associate Professor - Clinical to Professor - Clinical

College of Medicine

Andreas Loepke	Anesthesiology	(Tenure N/A)
Senthilkumar Sadhasivam	Anesthesiology	(Tenure N/A)
Andra Blomkalns	Emergency Medicine	(Tenure N/A)
Gregory Fermann	Emergency Medicine	(Tenure N/A)

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Imran Arif	Internal Medicine	(Tenure N/A)
Mehran Attari	Internal Medicine	(Tenure N/A)
Suresh Kamath	Internal Medicine	(Tenure N/A)
Charuhas Thakar	Internal Medicine	(Tenure N/A)
Daniel Kanter	Neurology & Rehabilitation Medicine	(Tenure N/A)
Alok Sahay	Neurology & Rehabilitation Medicine	(Tenure N/A)
Todd Abruzzo	Neurosurgery	(Tenure N/A)
Jon Divine	Orthopaedic Surgery	(Tenure N/A)
Lili Miles	Pathology & Laboratory Medicine	(Tenure N/A)
Henry Akinbi	Pediatrics	(Tenure N/A)
Patricia Manning-Courtney	Pediatrics	(Tenure N/A)
Mary McMahan	Pediatrics	(Tenure N/A)
Elizabeth Schorry	Pediatrics	(Tenure N/A)
Daniel Nelson	Psychiatry & Behavioral Neuroscience	(Tenure N/A)
Gregory Tiao	Surgery	(Tenure N/A)

From Research Instructor to Research Assistant Professor

College of Medicine

Debora Sinner	Pediatrics	(Tenure N/A)
Takuji Suzuki	Pediatrics	(Tenure N/A)

From Research Assistant Professor to Research Associate Professor

College of Engineering & Applied Science

David Munday	Aerospace Systems	(Tenure N/A)
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College of Medicine

Daniel Grosseohme	Pediatrics	(Tenure N/A)
Rhonda Szczesniak	Pediatrics	(Tenure N/A)
Ge Zhang	Pediatrics	(Tenure N/A)

From Research Associate Professor to Research Professor

College of Medicine

Marty Visscher	Surgery	(Tenure N/A)
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From Assistant Professor – Field Service to Associate Professor - Field Service

College of Education Criminal Justice & Human Services

Suzanne Ehrlich	Education	(Tenure N/A)
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College of Medicine

Daniel McLinden	Pediatrics	(Tenure N/A)
Sherry Thornton	Pediatrics	(Tenure N/A)

From Assistant Professor - Educator to Associate Professor - Educator

College of Allied Health Sciences

Xan Boone	School of Social Work	(Tenure N/A)
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McMicken College of Arts & Sciences

Allison Hammond	English & Comparative Literature	(Tenure N/A)
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Anne-Marie Jezequel	Romance Languages & Literature	(Tenure N/A)
Ilya Vilinsky	Biological Sciences	(Tenure N/A)

<u>College of Medicine</u>		
Amy Thompson	Obstetrics & Gynecology	(Tenure N/A)

From Associate Professor - Educator to Professor – Educator

<u>McMicken College of Arts & Sciences</u>		
Denis Conover	Biological Sciences	(Tenure N/A)

<u>Lindner College of Business</u>		
Ruth Ann Seiple	Operations and Business Analytics	(Tenure N/A)
Jane Sojka	Marketing	(Tenure N/A)

14.06.23.02 GRADUATE DEGREE TITLE CHANGE

Synopsis: It is recommended that the Board of Trustees approve changing the title of the Graduate Program in Pediatric Nurse Practitioner to Primary Care Pediatric Nurse Practitioner. The Pediatric Nursing Certification Board (PNCB) has requested that the College of Nursing at the University of Cincinnati update the name of the Pediatric Nurse Practitioner degree to reflect the primary care track. The title change will help both the College of Nursing and the PNCB to streamline administrative processes.

14.06.23.03 NEW DEGREE PROGRAM

Synopsis: It is recommended that the Board of Trustees approve the new degree program in the College of Medicine for a Bachelor of Science in Medical Sciences. The proposal has been reviewed and approved by the appropriate authorities.

14.06.23.04 UNIVERSITY OF CINCINNATI COLLEGE COMPLETION PLAN

Synopsis: Language in Amended Substitute. H.B. 59, or the Biennial Budget Bill of the 130th General Assembly, requires each college, university and adult career technical center in the University System of Ohio to develop an institution-specific Campus Completion Plan that is consistent with the institution's mission and strategic priorities. Each plan will include specific, measureable completion goals; a mix of strategies and tactics, a communications strategy and metrics for success.

Finance and Administration Committee Recommendations

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14.06.23.05 FISCAL YEAR 2014-2015 CURRENT FUNDS BUDGET

Synopsis: The FY 2014-2015 Current Funds Budget is submitted for Board of Trustees approval. It includes a 2% across-the-board increase for unrepresented staff.

It is recommended that the Board of Trustees approve the FY 2014-2015 Current Funds Budget of the University of Cincinnati as described in the accompanying text and tables with authorization for the President to make expenditures within the projected income and expense levels. The appropriate planning and consultation within the university related to this FY 2014-2015 Current Funds Budget has been completed through the university governance committees, resulting in a decision-making process that is both transparent and participatory.

Also included in the FY 2014-2015 Current Funds Budget is a proposed 2% across-the-board salary increase for unrepresented classified and unclassified staff to be funded by existing unit budgets. It is recommended that the Board of Trustees also hereby approve this salary increase for unrepresented classified and unclassified staff.

14.06.23.06 TAFT MEMORIAL FUND

Synopsis: Taft Memorial Fund Budget for 2014-15

It is recommended that the Board of Trustees approve the attached Taft Memorial Fund budgetary recommendations for the 2014-15 fiscal year.

14.06.23.07 UC FOUNDATION FY15 FUNDING MODEL AND PLAN TO UPDATE MEMO OF UNDERSTANDING

Synopsis: It is recommended that the Board of Trustees approve the UC Foundation FY15 funding model and the plan to update the Memo of Understanding (MOU) to include a shared agreement on key performance indicators

Proposed FY15 funding model:

- Sustainable – not overly burdensome on long-term endowment growth
- Equitable – resources funded more equitably by beneficiaries of proceeds
- Collaborative – fosters further alignment between the University and the Foundation
- Accountable – increases UCF’s accountability to UC President and Board of Trustees

14.06.23.07 POURING RIGHTS AGREEMENT

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Synopsis: Approval to Pursue Pouring Rights Agreement Finalization

The University currently has a pouring rights contract with Pepsi Beverages Company that expires in September 2014. The process to pursue a replacement contract has been ongoing since June of 2012.

14.06.23.08 DESIGNATION OF UNIVERSITY OFFICERS AND OFFICIALS TO SERVE AS REPRESENTATIVES OF THE UNIVERSITY ON OUTSIDE BOARDS

Synopsis: Annual resolution designating university officers and officials to serve as representatives of the University on outside boards for state ethics, insurance and indemnification purposes.

14.06.23.09 BRIAN H. ROWE CHAIR IN AEROSPACE ENGINEERING

Synopsis: It is recommended that the Board of Trustees approve the name *Brian H. Rowe Chair in Aerospace Engineering*

Per the gift agreement dated May 15, 1998 and A-223 dated July, 2010. Bequest expectancy of \$1M realized from the estate of Brian Rowe in May 2010. The department is in the process of making the first chair appointment for this position.

14.06.23.10 THE HAYDEN FAMILY ENDOWED CHAIR FOR CANCER RESEARCH

Synopsis: It is recommended that the Board of Trustees approve the name *The Hayden Family Endowed Chair for Cancer Research*

The gift for the Hayden Endowed Chair for Cancer Research was given to support the Director of the Cincinnati Cancer Center (CCC). In July 2013, Shuk-Mei Ho, PhD, was named Director of the Cincinnati Cancer Center. Endowment funds for the chair are to support research efforts for the CCC to achieve NCI designation as a comprehensive cancer center.

14.06.23.11 NAME CHANGE OF DEVELOPMENT AND ALUMNI RELATIONS TO UNIVERSITY ADVANCEMENT

Synopsis: It is recommended that the Board of Trustees approve and adopt a change in the name of the Division of Development and Alumni Relations to "University Advancement," in furtherance of an integrated approach to constituent engagement and philanthropy which is supported by consultant's recommendations, the IUC Presidential Taskforce, and the actions of comparable institutions.

The University commissioned a post-campaign assessment report by Bentz Whaley Flessner which recommended an integrated approach to constituent engagement and philanthropy including a change in the name of the Division of Development and Alumni Relations to "University

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Advancement.” This recommendation has been affirmed and accepted by the leadership of the Foundation and Alumni Association. Planning efforts, including those completed by the IUC Presidential Task Force and recent work conducted by the President for the UC Foundation and Vice President for Development and Alumni Relations support this rebranding of these programs and strategies going forward as this change correlates with President Ono’s vision of Creating Our Third Century.

14.06.23.12 **BOARD RULE AMENDMENT TO 40-03-12 STUDENT ORGANIZATIONS: HAZING POLICY**

Synopsis: University Rule 3361: 40-03-12. Revisions are necessary to update language to reflect the change of the office name from student organizations and activities office to office of student activities & leadership development. Also, levying a fine against student organizations in violation of this policy as an available sanction has been deleted.

14.06.23.13 **BOARD RULE AMENDMENT TO 20-31-05 FEES: PAYMENT AND REFUNDS OF FEES**

Synopsis: It is recommended that the Board of Trustees extend the semester tuition refund periods for withdrawals/drops to the next University business day when the deadlines fall on University holidays. Further, references to a “term” have been replaced with “semester.”

The current rule establishes day eight and day fifteen of each academic semester as the ending dates for the 100% and 50% tuition refund periods for withdrawals/drops. Yet, these dates can be problematic when day eight or fifteen of a given semester falls on a holiday - a day when the University is closed and University staff is unavailable to assist students through the process of withdrawing/dropping a class. To address foreseeable problems with the 2014-15 academic calendar, where these deadlines will fall on Labor Day in the Fall and Martin Luther King Jr.'s Birthday in the Spring, such change should take effect with the first official day of the 2014 Fall semester.

Non-Committee Items

14.06.23.14 **APPROVAL OF THE FACT FINDER’S RECOMMENDATION REGARDING NEGOTIATIONS BETWEEN THE UNIVERSITY AND THE FRATERNAL ORDER OF POLICE, OHIO LABOR COUNCIL, INC. - LIEUTENANTS UNIT.**

Synopsis: It is recommended that the Board of Trustees approve the fact finder’s recommendation regarding negotiations between the university and the Fraternal Order of Police, Ohio Labor Council, Inc. – Lieutenants Unit.

14.06.23.15 **AMMENDED AND RESTATED EMPLOYMENT AGREEMENT FOR MEN’S HEAD BASKETBALL COACH MICHAEL W. “MICK” CRONIN**

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Synopsis: It is recommended that the Board of Trustees approve and adopt an amended and restated employment agreement for Men's Head Basketball Coach Michael W. "Mick" Cronin.

Consideration of Action Items

Mr. Humes:

The full Board has been present at the Committee Meetings held today and has received the recommendations of the Academic and Student Affairs Committee and the Finance and Administration Committee. The items reviewed and recommended by the committees are named in the Action Items listed at your place. I would like to approve all of these items in four votes. May I please have a motion to approve Academic Appointments?

Upon a motion by Mr. Richardson, seconded by Mrs. Warner, the Board of Trustees approved Academic Appointments by the roll call vote. (*Margaret K. Valentine excused herself prior to discussion and voting of Academic Appointments*).

Recommendations No. 14.06.23.01

AYE: C. Francis Barrett, Thomas H. Humes, Thomas D. Cassady, William C. Portman, Ronald D. Brown, Robert E. Richardson, Jr Geraldine B. Warner, and Carl H. Lindner

NAY: None

ABSENT: None

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Recommendations Nos. 14.06.23.02 through 14.06.23.13

Upon a motion by Mr. Richardson, seconded by Mrs. Warner, the Board of Trustees approved the remainder items on the Academic and Student Affairs Committee and upon proper motion by Mr. Richardson, seconded by Mr. Lindner the Board of Trustees approved the Finance and Administration Committee items by the roll call vote. *(Tom Humes abstained from recommendation item No. 14.06.23.07).*

AYE: C. Francis Barrett, Thomas H. Humes, Thomas D. Cassady, William C. Portman, Ronald D. Brown, Robert E. Richardson, Jr Geraldine B. Warner, Carl H. Lindner, and Margaret K. Valentine

NAY: None

ABSENT: None

Recommendations No. 14.06.23.15

Upon proper motion by Mr. Barrett, seconded by Mr. Brown, the Board of Trustees approved the Department of Athletics Head Basketball Coach Mick Cronin's Employment Contract.

AYE: C. Francis Barrett, Thomas D. Cassady, William C. Portman, Ronald D. Brown, Robert E. Richardson, Jr Geraldine B. Warner, Carl H. Lindner, and Margaret K. Valentine

NAY: None

ABSENT: None

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The motions passed and all items were approved.

Unfinished Business and New Business

Mr. Humes:

There is no unfinished business to come before the Board.

We will now move on to our board reports from our board representatives and our first report will be our Alumni report, Laurence Jones, III.

Alumni Association Report

Mr. Jones:

I'm good at delegating, so Troy is actually going to give the presentation for the Alumni Association today.

Mr. Neat:

I'll begin by thanking Chairman Humes, President Ono, former chairman Fran Barrett and Ben Keefe for joining us June 12th at the UC Distinguished Alumni Celebration; we had nearly 300 guests on hand at the Hyatt Regency downtown and was one of our best events yet. The five recipients of this year's alumni awards stood out as great examples of the excellence and diversity we see throughout our alumni family. We are already working on the 2015 Distinguished Alumni Celebration nominations that are open, we all know deserving alumni, so I invite you to get your nominations in. We also make this a bigger and better event as we go forward and were committed to doing that.

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Also on the horizon, is homecoming, Saturday, September 20, when the Bearcats will host Miami (Ohio) at Paul Brown Stadium? The theme this year is Zinzinnati and is on the same weekend as Oktoberfest, we have formed a relationship with the Cincinnati USA Regional Chamber, which stages the annual festival. We are leveraging our relationship with athletics; we appreciate that and are looking forward to a successful event. Most of the events will happen downtown and game time has yet to be announced but should be coming soon.

As we look back on 2014, we finished stops with President Ono and #HottestCollegeinAmerica Tour in Cleveland, Pittsburgh and Chicago that brought a total of 18 stops for the year, more planned for the upcoming year and speaking year in numbers, we've staged 158 total events, drawing a record total of nearly 5,400 attendees. In final, tomorrow is a welcome reception for our new Executive Director Jennifer Heisey, that will be from 4-6 in this room and everybody is invited to join us, we hope to see you. Thank you.

Mr. Richardson:

I would like to thank Laurence for all the work you've done. You know Troy you have one thing to fulfill that hasn't been fulfilled, there's no pressure but... Maybe we can get some new seats (*Laughter*).

Mr. Neat:

I think we can handle that.

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Mr. Humes:

Thank you Mr. Richardson for putting that back on the agenda. Thank goodness that's the only thing we failed miserably at. Next we have our graduate student report with his final report Josh Smith

Graduate Student Report

Thank you Chairman Humes. As my last report, I do not have a lengthy update since the spring semester has closed, but would like to quickly recap some of the highlights of my two years serving on the board, and the progress the GSGA has made this past year.

In my first year as student trustee, I had an agenda to help student veterans at the University to be given better access to services, including veterans benefits, programs, and overall financial support. In an amazingly quick response, President Ono went right into action, and in no time we had a new Veterans Programs and Services Center at the University Pavilion in the Fall of 2012. President Ono also appointed Program Manager and Veteran Advocate Jack Johnson to help lead the charge for veterans, and he has been a great force in that office. We also created a veterans fraternity on campus, Omega Delta Sigma. While it started with just myself, Robert Clay, a few other members, and student advisor Gail Stocker, in only its second year it now boasts nearly 20 members, headed by the fine leadership of Anthony Louderback. Given its progress in only 2 years, I am excited to see where this organization is headed in the future.

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Throughout my two years I also had the chance to highlight some of the great things at the UC College of Law. In particular, the Ohio Innocence Project, which has been integral in exonerating dozens of persons for crimes they did not commit. This program has continued to provide such a great community service under the leadership of Criminal Law Professor Mark Godsey. We also had some national champions at the college of law in 2013. Sarah Kyriakedes and Tony Strike won the SUNY Buffalo Wechsler National Criminal Law Moot Court Competition. Overall, it was truly an honor to be a student at one of the most elite colleges of law in America.

Finally, I wanted to highlight all the great strides that have been made in the overall graduate community, led by the GSGA and President Ayca Mazman. Since coming into the position, Ayca has been a truly great leader and advocate for graduate students. Every month, Ayca provides the graduate community with updates in the areas of safety, housing, student events, opportunities, and so much more. She also serves as our representative by sitting in on innumerable committees, including campus safety, student housing, and various search committees including the most recent for the dean of the graduate school. The GSGA has also provided graduate students with multiple sources of funding for scholarship and travel. In this past year, the GSGA has given out grants, research fellowships, and travel awards. This money allows graduate students opportunities they might not otherwise have, and I thank Ayca and the GSGA for pushing graduate students to reach out for such support.

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In closing, this position was truly a blessing to me as a graduate student at the University of Cincinnati. I was given an extremely unique and honorable opportunity to sit on a board with the most respected members of the City of Cincinnati and our great University. I am saddened to leave this community, but am also excited to step aside and allow another student, whoever it might be, to be given the chance to sit among you all. Thank you and this concludes my last report.

Mr. Humes:

Josh, thank you very much again congratulations, Santa.

Dr. Ono:

Josh, I just to thank you for your strong and steady leadership on behalf of the graduate students across the entire university, you've made a huge impact that will last for a very long time. I want to remind you that once you're a bearcat, your always a bearcat and your always welcome back home, so good luck in everything that you do Josh.

Mr. Smith:

Thank you.

Mr. Humes:

Our next report will be our undergraduate student trustee, Mr. Ben Keefe.

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Undergraduate Student Report

Mr. Keefe:

First before I start off, I'd like to congratulate Josh we have been working together with him for little over that past year, good luck on your future endeavors and congratulations on graduation.

This time of year is quite calm on campus. Though the majority of students have gone home for the summer, many remain on campus to take classes or co-op. I would like to personally welcome Trustee Peg Valentine to the board. She is an incredible person who will be a valuable asset to the board, the university and the students going forward, so welcome.

Though the summer makes campus seem like a ghost town for students, faculty and staff continue to work hard to make competitive and comfortable academic success for all students participating in the various programs available this summer.

Just three weeks ago as Troy had mentioned, five of UC's most accomplished and notable alumni were honored by the UC Alumni Association at the Distinguished Alumni Celebration. What he didn't mention, was that this was the first year that students were able to attend on scholarships and sponsorships by alumni. A few dozen students were able to go from the generosity of sponsorships by the alumni, so thank you to all alumni

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sponsors, hopefully this is something we can continue in the future, because it was a spectacular and special evening for the students who were lucky enough to attend.

Though we are in the middle of the summer, the start of the upcoming school year will fall upon us very quickly. The incoming freshmen have been going through Bearcat Bound Orientation all summer. I do not think it has to be mentioned again, but this is the largest freshmen class in UC history. Classes begin on Monday, August 25.

Thank you Caroline Miller for the spectacular numbers and the freshmen profile we have, as our university continues to climb in the rankings, it's only the incoming freshmen class that can really hold those pillars true, they're the foundation going forward to make the value of this university grow.

Fall semester also means football season. Students are eager to attend the games at Paul Brown Stadium. Obviously the preference of the majority of students would be to host home football games on campus, but the remarkable renovations to our beloved Nippert Stadium have inhibited that option for this upcoming season. With that said, student leaders and the administration are innovatively crafting together a unique experience that will be unforgettable for all. I also like to thank Mike Bohn and Kenya Faulkner for their hard work for the extension of Mick Cronin's contract. Mick Cronin is beloved by the students for not only being one of the best coaches in the nation but also being a Cincinnatian and his values do hold true for this university.

Thank you and that concludes my report.

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Mr. Humes:

Thank you, questions of Mr. Keefe? It's important to point out, with our freshmen class coming this will be the class that will be the first dominant class that will graduate in 2019, the year of our Bicentennial. So it will be a momentous time at their convocation here this fall. We now have our faculty senate report, Tracy.

University Faculty Chair Report

Ms. Herrmann:

Hello and good morning, Chairman Humes, members of the Board, President Ono, and guests. On behalf of the faculty I would like to begin by thanking Adrienne Lane for her service as faculty chair. Under her leadership, faculty have worked toward defining their role in eLearning, developed recommendations for budget and priorities, and demonstrated support of the aspirations of 'Creating Our Third Century' and its commitment to advancing the university. Chair Lane also actively served as the UC representative to the Ohio Faculty Council, an advocacy group for higher education in Ohio, where she was an influential voice. Her leadership and experienced insight will be greatly missed and we wish Adrienne the best in her future academic pursuits.

Dr. Adrienne Lane as she leaves the role of Chair of the University of Cincinnati faculty has a few words to share. First she extends a heartfelt thank you to President Ono, Tom Humes, the Board of Trustees, the University administration, the UC faculty, and all in the UC family for the support and guidance that has been provided to her over

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the years. In her parting statement she commends the faculty at the University of Cincinnati. It is the faculty who make the university strong. UC is the hottest college in America....the driving force of this 'heat' is UC's world class faculty. Please stay strong in your commitment to the 'Creating the 3rd Century'. Continue to support our Faculty---it is the faculty that attracts our students, both undergraduate and graduate. Our legacy-- UC's legacy-- is our graduates. Remember well that it is the faculty who nurture the fire and launch our students to success. Thank you for the opportunity to serve.

This is my first day as the chair of the university faculty and as such I have very few faculty senate activities to report. However, I would like take this opportunity to share my intentions as the chair of the university faculty and faculty senate. The purpose of the university faculty as defined in the University faculty bylaws is “to consider matters affecting the university and to make available to the president and the board of trustees its aid, advice, and counsel on issues including but not limited to academic policies, admissions, degree programs, budget recommendations, collegiate structures, the formulation of long range plans and in decisions relating to their implementation.” (University Rule 3361:50-3-02)

It is my hope that the upcoming year will result in further development of transparency, cooperation and collaboration between faculty, students, administration and the board. Looking forward, I believe my tenure as chair will be characterized by a collaborative faculty dedicated to educating students for the future. We must work together as a University to best manage growth and assure academic quality while being fiscally responsible. The faculty senate will diligently work to facilitate and accelerate

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these goals and we hope that you will see investment in faculty as a means toward “Creating Our Third Century”. Thank you, Chairman Humes, for this opportunity to share the voice of the faculty. This concludes my report for the June 23 meeting of the Board of Trustees.

Mr. Humes:

Thank you very much Tracy, were very glad that your with us. I’m glad to hear you use the word collaboration, because one of our major goals as a board of trustee is to improve collaboration at all levels. In every way we can and I think that those of us that have been around for a longtime know that the faculty really is the cornerstone of our university and the relationships that are made and we welcome enthusiastically your collaboration and help in the coming year.

Ms. Herrmann:

Thank you.

Mr. Humes:

I will now call for an Executive Session. The members of the Board will return to conduct further business after Executive Session is concluded. Our next regular board meeting will be here in the Myers Alumni Center on Tuesday, August 26, 2014 Thank you for being with us.

Executive Session

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Mr. Humes:

May I have a motion to enter Executive Session for the purpose of considering real estate and the appointment, employment, dismissal, discipline, promotion, demotion, or compensation of a public employee; to consider matters required to be kept confidential by federal law or regulations or state statutes; and conferring with legal counsel concerning disputes involving the University?

Upon motion of Mr. Barrett and seconded by Mrs. Warner, the Board voted to enter Executive Session by the following roll call vote:

AYE: C. Francis Barrett; Thomas H. Humes; Thomas Cassady; William C. Portman, III; Carl H. Lindner III; Ronald D. Brown; Robert E. Richardson Jr.; Geraldine B. Warner and Margaret K. Valentine.

NAY: None

ABSENT: None

The Board of Trustees went into executive session at 10:53 a.m.

Chairman Humes:

With no further business for the executive session, may I have a motion to adjourn the executive session?

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Upon a motion from Mr. Barrett, seconded by Mrs. Warner, the roll call vote was taken.

AYE: C. Francis Barrett; Thomas H. Humes; Thomas Cassady; William C. Portman, III; Carl H. Lindner III; and Ronald D. Brown; Robert E. Richardson Jr.; Geraldine B. Warner and Margaret K. Valentine.

NAY: None

ABSENT: None

Reconvene Meeting

The meeting of the Board of Trustees of the University of Cincinnati will now be reconvened. (*Meeting was reconvened at 11:57am*)

Mr. Humes:

We have two items on our agenda the first is a report and recommendation from Bob Ambach regarding a labor contract, Bob.

Mr. Ambach:

Yes, thank you Mr. Humes. It's recommended that the Board of Trustees approve the fact finder's recommendation regarding negotiations between the university and the Fraternal Order of Police, Ohio Labor Council, the Lieutenants unit. The negotiations between the parties began in September 13, 2003, there was a fact finding hearing held

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on June 13, 2014 and we received the fact finder's report last Friday, June 20th, 2014. The major terms as the first agreement between the university and the Lieutenants union, the economic terms are at two percent increase for the unit and the three years of the agreement, the agreement would run until June 30, 2017.

Mr. Humes:

Questions, of Mr. Ambach? Seeing none, may I have a motion and a second?
Mr. Barrett motioned and Mrs. Warner seconded the recommendation to approve The Fact Finder's Recommendation Regarding Negotiations Between The University And The Fraternal Order Of Police, Ohio Labor Council, Inc. – Lieutenants Unit by the roll call vote.

AYE: C. Francis Barrett, Thomas H. Humes, Thomas D. Cassady, William C. Portman, Ronald D. Brown, Robert E. Richardson, Jr Geraldine B. Warner, Carl H. Lindner, and Margaret K. Valentine

NAY: None

ABSENT: None

Mr. Humes:

Next item on the agenda deals with executive compensation, and I would like to call on Wym Portman who will reconvene the Compensation Subcommittee.

Mr. Portman:

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After conferring with the whole board the Compensation Subcommittee recommends the following changes. As senior vice president for Administration and Finance, Bob Ambach will receive a salary increase of \$15,500 and a bonus payment of \$15,000. And as Senior Vice President for Academic Affairs and Provost, Beverly Davenport will receive a salary increase of \$41,000 and an annual car allowance of \$14,000. And as President Santa Ono has requested for the second year in a row that his salary remain flat and that any bonus payment be directed back to the university. The board planned to give President Ono a \$100,000 bonus payment for his past year performance, but instead per his recommendation will direct these funds to the following five units on campus. #1, \$20,000 to the Gen-1 House, #2, \$20,000 to Emerging Ethnic Engineers (E3), #3, \$20,000 to the Academy for Teaching and Learning, #4, \$20,000 to the Graduate Fellows and #5, \$20,000 to the UC Association of Administrators, Managers and Professionals also known as UCAAMP, for a total of \$100,000. As the board, we support and thank you for that, Santa.

I want to point out that the Board made it very clear that they fully support the president and have nothing but the highest regard for President Ono and the fabulous work he has done here in the past year. We reluctantly acquiesced at his request not to accept a salary increase or a bonus, both of which we believe are due him.

May I have a motion for approval?

Upon proper motion from Mr. Cassady, seconded by Mr. Richardson the recommendation is approved by roll call vote.

AYE: C. Francis Barrett, Thomas H. Humes, Thomas D. Cassady, William C. Portman, Ronald D. Brown, Robert E. Richardson, Jr Geraldine B. Warner, Carl H. Lindner, and Margaret K. Valentine

NAY: None

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ABSENT: None

Mr. Barrett:

We deliberated this very thoroughly but so nothing is lost in translation, the recommendations that Wym made for the compensation subcommittee to increase our upper level administrators pay. We're extremely pleased with the work that everybody's doing, and that should not go unsaid, that we have a great team at the top and people are extremely laudatory about our upper echelon, I think that needs to be said.

Mr. Humes:

Very appropriate Mr. Barrett.

Mr. Richardson:

I agree, I just want to add a little bit on to that. I think we have the best president in higher education here and I want that to be said publically, so people know how much we do appreciate the work that you've done and I also think that around you, you formed a really strong team, the strongest team I've seen here. To the Provost, thank you for all the work you've done. Bob, thank you and Kenya. This is really a strong team and we hope to keep this team together as we try to take UC to even higher heights.

Mr. Humes:


I would echo that, wonderful team Santa has put together a group of outstanding professionals and many of you are sitting in this room, we thank you for being here, we thank you for being part of this university, we have a great university, you've heard me talk many times about our past and the fact that we will be celebrating our bicentennial in

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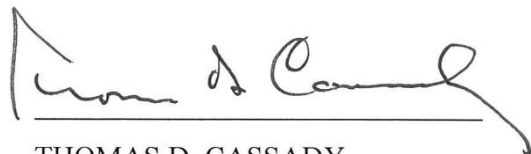
2019 and why we have a great past and we got a wonderful today, I've never, ever believed more strongly in our future. The future of this university is absolutely unlimited, we have never experienced so much synergy, so much capability, people working together, collaboration and people thinking strategically about how they can put pieces together and make things work and I think Santa Ono we owe you a depth of gratitude, but we know the best is yet to come and were going to be here to watch it come with you and to work with you to make sure it does come so that we can take this university to even greater heights than it's ever seen before or perhaps anybody even imagined. With that, I officially call the meeting of the Board of Trustees adjourned.

Adjournment

There being no further business before the Board and upon proper motion by Mr. Richardson, seconded by Mr. Barrett, Mr. Humes adjourned the meeting at 12:21 p.m.



THOMAS H. HUMES
CHAIRPERSON



THOMAS D. CASSADY
SECRETARY