

**Regular Meeting of the Board of Trustees  
May 22, 2012**

**Table of Contents**

|   |               |
|---|---------------|
| Three Hundred and Thirteenth Session of the Board of Trustees.....                        | 263           |
| Attendance .....  | 263-264       |
| Chairman’s Greeting.....  | 264-265       |
| Resolution in Memory of Manuel D. Mayerson.....   | 266-267       |
| Report of the President.....  | 268-270       |
| Foundation Report by Otto Budig.....  | 270-272       |
| Introduction of Presentations .....   | 272-273 & 278 |
| UC2019► Academic Master Plan.....   | 273-278       |
| UC Health Update.....   | 278-288       |
| Board Committee Meetings .....  | 289           |
| Update from Investment Committee .....  | 289-290       |
| Regular Meeting of the Board of Trustees.....   | 291           |
| Approval of the Minutes of the Minutes of March 27, 2012 .....                            | 291           |
| Approval of the Items Recommended by the Board Committees .....                           | 291           |
| Academic and Student Affairs Committee Recommendations .....                              | 291-294       |
| 12.05.22.01 Academic Appointments.....  | 291-294       |
| 12.05.22.02 Distinguished Research Professor .....  | 294           |
| 12.05.22.03 Renaming of Division (Professional Practice).....                             | 294           |
| Finance and Administration Committee Recommendations .....                                | 294-302       |
| 12.05.22.04 Additions, Amendments, or Rescissions to<br>Division 10 University Rules..... | 294-296       |

|   |         |
|---|---------|
| 12.05.22.05 Additions, Amendments, or Rescissions to<br>Division 20 University Rules.....   | 296-297 |
| 12.05.22.06 Additions, Amendments, or Rescissions to<br>Division 40 University Rules.....   | 297-299 |
| 12.05.22.07 Additions, Amendments, or Rescissions to<br>Division 50 University Rules.....   | 299-300 |
| 12.05.22.08 Additions, Amendments, or Rescissions to<br>Division 70 University Rules.....   | 300-301 |
| 12.05.22.09 Designation of University Officers and Officials to serve<br>As Representatives of the University on outside boards ..... | 301     |
| 12.05.22.10 Donald F. Gustafson<br>Reading Room .....   | 301     |
| 12.05.22.11 Stryker Corporation<br>Skull Base Surgery Laboratory.....   | 302     |
| 12.05.22.12 J. Harold Kotte, MD Student Study Area .....  | 302     |
| 12.05.22.13 Fishwick Building.....  | 302     |
| Governance and Audit Committee Recommendations  |         |
| 12.05.22.14 University of Cincinnati Research Institute.....  | 303     |
| 12.05.22.15 Amendment of the Code of Regulations<br>University Hospital, Inc.....   | 303-305 |
| Comments from Chairman Barrett.....   | 305     |
| Roll Call Vote (Recommendation Nos. 12.05.22.01 through 12.05.22.15) .....  | 305     |
| Unfinished & New Business.....  | 305-319 |
| University Faculty Chair Report by Richard Harknett .....   | 307-309 |
| Alumni Association Report by Rusty Myers .....  | 309-312 |
| Undergraduate Student Report by Lane Hart.....  | 313-314 |
| Graduate Student Trustee Report by Portia Watkins.....  | 314-315 |
| Student Government Report by Alan Hagerty.....  | 316-318 |

Table of Contents  
Minutes of the May 22, 2012  
Regular Meeting of the Board of Trustees

|                         |         |
|-------------------------|---------|
| Executive Session ..... | 318-319 |
| Adjournment .....       | 319     |

# UNIVERSITY OF CINCINNATI

## Official Proceedings of the

### Three Hundred and Thirteenth Meeting of the Board of Trustees

#### (A Regular Meeting)

May 22, 2012

The Three Hundred and Thirteenth Session of the Board of Trustees of the University of Cincinnati was opened at 10:50 a.m. on Tuesday, May 22, 2012, in the Russell C. Myers Alumni Center of the University of Cincinnati. Notice of this meeting was given in accordance with Section 121.22 of the Ohio Revised Code. The proceedings of the Board, when not otherwise provided for by its bylaws, are governed by *Robert's Rules of Order*.

C. Francis Barrett, Chairperson of the Board of Trustees, presided. Mr. Barrett asked that roll be called.

**BOARD MEMBERS PRESENT:**

C. Francis Barrett, Margaret Buchanan,  
Thomas D. Cassady, Stanley M. Chesley,  
Gary Heiman, Thomas H. Humes, William  
C. Portman, III, and Geraldine B. Warner

**BOARD MEMBERS ABSENT:**

Robert E. Richardson, Jr.

**ALSO PRESENT:**

Gregory H. Williams, President;  
Robert F. Ambach, Sr. Vice President for  
Administration and Finance;  
Santa J. Ono, Senior Vice President for  
Academic Affairs and Provost;  
William Ball, Interim Vice President for  
Research;  
Thomas Boat, Vice President for Health  
Affairs;  
Karen Faaborg, Executive Vice President;

Lawrence J. Johnson, Dean, College of Education, Criminal Justice, and Human Services;

Michael Lieberman, Interim Vice President for Information Technology and CIO;

Mitchel D. Livingston, Vice President for Student Affairs and Chief Diversity Officer;

Mitchell D. McCrate, General Counsel;

James D. Plummer, Vice President for Finance;

Robert Probst, Chair for Council of Deans;

Gregory J. Vehr; Vice President for Governmental Relations and University Communications;

Greg Hand, University of Cincinnati Spokesperson;

Portia Watkins, Graduate Student Trustee;

Russell C. Myers, Alumni Representative;

Otto M. Budig, Foundation Representative;

Richard Harknett, Faculty Representative;

Dale Schaefer, Faculty Representative;

Alan Hagerty, Student Representative;

Lane Hart: Student Government President;

Barb Pence, Associate to the Senior Vice President for Administration and Finance;

and the public

*(Prior to the Board Committee Meetings and the Regular Board Meeting, Chairman Barrett began the proceedings at approximately 8:40 a.m.)*

Mr. Barrett:

Thank you very much. Good morning everyone. I'd first of all reference Trustee Rob Richardson, Secretary of the Board, is out of town on business. Actually, he's in Chicago so we hope everything is going well for Rob in Chicago. I'd also like to excuse our Undergraduate Trustee Nick Hertlein who is also not able to be with us today.

We would like to welcome our new Student Government Representative to the Board, Mr. Lane Hart. Lane, if you'd stand up please – we welcome you. *(Applause)* We look forward to having you with us. Lane is very Proudly Cincinnati. He originally came from Lewisburg, Ohio. I understand that you are a fourth year student pursuing degrees in Information Systems and Finance and a minor in Asian Studies. I understand that President Williams has already sworn you in. Is that correct Greg?

President Williams:

That's correct.

Mr. Barrett:

So you are officially in. We'd like to say farewell to two of our favorite people who are leaving us – our student representative, Alan Hagerty and Portia Watkins, our graduate student trustee. Alan, we're very pleased that you've been with us. We are going to miss you greatly. Portia, we're going to miss you greatly. It has been great having both of you and we'd like to give you a small token of appreciation. I think that Barb Pence, who is sitting in for Susan Stringer, has a couple of gifts that I'd like to present to both of you, but I can't thank you enough for your service to the university. *(Applause)*

Alan and Portia both indicated that they would have some farewell comments when it comes time in the agenda for their reports.

If you recall at our March board meeting, Trustee Stan Chesley suggested that the board adopt a resolution in special honor of Manuel D. Mayerson for his service to the university with a formal board resolution and the board agreed with that. I'm going to ask Stan to go up to the podium and read the resolution. We are fortunate to have members of the Mayerson family with us. Manny's son Fred could not be here, but his son Neal is here and Neal's wife, Donna, is here. If I could ask Neal and Donna to come up and stand next to the podium with us we would appreciate it. I'd like to ask Mr. Chesley to read the resolution in just a second.

Mr. Chesley:

It is with a great deal of pride that I'm able to read this resolution on behalf of the Board of Trustees of the University of Cincinnati:

**RESOLUTION IN MEMORY OF MANUEL D. MAYERSON**

*WHEREAS, the University of Cincinnati community learned with great sadness of the death of Manuel D. Mayerson on March 22, 2012, and*

*WHEREAS, Mr. Mayerson was renowned as an innovative developer who forged new ground in shopping mall development, a dedicated family man, an avid supporter of the arts and education and an inspiration to all who met him, and*

*WHEREAS, Mr. Mayerson and his wife Rhoda founded the Manuel D. and Rhoda Mayerson Foundation which has supported more than 1,000 community institutions and non-profit agencies including: the Mayerson Academy, Hebrew Union College, the INclusion Network, the Freestore Foodbank, the Mayerson Center for Safe and Healthy Children at Cincinnati Children's Hospital Medical Center; the Cincinnati Art Museum, the Contemporary Arts Center, the National Foundation of Jewish Culture, Cincinnati Symphony, Playhouse in the Park, the School for Creative and Performing Arts as well as civic and cultural projects in Israel, and*

*WHEREAS, the generosity of Mr. Mayerson and his family has extended to University of Cincinnati initiatives including the Transition and Access Program for students with disabilities, the Center for Academic Community Partnerships, the Integrated Core Learning program, and the service-learning programs Center for Community Engagement, and*

*WHEREAS, Mr. Mayerson has been honored for his achievements in business and community life with many distinguished awards, including the William Howard Taft Americanism Award, Realtor of the Year, the Architectural Foundation of Cincinnati Apple Award, Honorary Doctor of Humane Letters from Hebrew Union College, elevation by the Supreme Council of Masons to the exclusive 33rd Degree, recognition by the National Conference of Christians and Jews and the Jewish National Fund and recipient of the "Light Up Cincinnati" award;*

*NOW, THEREFORE, let it be it resolved that the Board of Trustees of the University of Cincinnati, a State University organized under Chapter 3361 of the Ohio Revised Code, expresses its sorrow to the family Manuel D. Mayerson in remembrance of his lifelong efforts to improve our community.*

*BE IT FURTHER RESOLVED, that this Resolution be spread upon the minutes of said Board and that a certified copy be presented to the family of Manuel D. Mayerson.*

*Signed C. Francis Barrett, Chairman of the Board of Trustees, May 22, 2012.*

Please give my love to your mother. *(Applause)*

Mr. Barrett:

As chairman, I'd like to ask our senior most trustee, Gary Heiman, who is very close to the Mayerson family to make the motion to accept and adopt this resolution. I'd like to ask our junior most trustee, Ginger Warner, who became very close to the Mayersons through her work through the arts, to second the motion. Gary, would you be kind enough to make a motion?

Mr. Heiman:

It would be my honor to make a motion to accept the resolution that Stan has just read.

Mr. Barrett:

Could I have a second?

Mrs. Warner:

It's with great emotion, but also with great enthusiasm that I second this resolution.

Mr. Barrett:

If there is no further discussion, I would ask for a vote. All in favor, please signify by staying, aye. Opposed? (*There were none*). The motion carries unanimously. Thank you so much. Thanks Neal and thanks Donna for being here. It means so much to us.

Mr. Mayerson:

I appreciate it. Thank you. (*Applause*)

Mr. Barrett:

It's always great to have people who are loyal to the City and the University and support the University. It means so much to just be able to recognize them. Thank you so much to the entire Mayerson family. We'd like to get started with President Williams – President Gregory H. Williams would you be kind enough to give your report?

President Williams:

Thank you, Mr. Chairman. My sympathies to the Mayerson family over the loss of Mr. Mayerson. He was a great supporter of the University and I always enjoyed the

time I was able to spend with him. Neal and Donna, my heart goes out to you during this time. Please convey my best wishes to your mother.

I would also like to welcome Lane Hart as our new Student Government President. We held the inauguration last week just right out on the Commons there as part of MainStreet Stride. It was a great day for a parade and I think it was actually the biggest parade that we've had – probably about 700-800 students, faculty and staff were there so it was really a tremendous day. To Alan Hagerty, our outgoing President, Alan, I want to thank you and assure you that your accomplishments that you've worked so hard on this year will live on. You've done a great job as president and it's been terrific working with you. It's also been a great pleasure to work with Michael Linger so please convey that to Michael as well.

There are four items I would like to mention and in all instances they involve UC faculty and students receiving notable recognition.

The first is recognition for the late distinguished service professor, Dr. Albert Sabin. The State of Ohio has named Dr. Sabin a Great Ohioan for his pioneering work in developing the oral polio vaccine. He will be featured in an interactive exhibit at Ohio's Statehouse Museum.

A research project headed by Dr. Frank McCormack of the College of Medicine has been honored as one of the Top 10 clinical research projects in the country. Dr. McCormack's work focuses on L-A-M, a rare lung disease that leads to respiratory failure, mostly in young women in the mid-30s and 40s. He earned a Clinical Research Forum Achievement Award and we're very proud of that accomplishment.

A UC faculty member in classics, Steven Ellis, has won the Rome Prize, which is a very, very special prize, to study at the American Academy in Rome. He will use the prize to continue his research on the ancient world of Pompeii and I might also add that the University of Cincinnati is the only American university that has the right to dig at Pompeii.

As you know one of the goals of our UC2019 plan is to increase the number of UC students earning nationally recognized awards. Recently, we learned that UC biomedical engineering and math double-major Brad Theilman has won a prestigious Goldwater Scholarship. The Goldwater awards are designed to encourage math and science research careers. Brad wants to become a research professor and study neural information networks in the human body. He has also actually worked as a student worker in the President's Office, helping with events. So those of you who have been to University House may have seen Brad. He was also elected to Mensa at age five so he is truly a talented person.

And now I'd like turn to my good friend Otto Budig who is leading the *Proudly Cincinnati* Campaign and we're grateful for your leadership, Otto. Would you please give a report from the UC Foundation.

*(Otto Budig, University of Cincinnati Foundation Board Representative, gave the following Foundation Update.)*

Mr. Budig:

Good morning. My name is Otto Budig and I'm *Proudly Cincinnati*. I'd like to begin my report by thanking President Williams and members of the Board for attending our Benefactors Dinner last month during the UC Foundation Board Weekend. And while I will speak a bit more about that shortly, first, I'd like to share our recent fundraising progress. Due to the efforts of our staff, volunteers and donors, the *Proudly Cincinnati* campaign has reached another significant milestone.

As of May 14th, total private support committed to the campaign stands at more than \$900 million. This puts us in an excellent position to reach and even exceed our goal within the next year, and we wish to thank everyone in the UC community who has supported *Proudly Cincinnati* and this campaign thus far.

The campaign was recently bolstered by a number of major commitments, including a gift of \$1 million by Peg and Mike Valentine that will benefit Athletics. Peg

is a Foundation Trustee and we are extremely grateful for the Valentine's generosity and involvement in a number of programs throughout the university. We have also received some significant gifts that will support CCM, the Lindner College of Business, and the College of Allied Health Sciences.

Moving on to our annual fundraising totals, nearly \$97 million of private support has been committed to UC for the period July 1<sup>st</sup> through April 30<sup>th</sup>. This puts us approximately \$6.5 million ahead of our progress at this time last year, and has us on track for one of the best years of fundraising in recent memory. In addition, our donor count stands at nearly 31,000, which is similar to where we were at this time last year.

As I mentioned at the top of my report, the UC Foundation Board of Trustees met on April 20<sup>th</sup> and 21<sup>st</sup> to discuss a number of important issues related to UC fundraising. As you may recall, Provost Ono and UCF Trustee Jerry Leamon, as well as more than 100 volunteers, have been working for the past year and a half on a strategic plan, which grew from the realization that we would need to raise at least \$125 million in private support each year once this *Proudly Cincinnati* campaign is over. Though the plan has origins in the UC Foundation, it is meant to be a university-wide plan that seeks to incorporate the critical work of fundraising as a part of UC's DNA – a shared mission between all of us.

The Plan has now been completed, and was unanimously approved by Trustees last month. Representatives from the Strategic Planning Committee are now sharing the learning's from the Plan with a variety of UC stakeholders so that we can move forward after the conclusion of the *Proudly Cincinnati* campaign.

Certainly, last but not least, our eight annual *Proudly Cincinnati* Faculty/Staff campaign continues to gain momentum as we move through the spring. As of May 8<sup>th</sup>, participation among full-time employees stands at just under 40%, and we anticipate those numbers will climb during the final push for the campaign. So far, active and

retired faculty and staff donors have collectively contributed \$5.8 million to this year's campaign – a remarkable achievement to be sure.

As always, I appreciate having the time to address you today. On behalf of my fellow volunteers, colleagues and trustees, I thank you for your engagement and collaboration in completing or almost completing one of the most successful fundraising campaign in this university's history.

President Williams:

Thank you, Mr. Budig. Actually, we appreciate your leadership and I think \$900 million deserves a round of applause. (*Applause*)

And now we turn to today's presentations. Many of you may recall that at my installation ceremony when we launched our strategic plan, UC2019, that I said that we would take our university from "defining" to "achieving," and we would accelerate our university's transformation from "up and coming" to the "best."

Today we take a great step forward toward that achievement with the unveiling of an Academic Master Plan aligned to our UC2019 goals. This is where the "rubber meets the road," as they say. Implementation is always more difficult than pure aspiration, but this plan contains concrete action steps to move us toward the objectives we set forth for our university... so that our aspirations really will become reality.

When we started this UC2019 journey together, I warned that difficult decisions had to be made, but that ultimately our university would be made stronger. And this Academic Master Plan will indeed make UC stronger.

Before I turn it over to Provost Santa Ono and Senior Vice Provost Kristi Nelson, I want to first thank Dr. Ono for spearheading this tremendous undertaking. Many of your were at my investiture, and remember that at my investiture, I said I was tapping on the shoulder of everyone, and especially him, and he has seized the opportunity. He has

worked closely with the university community and he has created a roadmap that will move us to new heights. So, I want to thank Dr. Ono and Dr. Nelson, because she, too, has worked tirelessly on this. On behalf of the university and myself, I want to say to both of you that we appreciate your efforts and those many people across campus who have joined you in this endeavor – Dr. Ono and Dr. Nelson? *(Applause)*

*(Santa J. Ono, Senior Vice President for Academic Affairs and Provost, and Kristi Nelson, Senior Vice Provost for Academic Planning, gave the following UC2019 Academic Master Plan presentation, using PowerPoint slides. A copy of the presentation is on file in the Office of the Board of Trustees.)*

Dr. Ono:

Thank you President Williams and thanks to all of you for your attention. It's a pleasure to provide you with this third update on where we are with the university's strategic plan UC2019. I, too, have a number of individuals that I want to thank. Before doing so, I want to share with you a video that captures this institutional moment - the moment in which we move from planning to implementation. I hope you enjoy it.

*(A video located on the internet at: [http://www.uc.edu/provost/priorities/uc2019-amp/uc2019\\_academic\\_masterplan-videolandingspage.html](http://www.uc.edu/provost/priorities/uc2019-amp/uc2019_academic_masterplan-videolandingspage.html) was shown.)*

As Otto Budig would say, I'm proudly Cincinnati. We have a lot to be proud of here at this university. This video and the publication in front of you represent the creativity, collaboration and tireless commitment of hundreds of individuals around this university and beyond. So, I cannot miss this opportunity to extend my heartfelt thanks to each of these groups.

Let me start by thanking the faculty, the students, and staff as working groups who came together last spring to generate an impressive array of ideas and recommendations, which I presented at that time, in the spring, as our visioning document. The coordinating team then met every week over the summer and into the

fall to research, synthesize, and structure this massive amount of data into concrete action steps that you have before you. From this group, I would like to especially thank Dean Elizabeth King for representing her fellow deans and Richard Harknett, at our table right there, for representing the faculty as Chair of the Faculty.

There was a review team that helped us evaluate and prioritize over fifty proposals for investment for the initial launch of UC2019▶. I'd especially like to thank Bob Ambach, my colleague there, for sharing his time, expertise and leadership with that group.

At various points along the way, the president, members of the board, the UC2019 Steering Committee, and the students provided essential input and feedback. And, of course, like any big project there was a small team of individuals who worked countless hours behind the scenes to make the rest of us look good. Here I'd like to thank Melody Clark, Wayne Hall, Ben Hofstetter, Dustin Shell and Ryan Hays for their countless hours of work on this plan.

And last, but by no means least, there was one person, in particular, who helped organize and orchestrate all of these complex moving parts and has done so in a truly masterful way and that is my colleague to my left, Kristi Nelson. Thanks Kristi. (*Applause*). Thank you Kristi from the bottom of my heart for your dedicated care, service, and distinguished leadership in this very, very important activity of this university. Please know that UC is, and will continue to be, better because of you.

Before I turn it over to Kristi to discuss our initial investments that in this year will be \$10 million of permanent funding and over the period between now and 2019 represents over \$50 million in investments, I would like to say one final word what this AMP document – Academic Master Plan document – represents. I believe that the Academic Master Plan of UC2019▶, the one sitting in front of you today, speaks volumes about not only who we are, but where we want to go. This university, this plan, its campus invested in that and the results are stunning. Now is the time for us to plan

and define action steps and to invest into the academic core mission of this university. We are a student centered, faculty driven institution that refuses to rest on those rankings. Our vision is bold, our targets are real, and our actions are now squarely aligned with our academic priorities. In a word, this is our moment to move UC to the next level. Again, I'm excited, proud, and frankly humble to be part of this process. Let me turn it over to Kristi to discuss how we plan to target our strategic investments in these critical areas.

Dr. Nelson:

Thank you, President Williams and Provost Ono for those kind words. I really do want to stress that it took teamwork to get to the implementation stage of the UC2019► Academic Master Plan. I had the opportunity to bring together and work with wonderful colleagues across the university in this effort. Just a word first about what is at your place. This is the publication, which Provost Ono has mentioned, represents phase 2 of the Academic Master Plan and the publication lays out the recommendations, action steps, responsible party, and timeline for implementation.

Secondly, we have a small gift for you at your place. As you saw in the video, we have nine icons that were designed by Professor Mike Zender in the College of Design, Architecture, Art and Planning with the assistance of Dean Robert Probst who is in the audience. The icons serve as a design system to help us organize the nine operational principles and this is diversity. We're distributing these puzzle pieces at certain milestones on our roadmap so we have this small token for you today.

To implement UC2019► and achieve the ambitious institutional goals laid out in the plan, we propose targeted strategic and sustainable investments in key areas. Our plan calls for the total investment in FY12 and FY13 to be \$10 million. We have divided these investments into three broad categories as you see in the pie chart on the screen: students, faculty and core support.

Of the \$4.25 million targeted for student support, \$1.8 million will be used to increase graduate assistant stipends plus invest in doctoral program excellence.

Competitive stipends and quality of life issues are critical for recruiting outstanding graduate students on the national and international level and for advancing UC's stature as a research university. Doing this should have a corollary impact on our research agenda and should help us meet 2019 targets that call for increased research funding and expenditures of \$500 million each. We will enhance the undergraduate experience specifically through investments in UC Forward and undergraduate research. We will bolster our work in recruiting and retaining a diverse student body through the implementation of the diversity plan providing additional recruiting support in the admissions office, implementing a pipeline project in the health areas, and advancing our STEM MD program. As shown in the video, we have already made investments in scholarships, study abroad, the Honors Program, and the creation of an Office of Nationally Competitive Awards – an investment that is already bearing fruit and which we already heard about this morning in the president's comments with Brad Thielman being named a Goldwater Scholar.

In addition to this new funding for student support, we will also invest \$3.3 million in efforts that directly address faculty. These include enhanced resources of \$2 million to expand funding for faculty start up packages which will allow us to hire strategically (including diversity appointments), to support developing areas of excellence, interdisciplinary research projects, and other areas of critical need. Start up packages provide a recruiting advantage for faculty with outstanding research potential and allow them to jump start their research programs. We will also invest in excellent teaching, innovative pedagogy, and distance learning. Here I would draw attention to a major investment in our Center for Enhancement in Teaching and Learning. These new resources will help us support faculty as they identify, adopt, and assess innovative and effective pedagogies and new technologies that will improve student learning.

With regard to the \$2.4 million in core support, we will transform some of our classrooms into learning labs in order to assist faculty with content delivery and to improve student learning. We will also create a more robust infrastructure for

commercialization with the goal of increasing the number of master agreements to 20, patent applications to 100, and invention disclosures to 160.

Finally, I have several takeaways that I want to point out. Our investments will begin after July 1<sup>st</sup> when the university-wide budget is approved at the June board meeting. Since we have nine operational principles with goals and targets in each area, it is critical that we aim for a balanced score card with investments across the nine areas. I should also mention the colleges and units are redirecting their own resources to help achieve the UC2019 targets. As Henry Ford has stated, “Coming together is the beginning, staying together is progress, and working together is success.” I would only add to those words that leading together is transformation. We can now take questions if there are any?

Dr. Ono:

Are there any questions? Sure.

Mr. Humes:

This is a question and a comment. This is truly an amazing publication and an amazing program. To your team and everybody involved, thank you so much from the board of directors. We appreciate what you are doing tremendously. This is truly showing leadership for our university and exactly what we need to know. I would highly suggest to anybody, if you have not had a chance to read this, this is truly a spectacular document. I really would need to go to the dictionary to look up about five words in here that I’ve never seen before. *(Laughter)* It’s a spectacular platform for our university.

Dr. Ono:

Thank you so much.

Mr. Humes:

You’ve truly attacked the next five years.

Dr. Ono:

Thank you so much. Alright, and thank you so much for your time. *(Applause)*

President Williams:

Thank you, Dr. Ono and Dr. Nelson – we're all excited about the Academic Master Plan and we know it's going to move forward quickly and we appreciate the support that has been generated throughout the campus and your hard work on it, so thank you very much. At this time, we'd like to ask Jim Kingsbury, President and CEO of UC Health, to come and make a brief report on UC Health. Mr. Kingsbury?

*(James Kingsbury, President and CEO of UC Health, gave the following presentation titled UC Health Update using PowerPoint slides. A copy of the presentation is on file in the Office of the Board of Trustees.)*

Mr. Kingsbury:

Thank you and good morning. It's my pleasure to be before you again. I think it was a little over a year ago that we came to you and asked you to approve the integration of UC Health and UC Physicians. You did approve that and I'm going to give you an update on that. My report today is a little bit different. I will do the traditional update – here are the things we are doing, here are the things we are going to do – but, I'm going to focus a lot of my attention on integration. Integration is the overarching theme of what we are trying to do within the creation of UC Health, UC Physicians, and the College of Medicine. I wanted to help portray how we are proceeding on that and the progress we are making on that. In typical fashion, I have more material than I have time to cover so I am going to skip through some of the bullet points, so if you have questions about some of the things that I skip through, I'd welcome those questions at the end.

When I came here and after assessing the situation, I said the greatest opportunity we have is the integration of UC Physicians and UC Health in the creation of UC Health that you know now. The greatest challenge was Drake – incredible mission and losing an incredible amount of money. I'm pleased to report that both have been done. We'll talk

a little bit more about the UCP integration and where we are now. Phase 2 was the part that you approved; that is the integration that occurred on the start of the fiscal year. It went without issue and now we are operating as one, our financial statements combined, and proceeding with Phase 3 that I'll talk about.

We made great progress with Drake. Health South is now operating a rehab unit there. UC Health continues to operate the rest of the facility. We've made progress on the service, expanded the service, expanded the volume, improved the financial performance, and I think we can see a good future for Drake now.

The Lindner Center is a 50/50 partnership (a 50/50 percent partnership between UC Health and the Lindner Foundation). It will remain that and not fully come into UC Health, but we are integrating it in the sense of making it as if it's operated within UC Health. That is well underway as we've done and I'll show you UC Physicians with a number of work teams that are looking at all the details that have to make that happen.

Now, skipping ahead to some of just the program activities, let me just comment on a few. First of all, under the Health Alliance, part of the agreement was that only Jewish Hospital would run a bone marrow transplant program. With that restriction lifted now, University Hospital has created a bone marrow transplant program. That is a critical component to having a cancer center and I'll talk about that in just a minute.

The second one I'd call your attention to is the creation of the UC Health Clinical Laboratory Service. Some time ago, the reference lab, the part that brings specimens in from around the region was sold to Quest and the labs were outsourced. That was probably a good decision some seven years ago, but is a problem now. So, we've recreated that reference lab at University Hospital. It will serve all of our system including all of our physicians and that will be a critical component in rebuilding our pathology department. I'll show you that in just a minute.

The next one I would skip to is down to robotic surgery at West Chester. West Chester is a community hospital, but because it's integrated with UC Physicians it can do some of the firsts. So we have a robot there. You see one procedure that was the first one done in Ohio – so they've really taken advanced medicine.

Finally, and it's covered up for some reason or other, but down at the bottom it says that in just eighteen months the UC brand has come to rival all of the other health brands in the region. We did some market surveys about the UC brand in terms of recognition and respect and it rivaled all of the systems that have been around for many, many years.

The next things – complete integration and we'll talk about that in just a minute. Access – we're going to focus on access over the next several years. I'm going to talk about physician recruitment. Right now it takes too long to get in to some of our specialists. We're going to focus on that so that people can get in quickly and get an appointment quickly. We're going to create one system that will be one place where you can call to schedule everything – physician visit, MRI visit, visit to the hospital, a visit to any service, and be able to give the patient's both one call, but also the convenience of the spot that they can give it – we provide MRI and radiology services in about ten different spots. So, access will be a major function.

For the first time we're doing, integrated across all of our systems, strategic planning. We've done strategic planning before, of course, but not integrated – not tying together the three missions, not tying together the hospitals, the physicians and the college. We are doing that. The first round of that will be complete in June and approved by our board. We expect more of that over the next year.

We're making lots of investments this year. One will be to recruit about 100 physicians next year. I've listed some and I should have put OB/GYN since it's another one of the big areas of recruitment. We see tremendous demand for healthcare, tremendous demand for UC Physicians, and tremendous opportunity to grow. You've

heard of funds flow and I'll talk about funds flow in a minute, but this is where the hospitals will support the academic departments, UC Physicians, in recruitment of physicians. This will be a major activity.

Let's skip down now to number 8 – Cincinnati Cancer Center. Cincinnati Cancer Center was actually an organization that was started now about five or six years ago by Dean Stern, myself when I was at University Hospital, Jim Anderson, and Arnie Strauss at Children's Hospital. It is a three-way partnership, Cincinnati Childrens, College of Medicine, and at that time University Hospital. Now, the partner is UC Health because we're fully an academic system. Those three partners have created the Cincinnati Cancer Center. Its intent is to become NCI designated. There's about sixty NCI designated Cancer Centers around the world and our intent is to gain that certification. The clinical programs will remain in Children's Hospital and the UC Cancer Institute. The Cincinnati Cancer Center is to gain the NCI designation which is mostly around research – the academic side. I will tell you that Dr. Boat and Dr. Strauss did a fantastic job. One of the things that you do at a cancer center is bring in an external advisory board. We had the first meeting of the external advisory board about a week and a half ago. We have the top leaders as our external advisory board from Dana-Farber, from MD Anderson, the University of Chicago, and the University of Minnesota. We have the best advising us how to move forward.

Let me talk about integration. So one of the most important things we will do is to form this organization and the foundation of this organization. We will continue to do lots of individual things, but the most important thing that we will do over the next two years is form us as an integrated system. The Health Alliance was a spectacular business system. It had a supply chain that was among the best. We're part of 4,000 hospitals on materials management and supply chain and we were always one of the top 5. Not five percent, but the top 5. Its revenue cycle was the best, but it was integrated primarily around business systems. We want to be integrated around clinical systems and three missions – academics and clinical care. What we're trying to do is integrate broadly across all of our systems in every way – the College of Medicine, UC Physicians, and UC

Health (the physicians, the hospitals, the colleges, West Chester, University) so geographically and clinically and academically.

I've focused on the academic part with you, but I'll show you briefly some of the clinical integration. We are working on revising what was originally called the Operation Affiliation Agreement to tie with our new terminology we are talking about the Integration Affiliation Agreement. I believe later in your meeting, Dr. Ono and Dr. Boat are going to briefly comment on that. I will tell you and I'm absolutely confident that I will agree with everything they say because we are on the same page and making great progress on that.

UCP Integration – so we brought the operation in, but now there are literally dozens if not hundreds of things we need to look at in terms of how we integrate together. This is the team that's put together and there are probably about 70 people who are working on this. You can see that it's IT, systems, HR, and all of those things. Over the next two years we will fully integrate them. So we will ask the question, "should we bring them into the HR systems of UC Health." Cancer, it will probably be yes. We'll ask the question, "should we bring them into the revenue cycle systems of UC Health." Answer might be no. Hospitals and docs are different. So, this is the process that is underway and I'll show one more piece of that.

The other activities that are underway? I'll just talk about a couple here. First of all, #4, research structure and strategic planning. This is a particularly exciting one. Dr. Boat appointed Dr. Steve Strakowski as the Senior Associate Dean for Research for the College of Medicine and I appointed Dr. Strakowski as the Vice President of Research for UC Health. So, what Steve is doing now is putting together a research strategic plan, a research structure that spans both the College of Medicine and the basic sciences and the translational research and the clinical research of UC Health. It's an exciting new development that's going to have a powerful impact. One of the visions from the start of creating UC Health was the Academic Council. I believe Santa is also going to talk about that.

Finally, I want to talk about funds flow. Mr. Barrett and Mr. Strike, our two chairmen, have asked Dr. Boat and I to put together an educational program on funds flow. It is a complex process and a complex thing. So, we will do that for all of our trustees and get that done and present material to you in individual meetings over the next four to six months. I wanted to just touch on that because I think there are some misunderstandings about that. Let me just say that the most important thing we will do in integration is not funds flow. It is programs and services and quality of work, but the financial piece needs to support that. We need to match the funds flow to our new system, not our old system and that's what we are trying to do. When we brought UCP into UC Health, merged and integrated them together, we said that Phase 3 would be the detailed evaluation of funds flow. That's what we are doing now. Dr. Boat is leading the effort and there is another chart that I could show you with a number of teams working on that. Let me tell you that it is sensitive because it says where do we spend our money, how do we allocate our money. It impacts the salaries of physicians so it is sensitive. It is complex. There is an idea that it just simply is a flow of funds from the top of UC Health to the College of Medicine. It isn't that. That may look like a joke, but that is intended to be a depiction of our current funds flow. Not only that – that is a simplification of it. There are a hundred medical directors that we flow funds from to hospitals to UC Physicians to pay for medical directors so there are a hundred agreements. So what we want to do is simply this, but more importantly match it to our new system. We hope we can get it to this. So remember, on the right the clinical side and on the left the academic side, but integrated together. So, flow the funds to the top of UC Health, but then flow both sustaining dollars to the College of Medicine and strategic dollars. Ultimately there will be sustaining dollars flowing from the clinical side of the departments – medicine, surgery, OB – to the academic side and that's happening now. Sustaining dollars at the top, but most importantly then, good decisions about how do we invest our resources going forward in a strategic way. What we agreed on is that we would set 2013 – we did that through a discussion and not a negotiation – we're partners. We did that based on the needs of the college and the needs of UC Health. This is a summary of that. An easy way to looking at it is that there are two flows of funds – one from UC Health to the College of Medicine and one from the hospitals to the physicians.

You see the figures there. We substantially increased the flow of funds from UC Health to the dean's office because we knew that the College of Medicine had a financial problem and we felt like we needed to support the College of Medicine. UC Physicians has also made a similar significant commitment to increasing the flow to the academic side. The hospital to the physicians' part is primarily purchase services – paying for medical directors, contracting for new things, and recruitment of physicians. So, that's the funds flow for next year and then 2014 we hope to come with a totally new plan.

Finally then on the clinical side, let me just comment on two or three. Epic is our very significant investment in computer software. This will drive all of our activities through both all of our hospitals and all of our physicians. UC Health is purchasing this. It is going to all of our physicians and all of our hospitals. July 10<sup>th</sup> will be when the physicians go live and October 27<sup>th</sup> when the hospitals go live. We have a team of about 150 people working on this. This is a mammoth process and a mammoth undertaking. We did a live trial a couple of weeks ago and it went pretty well. That is underway and will be one of the most important things. That integrates us in terms of information and systems and operations tools. I would draw your attention to item #4 which is the Lindner Center MD's integrating with UCP. So another one of the places where we are integrating our components so those people are now faculty members of the University of Cincinnati College of Medicine. They are part of the psychiatric department of UC. Then the final one, just as an example, is #7 which if you are not familiar, up at University Pointe there is a UCP physician's office building; there is a surgical hospital; there is, of course, West Chester Hospital; and there is a West Chester Medical Office building. Right now, West Chester Hospital runs its office building and its hospital. UC Physicians' runs the medical office building they have there and we jointly run the surgical hospital. What we are going to do is reconfigure that so UCP runs the office buildings (that's their expertise) West Chester runs their hospitals. We are taking this structure that we inherited from all kinds of relationships and structuring it in a way that will make sense. Clinical systems are critically important. We will work across all of our institutes to integrate our institutes also across all of our systems and all of our programs.

As I said, one of the most important things we will do is integration. I think we are excited about both the vision we have set overall, how far we've come, and where we are headed. Dr. Boat and I have been asked to be presenters at the opening session in September in San Francisco of the American Association of Academic Health Centers to describe what we are doing in Cincinnati. Are there any questions? Things you would like to know about? Thank you, very much.

Mr. Humes:

You know, I think we offer you hearty thanks for all of the work you've done. I think you and your team have tackled an enormous number of challenges. While there are still many left, you've done a great job of working through those challenges. I would have a question. As you look on the continuum of the start up of UC Health to full operations and full effectiveness with zero being the start up and ten being full effectiveness – where would you say we are on the continuum today?

Mr. Kingsbury:

That's a good question. We are at 6 – more than half. But, here is an observation. We've done the high level things and there we are 100%. We're fully integrated at the upper level. What we are doing now is getting into the detail. That makes it more difficult because we've got to get deeper into the organization and wider in the organization so Dean Boat and I are like this. But, two managers down in the organization may not have heard about this. So we're getting into the more detailed kind of thing and we're pushing them down into the organization. That's harder and slower. I'd say we are 60% done, but the last 40% is going to be slower than the first 60%.

Mr. Humes:

Do you see any major issues that prevent you from reaching a 10?

Mr. Kingsbury:

No, I think there are some challenges – I cut that slide out. There are some challenges. Health Care Reform is a challenge. Funding is a challenge. We're a sound

system, we being both the College of Medicine and UC Health, but we are going to need resources to do what we are doing. This coming year we're going to propose to our board that we decrease our bottom line because of the investments we're making. We're making huge investments next year. We're investing in the future. I would say that's the biggest challenge is limited resources.

Mr. Chesley:

I just want to say that the entire board of trustees of the university, I believe and feel, are 100% behind what you, Dr. Boat, and also, Dr. Ono is helping us so much on. The other thing that is remarkable is that while the alliance looked good at one time, it is for the first time that UC Medical School is on the charts with primary importance which was difficult during the alliance period because I'm not suggesting jealousies or whatever – but we had the medical school. To put the medical school together with UC Health gives us the power, the prestige, and the importance that you have with true medical centers. Very, very few large institutions of medical centers cannot operate without the integration of the hospital system, physician system, and the medical school. So, I compliment you, Dr. Boat, Dr. Ono, and President Williams on understanding where we are moving. In answer to you Tom, do we really, we really started at ground 1, base a, and this is what we've been able to accomplish, frankly, in less than two years. I don't think we can rate it in numbers, but I've got to tell you that we've got the best leadership, the best support, and the true best health situation in the entire community. And, I thank you and want you to be assured that this board, and I know the president, are 100% supportive of you and there's going to be little hiccups here and there, but we'll get past the hiccups. Thank you.

Mr. Cassady:

Jim, I have a couple of questions. You mentioned with regard to the Cincinnati Cancer Center that your ambition is to become recognized as one of the sixty NCI certified, I think is what you called it. Is there any other NCI cancer institute in the city?

Mr. Kingsbury:

Not in the city, the closest is Ohio State, the James Center, which is probably a 30-year designation.

Mr. Cassady:

One other observation, I really appreciate what you said with regards to funds flow.

Mr. Kingsbury:

Excuse me, but there won't be any others. No other system could get that because it is built so much around the research. So, nobody else could come close. It will take us three to four years to qualify for this probably. Excuse me.

Mr. Cassady:

That's fantastic. One other thing and that is you talked about and it was a subtlety in your presentation that the discussion between UC Health and the College of Medicine was not a negotiation but a discussion because we're partners. I appreciated that subtlety and I commend you...

Mr. Kingsbury:

Let me just interrupt, it is one of the things that I've driven. This is no we/they – it is we/we. That's why we're trying to push it down in the organization, too.

Mr. Cassady:

I think it's great, but the one thing that I would recommend is that in deference to that we eliminate the term the dean's tax. I noticed that on your slide. I think we could get rid of that – we could find a better term for that. That doesn't usually go off real well. It doesn't support the kind of partnership that we're working on.

Mr. Kingsbury:

Well that's the college's decision, but I'll talk with the dean about that. We agree with that. Gary?

Mr. Heiman:

Jim, as a person who has been involved from every aspect of this from the Health Alliance going back fifteen/sixteen years to the Jewish Hospital, Mercy and UC Health, I would have never imagined that you would have been able to accomplish this. You and Dr. Boat and Provost Ono and President Williams – I really have to congratulate all of you. What you have been able to do and what you will do going forward is really phenomenal.

Mr. Kingsbury:

I appreciate that. Thank you. Another person we should give credit because he was in a difficult role at a critical time is Dr. Filak. Andy was the interim dean through the beginning part of this and even as interim dean he made a significant contribution and helped us move forward until we had a permanent dean in place so we should remember him as we thank people here. Thank you, very much. (*Applause*)

President Williams:

Thank you, Jim, for all your hard work. We're excited about the future of UC Health and know that it has been a lot of hard work and we have made amazing progress in a very short period of time. I should add, in fact, that Dr. Filak will in fact receive an award at the June Commencement for recognition of his work and dedication in helping move this forward. It's really tremendous what's happened with development of UC Health in the last two years – absolutely amazing. Mr. Chairman, that concludes my report.

Mr. Barrett:

Thank you, Mr. President. Greg, you and your administration routinely give us outstanding presentations at the board meetings, but these are two of the best presentations that we've ever had. I'd like to commend you for that and also for the efficiency with which in them. It is exactly 9:40 a.m. and we're right on schedule. (*Laughter*) So, thank you so much.

President Williams:

Thank you – we like to be on schedule.

Mr. Barrett:

We'll now move into the committee meetings. The first meeting will be the Academic and Student Affairs Committee meeting and I'd like to ask the Vice Chairman of the Committee, Mr. Thomas Humes if he will be kind enough to chair the meeting in the absence of Chairman Rob Richardson.

### **THE BOARD COMMITTEE MEETINGS**

*(Proceedings of the Board committee meetings are contained in the respective committee meeting minutes, which are on file in the Office of the Board of Trustees.)*

The committee meetings began at 9:40 a.m.; the meetings concluded at 10:50 a.m.

### **UPDATE FROM THE INVESTMENT COMMITTEE**

Mr. Barrett:

We will now move on to the Investment Committee Report and Tom Cassady will give that report. I would note that I did attend my first Investment Committee meeting as chair with President Williams on Friday, May 4<sup>th</sup> and was impressed with the quality of people, Tom, that you have on that committee. The knowledge and experience they bring with regard with the university's substantial funds. It was a very impressive array of talent you had in the room. If you'd give us a report we'd be most appreciative.

Mr. Cassady:

Thank you, Mr. Chairman. The Investment Committee held our quarterly on May 4<sup>th</sup>. We discussed the performance of the endowment. Q1 2012 was a strong quarter for us. Fund A gained 6.4% and strongly outperformed the Primary Objective, which rose 2.2% for the quarter. For the past three years, Fund A has gained 15.2% per year and

outperformed the Primary Objective which rose 7.9% per year for the past year. Over the last two quarters, the Investment Office has been transitioning Fund A to new asset allocation target that was approved in our October 2011 Investment Committee meeting and we are about two-thirds in the way of implementing that new allocation. Transitions are difficult for investment portfolios and we are gratified that Fund A has gained 11% during the transition.

The Investment Committee approved a change to the Temporary Investment Pool, or the TIP, guidelines to segregate out proceeds from UC debt from the rest of the TIP to comply with existing agreements with the Internal Revenue Service regulations.

We received a positive report from University Treasurer, Gary Hunt, on the Treasury Office's efforts to provide the Investment Committee with compliance oversight data. The treasury has made excellent progress and we expect to be able to take our oversight of the Investment Office to a new level using the data the treasury will provide.

The Investment Office and Bob Ambach presented a revised Incentive Compensation plan that offers superior alignment of interest between our investment officers and the university. The new plan includes a three-year vesting period during which a significant portion of the investment officer's compensation will remain invested in the endowment. It will grow or shrink at the same rate as the endowment and will be forfeited if the investment officers leave voluntarily or are terminated for cause.

We received a report on the community development from Associate Vice President Gerry Siegert who oversees our Neighborhood Development Corporation loans. That report is behind Tab #1 in your board books.

Finally, the Investment Committee discussed the university's spending policy. Bob Ambach and Chief Investment Officer Karl Scheer are going to perform some research on the sustainability of the current spending policy and will follow up with the Investment Committee. We will present those findings to the board soon.

Mr. Barrett:

Tom, thank you very much. Are there any questions of Tom Cassidy.

### **THE REGULAR MEETING OF THE BOARD OF TRUSTEES**

The Regular Meeting of the Board of Trustees was convened at 10:50 a.m. and, as noted on the first page (page 263) of these minutes, roll call was taken.

### **Approval of the Minutes from the Regular Meeting of the Board of Trustees**

Mr. Barrett called for additions, corrections, or deletions to the minutes of the Regular Meeting of the Board of Trustees of March 27, 2012. Upon proper motion by Mr. Humes and seconded by Mr. Heiman, the minutes were approved as distributed.

### **Approval of the Items Recommended by the Board Committees**

Listed below are the items recommended to the Board of Trustees for approval by the Academic and Student Affairs Committee and the Finance and Administration Committee at their respective meetings held on March 27, 2012, prior to the Regular Meeting of the Board of Trustees.

### **Academic and Student Affairs Committee Recommendations**

#### **12.05.22.01      ACADEMIC APPOINTMENTS**

**Synopsis:**            Appointments of Faculty and Academic Administrators

It is recommended that the Board of Trustees approve the appointments of faculty identified in the attached document. These recommendations have been reviewed and approved by the appropriate authorities.

#### **Appointments**

|                        |   |
|------------------------|---|
| Arthur Helmicki, Ph.D. | Department Head<br>School of Electronics and Computer Systems<br>College of Engineering and Applied Science<br>Effective: September 1, 2011 through August 14, 2016 |
|------------------------|---|

Jay Kim, Ph.D. Department Head  
School of Dynamic Systems  
College of Engineering and Applied Science  
Effective: March 26, 2012 through August 14, 2017

Paul Orkwis, Ph.D. Department Head  
School of Advanced Structures  
College of Engineering and Applied Science  
Effective: March 26, 2012 through August 14, 2017

**Emeritus/Emerita Appointments**

John Alexander, Ph.D. Professor Emeritus of History  
McMicken College of Arts & Sciences  
Effective: August 15, 2012

John Brackett, Ph.D. Associate Professor Emeritus of History  
McMicken College of Arts & Sciences  
Effective: August 15, 2012

Paula Dubeck, Ph.D. Associate Professor Emerita of Sociology  
McMicken College of Arts & Sciences  
Effective: August 15, 2012

P. Neal Ritchey, Ph.D. Professor Emeritus of Sociology  
McMicken College of Arts & Sciences  
Effective: August 15, 2012

John Schlipf, Ph.D. Professor Emeritus of Computing Sciences and Informatics  
College of Engineering and Applied Science  
Effective: September 1, 2012

Victoria Hammer, Ed.D. Associate Professor Emerita of Business  
Clermont College  
Effective: February 1, 2012

Janice Bending, Ph.D. Associate Professor Educator Emerita of Sociology  
McMicken College of Arts and Sciences  
Effective: August 15, 2012

James Stever, Ph.D. Professor Emeritus of Political Science  
McMicken College of Arts and Sciences  
Effective: August 15, 2012

Marvin Berlowitz, Ph.D. Professor Emeritus of Education  
College of Education, Criminal Justice, and Human Services,  
Effective: September 1, 2012

Steven Beck, M.A. Associate Professor Emeritus of Criminal Justice  
College of Education, Criminal Justice, and Human Services,  
Effective: July 1, 2012

David Kushner, Ed.D. Associate Professor Emeritus of Education  
College of Education, Criminal Justice, and Human Services,  
Effective: January 1, 2013

|                            |   |
|----------------------------|---|
| Michaeline Laine, Ed.D.    | Professor Emerita of Education<br>College of Education, Criminal Justice, and Human Services,<br>Effective: July 1, 2013            |
| Mary E. Franklin, Ph.D.    | Associate Professor Emerita of Education<br>College of Education, Criminal Justice, and Human Services,<br>Effective: July 1, 2012. |
| Guy Cameron, Ph.D.         | Professor Emeritus of Biological Sciences<br>McMicken College of Arts & Sciences<br>Effective: August 15, 2012                      |
| Katherine Tepperman, Ph.D. | Professor Emerita of Biological Sciences<br>McMicken College of Arts & Sciences<br>Effective: August 15, 2012                       |
| Philip Clayton, Ph.D.      | Assistant Professor Emeritus of English & Comparative Literature<br>McMicken College of Arts & Sciences<br>Effective: July 1, 2012  |
| Pradyot (P.K.) Sen, Ph.D.  | Professor Emeritus of Accounting<br>Carl H. Lindner College of Business<br>Effective: July 1, 2012                                  |
| Don O'Meara, Ph.D.         | Professor Emeritus of Behavioral Science<br>Blue Ash College<br>Effective: July 1, 2012   |
| Roger Hehman, MBA          | Professor Emeritus of Business and Economics<br>Blue Ash College<br>Effective: August 18, 2012                                      |
| Emel Yakali, Ph.D.         | Professor Emerita of Chemistry<br>Blue Ash College<br>Effective: July 1, 2012   |
| John Martin, Ph.D.         | Professor Emeritus of Philosophy<br>McMicken College of Arts & Sciences<br>Effective: August 15, 2012                               |
| Nancy Moss, Ph.D.          | Professor Emerita of Nursing<br>College of Nursing<br>Effective: January 1, 2012  |
| James Boex, Ph.D.          | Professor Emeritus of Medical Education<br>College of Medicine<br>Effective: July 1, 2012   |
| James Lessard, Ph.D.       | Professor Emeritus of Pediatrics<br>College of Medicine<br>Effective: July 1, 2012  |

|                         |   |
|-------------------------|---|
| Carol Rice, Ph.D.       | Professor Emerita of Environmental Health<br>College of Medicine<br>Effective: July 2, 2012                           |
| Umeshraya Pai, M.D.     | Professor Emeritus of Anesthesiology<br>College of Medicine<br>Effective July 20, 2012                                |
| James S. Howell, B.S.   | Associate Professor Emeritus of Professional Practice<br>Division of Professional Practice<br>Effective: July 1, 2012 |
| Thomas M. Newbold, M.A. | Associate Professor Emeritus of Professional Practice<br>Division of Professional Practice<br>Effective: July 1, 2012 |
| Robert Frank, Ph.D.     | Professor Emeritus of Psychology<br>McMicken College of Arts & Sciences<br>Effective: June 1, 2012                    |

**Endowed Chair/Professorship Appointments**

|                     |   |
|---------------------|---|
| Debashis Pal, Ph.D. | David Sinton Professor of Economics<br>Carl H. Lindner College of Business<br>Effective: July 1, 2012 through June 30, 2017 |
|---------------------|---|

**12.05.22.02 DISTINGUISHED RESEARCH PROFESSOR****Synopsis:** Appointment of Distinguished Research Professor

It is recommended that the Board of Trustees approve the following appointment: Joseph A. Caruso, Professor of Chemistry, McMicken College of Arts & Sciences, as Distinguished Research Professor.

**12.05.22.03 RENAMING OF DIVISION****Synopsis:** Renaming of the Division of Professional Practice

It is recommended that the Board of Trustees approve the renaming of the Division of Professional Practice to the Division of Professional Practice and Experiential Learning. This recommendation has been reviewed and approved by the appropriate authorities.

**Finance and Administration Committee Recommendations****12.05.22.04 ADDITIONS, AMENDMENTS, OR RESCISSIONS TO DIVISION 10 UNIVERSITY RULES\***


---

\*Filed in the Office of the Board of Trustees

**Synopsis:** The recommendation seeks approval for additions, amendments or rescissions to rules in Division 10 of the University Rules.

At the recommendation of the Policy and Procedures Subcommittee of the Semester Conversion Steering Committee, it was determined that a review of all the University Rules was necessary to streamline rules and update language to reflect the university's current policies and the change from quarters to semesters. This process is ongoing.

University Rules under Division 10 are being reviewed by the various divisions whose areas of responsibility align with the specific rule. The additions, amendments, or rescissions identified as of this date are described in Exhibit A.

It is recommended that the Board of Trustees approve these changes to the University Rules.

**Exhibit A**  
**DIVISION 10**  
**PROPOSED BOARD RULE CHANGES**

**1. Rule to be Amended**

**Rule 10-1-04** "Board of trustees bylaws: meetings"

The amended language reflects the alignment of the board meeting months with semester conversion, updates some of the notification procedures, as well as updates the board office fax number.

**2. Rule to be amended**

**Rule 10-5-04** "Organization: senior vice president for administration and finance"

The amended language reflects the addition of campus master planning to the organization of the senior vice president, as well as other program name changes not reflected in previous updates.

**3. Rule to be amended**

**Rule 10-5-07** "Organization: vice president for finance"

The amended language reflects recent changes to the organization of the Vice President for Finance as well omissions in the organization from past updates.

**4. Rule to be amended**

**Rule 10-5-14** "Organization: treasurer"

Rule amended to ensure appropriate segregation of duties and provide checks and balances; to improve investment oversight of the temporary investment pool; increase efficiency of governance, investment, and operations management; and to improve compliance oversight, reporting and measurement capabilities.

**5. Rule to be amended**

**Rule 10-17-08** “Conduct and ethics: policy on conflicts of interest in the conduct of research at the university of Cincinnati”

The rule has been greatly simplified and now deals solely with the university conflicts of interest committee, the body charged with examining potential conflicts of interest in research and developing conflict management plans when conflicts are identified. The former version of the rule contained overly detailed and unnecessary substantive descriptions of proscribed conflicts taken from various sources of state and federal laws. These laws are subject to change which would have required further amendment to the university rule.

**12.05.22.05      ADDITIONS, AMENDMENTS, OR RESCISSIONS TO DIVISION 20 UNIVERSITY RULES\***

**Synopsis:**            The recommendation seeks approval for additions, amendments or rescissions to rules in Division 20 of the University Rules.

At the recommendation of the Policy and Procedures Subcommittee of the Semester Conversion Steering Committee, it was determined that a review of all the University Rules was necessary to streamline rules and update language to reflect the university’s current policies and the change from quarters to semesters. This process is ongoing.

University Rules under Division 20 are being reviewed by the various divisions whose areas of responsibility align with the specific rule. The additions, amendments, or rescissions identified as of this date are described in Exhibit A.

It is recommended that the Board of Trustees approve these changes to the University Rules.

**Exhibit A**  
**DIVISION 20**  
**PROPOSED BOARD RULE CHANGES**

**1. Rule to be Rescinded**

**Rule 20-31-03** “Fees: residency”

Rule to be repealed in its entirety.

Rule is unnecessary since Ohio residency determination criteria are defined by Ohio Administrative Rule 3333-1-10 and cannot be established by UC. Additionally, this rule’s text borrowed heavily from a now-obsolete iteration of OAR 3333-1-10.

**2. Rule to be Rescinded**

**Rule 20-31-06** “Fees: registration”

Rule to be repealed in its entirety.

---

\*Filed in the Office of the Board of Trustees

Rule to be rescinded because it predominantly contained a collection of Registrar's Office registration procedural information rather than defining Registrar's Office or University policy. Additionally, information contained in rule is out of date, and as it is procedural in nature, always is subject to change for a given academic year.

**3. Replacement Rule to be Adopted**

**Rule 20-31-06** "Fees: delinquent obligations and prerequisites not met"

Rule rewritten in its entirety to remove unnecessary references to specific operational details, to add stipulation that students can be administratively dropped from classes for which the student lacks the required pre-requisite, and includes provision for a 100% refund in such cases. Re-titled rule better reflects updated content.

**12.05.22.06      ADDITIONS, AMENDMENTS, OR RESCISSIONS TO DIVISION 40  
UNIVERSITY RULES \***

**Synopsis:**            The recommendation seeks approval for additions, amendments or rescissions to rules in Division 40 of the University Rules.

At the recommendation of the Policy and Procedures Subcommittee of the Semester Conversion Steering Committee, it was determined that a review of all the University Rules was necessary to streamline rules and update language to reflect the university's current policies and the change from quarters to semesters. This process is ongoing.

University Rules under Division 40 are being reviewed by the various divisions whose areas of responsibility align with the specific rule. The additions, amendments, or rescissions identified as of this date are described in Exhibit A.

It is recommended that the Board of Trustees approve these changes to the University Rules.

**Exhibit A  
DIVISION 40  
PROPOSED BOARD RULE CHANGES**

**1. Rule to be Amended**

**Rule 40-13-01** "Financial aid: short term loans"

Rule amended to replace word "quarter" with "semester" due to calendar conversion. Rule amended to replace word "should" with "shall" to state policy more clearly and definitively.

**2. Rule to be Amended**

**Rule 40-13-14** "Financial aid: expense money"

---

\*Filed in the Office of the Board of Trustees

The financial aid expense money Board rule has been amended to reflect the transition from quarters to semesters. The rule has also been updated to provide the Vice President for Finance not only the flexibility to adjust the maximum initial disbursement amount as it relates to semester conversion but the ability to address future changes in federal student financial aid mandates along with other business requirements.

**3. Rule to be Rescinded**

**Rule 40-33-11** “Registration: schedule/bill payment”

Rule to be repealed in its entirety.

Rule to be rescinded because it predominantly contained a collection of Registrar's Office registration procedural information rather than defining Registrar's Office or University policy. Additionally, information contained in rule is out of date, and as it is procedural in nature, always is subject to change for a given academic year.

**4. Rule to be Rescinded**

**Rule 40-33-12** “Registration: consortium cross-registration”

Rule to be repealed in its entirety.

Rule to be rescinded because it predominantly contained a collection of Registrar's Office registration procedural information rather than defining Registrar's Office or University policy. Additionally, information contained in rule is out of date, and as it is procedural in nature, always is subject to change for a given academic year.

**5. Rule to be Rescinded**

**Rule 40-33-13** “Registration: changes in class schedule”

Rule to be repealed in its entirety.

Rule to be rescinded because it predominantly contained a collection of Registrar's Office registration procedural information rather than defining Registrar's Office or University policy. Additionally, information contained in rule is out of date, and as it is procedural in nature, always is subject to change for a given academic year.

**6. Rule to be Rescinded**

**Rule 40-33-14** “Registration: pass fail”

Rule to be repealed in its entirety.

Rule to be rescinded because it predominantly contained a collection of Registrar's Office registration procedural information rather than defining Registrar's Office or University policy. Additionally, information contained in rule is out of date, and as it is procedural in nature, always is subject to change for a given academic year.

**7. Rule to be Rescinded**

**Rule 40-33-17** “Registration: audit”

Rule to be repealed in its entirety.

Rule to be rescinded because it predominantly contained a collection of Registrar's Office registration procedural information rather than defining Registrar's Office or University policy. Additionally, information contained in rule is out of date, and as it is procedural in nature, always is subject to change for a given academic year.

**8. Rule to be Amended**

**Rule 40-40-01** "Military service: students called to active duty or who enlist"

Rule is amended to update reference to satisfactory grade of "S" with passing grade of "P", to extend definition of enrolled to include any or all course(s), and to require appropriate documentation.

**12.05.22.07      ADDITIONS, AMENDMENTS, OR RESCISSIONS TO DIVISION 50  
UNIVERSITY RULES\***

**Synopsis:**            The recommendation seeks approval for additions, amendments or rescissions to rules in Division 50 of the University Rules.

At the recommendation of the Policy and Procedures Subcommittee of the Semester Conversion Steering Committee, it was determined that a review of all the University Rules was necessary to streamline rules and update language to reflect the university's current policies and the change from quarters to semesters. This process is ongoing.

University Rules under Division 50 are being reviewed by the various divisions whose areas of responsibility align with the specific rule. The additions, amendments, or rescissions identified as of this date are described in Exhibit A.

It is recommended that the Board of Trustees approve these changes to the University Rules.

**Exhibit A  
DIVISION 50  
PROPOSED BOARD RULE CHANGES**

**1. Rules to be Amended**

**Rule 50-1-03** "Colleges and divisions: faculties of colleges and divisions and their powers"

Rule amended to state that provost office, under which enrollment management is housed, will determine admissibility. Rule amended to state that colleges now file their degree requirements centrally with the provost office, which is currently done using the eCurriculum system, making current degree requirements publicly accessible in a shared format.

---

\*Filed in the Office of the Board of Trustees

**2. New Rule to be Enacted**

**Rule 50-1-06** “Colleges and divisions: undergraduate academic standing policy”

Rule being created in order to establish a minimum standard across all colleges at the university for good academic standing and continued enrollment. Rule ensures that each college can maintain its own particular standards for continued enrollment within particular programs, which might have greater competition and more demanding requirements than the university minimum.

**3. Rule to be Amended**

**Rule 50-27-01** “Degrees and certificates: general university policy”

Rule amended to replace references to quarters with semesters, to add provision for the awarding of academic minors and certificates, and to add provision for awarding posthumous degrees.

**12.05.22.08      ADDITIONS, AMENDMENTS, OR RESCISSIONS TO DIVISION 70  
UNIVERSITY RULES \***

**Synopsis:**      The recommendation seeks approval for additions, amendments or rescissions to rules in Division 70 of the University Rules.

At the recommendation of the Policy and Procedures Subcommittee of the Semester Conversion Steering Committee, it was determined that a review of all the University Rules was necessary to streamline rules and update language to reflect the university’s current policies and the change from quarters to semesters. This process is ongoing.

University Rules under Division 70 are being reviewed by the various divisions whose areas of responsibility align with the specific rule. The additions, amendments, or rescissions identified as of this date are described in Exhibit A.

It is recommended that the Board of Trustees approve these changes to the University Rules.

**Exhibit A  
DIVISION 70  
PROPOSED BOARD RULE CHANGES**

**1. Rules to be Amended**

**Rule 70-5-02** “Parking: administration”

**Rule 70-5-04** “Parking: decals, permits and key cards”

**Rule 70-5-05** “Parking: decal eligibility”

---

\*Filed in the Office of the Board of Trustees

**Rule 70-5-07** “Parking: variances”

Amendments reflect recent naming changes for the regional and the Victory Parkway campuses and the integration of the College of Applied Sciences into the College of Engineering and Applied Sciences.

**2. Rule to be Amended**

**Rule 70-7-11** “Residence halls: general university policies”

Rule amended to update wording, replacing references to quarters with semesters, and replacing references to central campus with Uptown campus. Rule amended to identify section that refers specifically to full-time students, as opposed to students in general.

**12.05.22.09      DESIGNATION OF UNIVERSITY OFFICERS AND OFFICIALS TO SERVE AS REPRESENTATIVES OF THE UNIVERSITY ON OUTSIDE BOARDS**

**Synopsis:** Annual resolution designating university officers and officials to serve as representatives of the University on outside boards for state ethics, insurance and indemnification purposes.

It is recommended that the Board of Trustees approve a resolution in the form below designating University officers to serve on the boards of outside organizations as representatives of the University. A resolution of this type is passed annually to avoid conflict with state ethics laws, and to assure that University representatives are protected in the event of litigation arising out of their service on boards undertaken on behalf and at the direction of the University:

BE IT RESOLVED, that the officers named on the attached list are hereby designated, as representatives of the University of Cincinnati, to serve as officers, directors or trustees of the organizations set forth next to their respective names, such designees to serve in these capacities as part of their official responsibilities to the University of Cincinnati, entitling them to any insurance or indemnity protection to which officers and employees of the University now are or hereafter may become entitled; and

BE IT FURTHER RESOLVED, that this resolution shall constitute formal direction to the designees to serve on the aforesaid boards.

**12.05.22.10      DONALD F. GUSTAFSON READING ROOM**

**Synopsis:** It is recommended that the Board of Trustees approve the name *Donald F. Gustafson Reading Room* located at McMicken Hall Room 210

For more than three decades, Donald F. Gustafson was the embodiment of the Department of Philosophy. He served the department from 1971-2001 as an active Professor of Philosophy. Don retired in 2001 and continued to serve UC as an Emeritus Professor until his death in 2009. The department believes that while this honor can in no way equal the contribution he made to this university, it is just and deserved to dedicate a room in his honor at historic McMicken Hall.

We recommend the Board of Trustees approve the name *Donald F. Gustafson Reading Room*.

**12.05.22.11 STRYKER CORPORATION SKULL BASE SURGERY LABORATORY**

**Synopsis:** It is recommended that the Board of Trustees approve the name *Stryker Corporation Skull Base Surgery Laboratory* located in Medical Sciences Building

Stryker Corporation, one of the world's leading medical technology companies, donated a gift of \$730,000 in equipment for the training lab and agreed the name "Stryker Corporation" can be included in the naming of the lab.

The lab is 601 sq ft of newly renovated space with new and improved surgical stations, state-of-the-art equipment and technologies in an environment where courses can be televised over the web. This laboratory will be used for temporal bone, endoscopic sinus and cranial base surgery training.

We recommend the Board of Trustees approve the name *Stryker Corporation Skull Base Surgery Laboratory*.

**12.05.22.12 J. HAROLD KOTTE, MD STUDENT STUDY AREA**

**Synopsis:** It is recommended that the Board of Trustees approve the name *J. Harold Kotte, MD Student Study Area* located in G401 Medical Sciences Building

J. Harold Kotte, MD, College of Medicine class of 1937, passed away in the spring of 2011 and the College received his generous estate commitment of \$100,000 this past December. The gift was designated to the College's Annual Fund. After careful consideration it was determined that the student study area in the newly renovated section of the G level within the Medical Sciences Building was an appropriate choice to honor Dr. Kotte's generous gift to the College. Mr. and Mrs. Smyth, Dr. Kotte's daughter and son-in-law, visited the space and felt it would be a fitting tribute.

The hope is that the room can be dedicated appropriately this summer.

We recommend the Board of Trustees approve the name *J. Harold Kotte, MD Student Study Area*.

**12.05.22.13 FISHWICK BUILDING**

**Synopsis:** It is recommended that the Board of Trustees approve the name *Fishwick Building* located at 5121 Fishwick Drive, St. Bernard

The purchase of this building was approved by the Board of Trustees in September 2011. The building is a replacement for the Campus Services Building on Reading Road and will be used for storage of archive records, furniture, old computers and other various purposes.

The name is location-based and therefore follows the policy of Master Plan naming, i.e., a gateway name based on street location.

We recommend the Board of Trustees approve the name *Fishwick Building*.

**Governance and Audit Committee Recommendations**

**12.05.22.14 UNIVERSITY OF CINCINNATI RESEARCH INSTITUTE (UCRI)**

**Synopsis:** Operationalize UCRI

It is recommended that the Board of Trustees approve the following items\* related to UCRI: 1) business plan and commencement of operations; 2) revisions to its Code of Regulations; 3) the Affiliation Agreement between University of Cincinnati and UCRI; and 4) guidelines for nominating and appointing the initial four directors from UC for the UCRI Board of Directors.

**12.05.22.15 AMENDMENT OF THE CODE OF REGULATIONS OF UNIVERSITY HOSPITAL, INC.**

**Synopsis:** It is recommended that the board of trustees approve the amendment of the Code of Regulations of University Hospital, Inc. substituting UC Health as the sole member of the corporation and allowing its conversion to an Ohio limited liability corporation.

In 1996, the university reorganized University Hospital into University Hospital, Inc. (“UHI”), an Ohio nonprofit corporation and leased the land and hospital facilities constituting University Hospital to UHI. Since that time University Hospital has been managed by UC Health, formerly known as The Health Alliance of Greater Cincinnati, pursuant to the lease as well as various operating agreements between the university and UC Health. UHI continues as a separate corporation with its own board of directors, who are also the members of the corporation. While neither the university nor UC Health controls UHI, any amendments to its Articles of Incorporation or Code of Regulations require the approval of the boards of both entities.

UC Health is currently engaged in a reorganization of its subsidiary nonprofit corporations into Ohio limited liability corporations (LLC). The reorganization is being undertaken for tax and tax reporting purposes as well as for marketing and governance advantages, all of which are described in a memorandum prepared by UC Health and included with this recommendation. As part of that reorganization, UC Health is requesting that our Board consent to an amendment of the UHI Code of Regulations under which UC Health will be substituted as the sole member of UHI and thereby have the right to amend the governance documents of UHI and effect its conversion into an LLC. The amendment also removes the requirement that the university board approve such future changes to the governance documents.

The proposed amendment is attached to this recommendation, along with a form of the resolution approving the amendment. It is recommended that the board of trustees approve the amendment to the UHI Code of Regulations.

**Resolution Regarding Consent to  
Amendment of the Code of Regulations of University Hospital, Inc.**

**WHEREAS**, University Hospital, Inc. (“UHI”) is an independent Ohio nonprofit corporation which leases, from the University of Cincinnati (the “University”) pursuant to a

---

\*Filed in the Office of the Board of Trustees

certain 1996 Lease Agreement, certain assets known as “University Hospital,” which assets have been operated since 1996 by UC Health and its predecessor, The Health Alliance of Greater Cincinnati pursuant to the certain Joint Operating Agreement and the certain Operating and Affiliation Agreement by and among the University, UC Health and other parties;

**WHEREAS**, the members of UHI are the members of its Board of Directors;

**WHEREAS**, the members of the Board of Directors of UHI, as its members and directors, have determined that it would be in the best interests of UHI to become fully integrated into UC Health, and to have UC Health become the sole member of UHI, with the ultimate goal to convert UHI into an Ohio nonprofit limited liability company with UC Health as its sole member;

**WHEREAS**, in connection therewith, UHI wishes to amend its Code of Regulations as provided in Exhibit A attached hereto and made a part hereof, which amendment would make UC Health the sole member of UHI, and would permit subsequent amendments to the Code of Regulations of UHI by its sole member without the consent of either the Board of Trustees of the University or the Board of Directors of UC Health;

**WHEREAS**, under the existing Code of Regulations of UHI, any amendment to the Code of Regulations of UHI requires the consent of the Board of Trustees of the University and the Board of Directors of UC Health; and

**WHEREAS**, the University wishes to consent to such amendment.

**NOW THEREFORE, BE IT**

**RESOLVED**, the Board of Trustees of the University of Cincinnati, for and on behalf of the University, does hereby consent to and approve the amendment to the Code of Regulations of University Hospital, Inc., as attached hereto as Exhibit A and made a part hereof.

*Exhibit A*

**UNIVERSITY HOSPITAL, INC.**  
**Resolutions of the Board of Directors to**  
**Amend the Code of Regulations**

**WHEREAS**, the Board of Directors desires to amend the Code of Regulations as set forth in this Amendment and pursuant to Article XIV, Section 2 of the Code of Regulations.

**NOW THEREFORE, BE IT RESOLVED**, that Article II, Sections 1 through 4 of the Code of Regulations are deleted in their entirety and replaced with the following Section:

“1. Members. The members shall have the duties and exercise the rights and privileges conferred upon members of nonprofit corporations by the Nonprofit Corporation Law of Ohio, except as otherwise provided in these Regulations. The members (as described in Article II, Section 3) shall elect the Board of Directors of the Corporation (the “Board”) in accordance with Article III of these Regulations. UC Health shall be the Corporation’s sole Member.”

**FURTHER RESOLVED**, that Article II, Sections 5 through 7 are hereby renumbered as Sections 2 through 4.

**FURTHER RESOLVED**, that Article XIV, Sections 1, 2 and 3 are deleted in their entirety and replaced with the following Section:

“1. Amendments. These Regulations may be amended by the Members as they shall determine from time to time.”

FURTHER RESOLVED, that these amendments shall be effectively immediately; the Code of Regulations is amended only to the extent set forth herein; and the Code of Regulations shall otherwise remain in full force and effect, except as expressly provided by these resolutions.

### **Comments from Chairman Barrett**

The full Board has been present at the Committee Meetings held today and has received the recommendations of the Academic and Student Affairs Committee and the Finance and Administration Committees. The items reviewed and recommended by the Committees are named in the Action Items list at your place with the sole exception of Item #1 of the Finance and Administration Committee which has been tabled. May I have a motion to approve all of the recommended items?

Upon motion of Mrs. Buchanan, seconded by Mr. Chesley, the Board approved the items recommended by the Committees by the roll call vote.

### **Recommendation Nos. 12.05.22.01 through 12.05.22.15**

**AYE:** C. Francis Barrett, Margaret Buchanan, Thomas D. Cassady, Stanley M. Chesley, Gary Heiman, Thomas H. Humes, William C. Portman, and Geraldine B. Warner.

**NAY:** None

**ABSENT:** Robert E. Richardson, Jr.

### **Unfinished Business and New Business**

Mr. Barrett:

Thank you and the items are all approved. I don't believe that there is any unfinished business so we are going to move into new business and have the various reports. I would just like to make a couple of comments. In capacity as trustee I had the opportunity to attend the basketball banquet which was very successful considering that

the basketball team finished as one of the top 16 teams in the nation. Mr. Cassady and Mr. Humes were both with me. Again, I would like to commend Tom Humes for the resolution which he prepared. We presented that at the banquet and it was well received. Another great idea – it was so well received and I want you to know that we got a lot of positive feedback from all of our boosters.

Mr. Humes:

Great, Mr. Chairman.

Mr. Barrett:

I also had the opportunity of attending the UC Foundation Appreciation Dinner on Friday, April 20<sup>th</sup>. I know I was there with some other trustees. Tom, you were there, Stan and Rob Richardson were there. Greg presided and it was great to see all the donors recognized. I had the opportunity the following Saturday morning to address the Foundation Board as you did and again, that's a very, very impressive group of UC supporters. I would like to recognize the College of Law graduation which I attended. Greg, you were there and Tom Humes. Ginger, you were there and it was very impressive event hosted by Dean Billionis. One of the most impressive events that I have had the opportunity to participate in was held last Thursday – attending the Faculty Awards Ceremony in Great Hall. Greg, you presided and Santa, you were there, Dr. Kristi Nelson, Bill Ball, and Richard Harknett, you were presiding, and Ginger you were there as well. To see the accomplishments of our faculty was extremely impressive. Last Friday evening was the College of Engineering – which I usually go to, but I couldn't make it, but Rob Richardson did. Saturday, I went to the Dean's house and he had a reception at his beautiful rural home in Glendale and it was really nice to see students, alumni, and supporters of the College of Engineering. On Sunday, some of us attended the College of Medicine graduation – Wym, you were present at the graduation, Rob Richardson, Ginger, you were also present – a very impressive graduation done by Dr. Tom Boat. Then on Sunday evening some of us, Ginger and myself, went to the Daniel Drake dinner. Tom you usually go to that, but you couldn't make it this year, but that is always an impressive dinner. The Daniel Drake awardees were all there and I think it

was a very large crowd and very impressive. I really enjoyed having the opportunity as your chairman to attend these functions and to see just the broad based support and dedication so many people have to the university in so many different ways. It is extremely impressive to see the relationship to our whole community and city.

We'll now move into the Reports of the Board Representatives and Student Trustees. Since Dr. Harknett has to leave early, would you please comment first as the Faculty Senate report.

### **University Faculty Chair Report**

Dr. Harknett:

Thank you, Mr. Chair. I've got to run off to class so I appreciate the revision. I'll just keep this brief. We will be completing a number of projects and our electoral cycle. We also have our all-university faculty meeting this Thursday so I will be reporting on that as well as a sort of comprehensive year end report which will be my last as Faculty Chair. I'll be introducing you to Richard Miller, from the College of Engineering, who will be taking over as Faculty Chair for the next two years.

Since our last meeting, we had a very important task force report of faculty, students, and staff that looked at the issue of academic integrity, our student code of conduct and sort of thinking about it in a digital context and looked at other universities around the country and looking at challenges that teaching and research in a digital context provides for us. That task force report was completed and was forwarded on to Dr. Ono and Dr. Livingston as Vice President for Student Affairs and has a series of recommendations that we think will enhance the culture of academic integrity across the campus.

I just would like to also add my congratulations to Dr. Ono and Kristi Nelson. The Academic Master Plan really is, as the President said, the rubber meeting the road. We needed a roadmap and the President provided that at his investiture and fleshed that

out in UC2019, but this Academic Master Plan is really an institutional transformation about how we operate which is going to position us for the transformational effects that we hope to have for students, the community, and the world. So, as we go through this, the nitty gritty starts to get lost in it, but this is a very, very exciting time on the academic side of the house and I just want commend the Provost for his leadership and involving the faculty from the bottom up. We're very excited about the prospects of where we're headed.

Mr. Barrett:

Richard, I understand that you will be at the June meeting?

Dr. Harknett:

I will.

Mr. Barrett:

And that will be you last meeting.

Dr. Harknett:

Correct.

Mr. Barrett:

I want you to know, in case something gets lost in all the recognition you get at the June meeting, that I've heard universally nothing but compliments about you from all of the board members and everyone else associated with the university. I'm just so pleased the way you've served the university and you've been just wonderful in your dedication and your positive outlook and all you've contributed. I'm really speaking for all of the board members. I've heard nothing but positive feedback and nothing but compliments and I want to make sure that you know that in case something gets lost next month in all the hoopla.

Dr. Harknett:

I appreciate that and it's just about being positioned for success and we're moving in the right direction. I've been happy to play a little role in that.

Mr. Barrett:

Thank you so much. Are there any questions for Richard before he has to depart to class. Thank you so much. We will see you next month.

Dr. Harknett:

Okay.

Mr. Barrett:

We'll now move back in order and we will hear the Alumni Association Report, Rusty Myers, please.

### **Alumni Association Report**

Mr. Myers:

Thank you, Mr. Chairman. I, too, will be serving out my tenure at the end of June as President of the Alumni Association. Today, I just wanted to recognize and introduce Laurence Jones who is going to be my successor and you will be in very capable hands with Laurence taking over.

Mr. Barrett:

Rusty, will you be at the June board meeting?

Mr. Myers:

I will. We've had a very busy spring schedule and more to come both activities here in Cincinnati and throughout the regional alumni networks. The young professionals quickly sold out a 45 person bus trip to Keeneland next month. Next up on

their calendars is a session with Cincinnati City Council Member P.G. Sittenfeld here at the Alumni Center on May 30<sup>th</sup>.

We co-hosted with the College of Nursing a video feed to a national leader cast event with Provost Ono serving as local MC. The event featured a panel of renowned experts on leadership, drew 240 people to the live streaming event in the Alumni Center, and garnered universally positive reviews.

Our annual Bearcats at the Ballpark with the Reds brought nearly 1,000 UC alumni, staff, and students to the Red's game on May 11<sup>th</sup>. Clermont College Dean Greg Sojka threw out the first pitch and President Williams served as honorary Red's captain. I did try to talk him into penciling in the Aroldis Chapman starting pitcher that day, but Dusty wasn't ready, so. (*Laughter*) And, maybe we would have won if that were the case.

Our picture perfect Alumni Weekend was held this last weekend with well over 1,000 alums returning to campus to participate in a range of posted events by their colleges, organizations, and Alumni Association.

Tomorrow, we will be hosting a Graduating International Student reception where we will strengthen the connections with students who came to UC from overseas and are not preparing to leave for the next step in their careers. We are expecting about 100 attendees who will hear from international faculty members as well as Yu Yin, a graduate of the Lindner College of Business and a UC Alumni Board Member, who came to UC from China and has stayed here in Cincinnati for her career.

We will host our traditional commencement receptions for new grads and their families after each commencement ceremony here on June 9<sup>th</sup> here at the Alumni Center.

And, our annual alumni awards will be presented at the Distinguished Alumni Celebration on June 14<sup>th</sup> and our own UC Trustee, Tom Humes, is the headliner as the

recipient of the William Howard Taft Medal for Notable Achievement and needless to say we are sold out for the dinner already.

Speaking of top awards, Tom Meyer, who is a Student Alumni Council Officer is one of four UC seniors selected to receive the 2012 Presidential Leadership Medal of Excellence. We are very proud of Tom.

Nationally, there has been a lot of growth and vibrancy in our regional alumni networks. Over the past month, we have staged nine events and engagement opportunities in seven different cities and seven more planned in six different networks for the summer months. In the past year, attendance at our network alumni events have risen about 40% – so we’re really getting some traction out in our networks.

We’ve begun planning out activities in conjunction with the Fall Football games in Washington, DC, Toledo, Louisville, Philadelphia, and finally, Miami, Florida the site of the US National Championship game.

Turning to development, the UCAA continues to support philanthropy efforts and build giving opportunities into our ongoing interaction with alums. For example, the high level of current activity around graduating seniors transitioning to young alumni includes a senior class gift, which as of May 10<sup>th</sup> stood at about \$7,000. The gift has been designated by the senior class to support refurbishment of the atrium and reception area of the Alumni Center, which we are extremely appreciative of. The total includes about 50 gifts of \$100 or more.

Additionally, a giving mechanism was added to the online registration process for our events. And, while the sample size is small, the initial results have been very positive.

Finally, there are several ongoing initiatives being implemented by the UC Alumni Association. A point of emphasis this spring is the development and delivery of

messages and touch points to help ease the transition of our Class of 2012 into the UCAA Young Professionals Group. We're really trying to strengthen our ties with both the students and the young alums coming out. Also, in partnership with UC Human Resources and Career Development, we are assembling plans in a partnership with the Bauke Group to present sessions and online materials associated with career development. We plan to roll this program out the first half of Fiscal Year 2013. Lastly, we continue to expand out social media presence. Last year we had seen a 30% increase in the overall following of our social media accounts for both UCAA and Proudly Cincinnati combined. This translates into about 23,000 people who are actively engaged with us in various social media outlets including Facebook, Twitter, LinkedIn, Foursquare, and Pinterest. I think 23,999 follow Provost Ono on Twitter alone. *(Laughter).*

As always, I want to thank the board for your ongoing support of the Alumni Association and I'm Rusty Myers, and I, too, am Proudly Cincinnati.

Mr. Barrett:

Rusty, that's an impressive list of accomplishments and also a challenging list of things to do. That's very, very impressive. Thank you.

Mr. Myers:

Thank you, Fran. I will say, too, that it's really due to the strong staff that we have at UC Alumni and the support of all our volunteers. It is definitely a collective effort.

Mr. Barrett:

That's great. Thank you. We will move to the student trustee reports. Nick Hertlein the undergraduate student is absent, but I understand that Lane Hart is prepared to give the report. Is that right, Lane?

### **Undergraduate Student Report**

Mr. Hart:

Yes. Thank you, Chairman Barrett. Trustee Hertlein has asked me to present his report in lieu of his studying abroad. As I'm certain you've heard from the other stakeholders, Nick wanted to highlight some searches that are going on around the campus.

First, Trustee Hertlein would like to commend, Dr. Ronald Jackson, for his selection in the McMicken Arts & Sciences dean search. Trustee Hertlein had a chance to personally interview him and was extremely impressed by his candidacy. The search committee worked tirelessly to bring the most qualified candidates forth and he's excited to see a Bearcat returning here to his alma mater.

Nick has also been involved in ensuring the student involvement has taken place in the Chief Information Officer's search, the International Vice Provost Search as well as the Libraries Dean Search.

On a personal note, I wanted to add that over the past weekend the 73<sup>rd</sup> annual Sigma Sigma carnival took place at here on Sigma Sigma Commons. It was an incredibly successful event with extremely strong senate support, attendance, stellar fundraising efforts, as well as, favorable weather all coincided to make the event really the talk among students at the beginning of this week. Students are busy working within student groups to ensure that bylaws are ready for the semester conversion. Over the summer and the anticipated transition has been calculated and smooth. That concludes the report of the student trustee. Thank you for your time.

Mr. Barrett:

Lane, thank you. We'll now move on to the Graduate Student Trustee Report –

Portia Watkins. Portia, thank you so much for your service to the university. You've been a great addition to our board and we're going to miss you very much. Thank you so much for everything you've done for us.

### **Graduate Student Report**

Ms. Watkins:

Thank you, Chairman and good morning to all. This morning, my report will be very much reflective about my term as graduate student trustee.

It's hard to believe that two years has come and gone so quickly. My term as graduate student trustee has been one of the most rewarding experiences that I have had at UC thus far. I have been invited to participate on committees that have allowed me to see the efforts that our trustees, administration, faculty, staff and students put into making our university a better place and this has been very inspiring to me.

There have been so many people who have been instrumental in my role as trustee. Susan Stringer, who reminds me very consistently that my board report is due. (*Laughter*) Bob Ambach and BJ Zirger, who made sure that I clearly understood all of the hundreds of pages of the board materials and I appreciate that. Dr. Harknett has served as a consistent example of what I believe faculty should be doing. He has balanced university relations, faculty relations, research and a commitment to student learning and that has been an inspiration for me as someone who wants to be a future faculty member. I also appreciate the information that I continue to receive from Greg Hand and Greg Vehr who keep us all informed about the happenings that are going around the university. I would also like to thank President Williams, Provost Ono and Dean Bob Zierolf for keeping their doors open to me to communicate the needs and concerns of graduate students. I would like to say thanks to all of the board members. Your kindnesses to me over the past two years have made me feel very welcome and I feel like the board of trustees is home for me. Thank you.

Last, but not least, I would like to thank the graduate student body whom I represent. They have kept me on my toes and made sure that I heard their concerns. They entrusted me with their concerns and I have taken them seriously and have advocated on our behalf to the best of my ability – and with pleasure. I hope that I have been a great representative for them and I will continue to serve the graduate student body.

Though my term is indeed ending, my commitment to the graduate students and the university is not. If you don't see me around campus, it's because I am writing my dissertation (*laughter*) but please expect to see me in January when I will be here to help out with Girl Scout cookie sales and on April 26, 2013 (Commencement). I am a third year doctoral student in the Urban Education Leadership Program out of the magnificent College of Education, Criminal Justice and Human Service. I am the future Dr. Portia Watkins and I am Proudly Cincinnati.

Mr. Barrett:

Good for you.

Ms. Watkins:

That concludes my report and thank you. (*Applause*)

Mr. Barrett:

We are going to miss you very much and hope you will be able to come back and visit us once in a while.

Ms. Watkins:

Absolutely.

Mr. Barrett:

I'll now ask for the Student Government Report, Alan Hagerty, outgoing president.

### **Student Government Report**

Mr. Hagerty:

Thank you, Chairman Barrett. Today, I have the distinct pleasure to welcoming the newly elected and recently inaugurated Student Body President Lane Hart. It's a pleasure to have you and I know that you're going to do a good job. Simply put – Lane is one of the hardest workers I've ever met. Just one example, his business cards arrived less than a week after he won. (*Laughter*) So he's certainly on top of it. I think he had already ordered them and was waiting for the results to come in – he was that confident., (*Laughter*) His platform, Students for Students, will continue the strong tradition legacy within student government of serving the rights and interests of the student body. I'm very excited about this upcoming year and I wish I could still be here.

As I reflect on this past year and the experience of Student Body President and working within student government, it has simply been incredible. I have been continuously supported by a passionate band of student leaders especially my close friend and Student Body Vice President Michael Linger. Our platform, “Bringing Your Priorities to MainStreet”, certainly achieved its vision. During our term we focused on five priorities (Safety, Student Life, Academics, Athletics and Sustainability) through various events, initiatives, programs and services. Regarding everything that we've done and accomplished this year (and I could go on for a while) I know I just presented on Friday to the President's Cabinet on all the great things we are doing, I'll just give three of our top accomplishments this past year so you have a flavor of what we've done:

1. Student Government led the initiative to create the advisory committee to Public Safety known as ACOPS. Essentially, ACOPS connects the Department of Public Safety with all parts of the university community which will allow for greater transparency and communication as well as a forum for initiatives to make our community safer.

2. Student Government has helped empower our College Tribunals which are the governing bodies at each respective college. Tribunals host career fairs, service events, educational seminars, and much more. They can make an impact within each college. Basically, Student Government has proposed a new funding model which will allow less restrictive access to funds that directly align with tribunal's mission and purpose. Rather than to having to meet strict criteria of the University Funding Board, College Tribunals will now be funded and overseen directly by Student Government.
  
3. Lastly, Student Government revamped our nighttime student transportation. We took Night Walk, a volunteer-run service within Student Life, and proposed an entirely new model called Night Ride. Pending the final approval, which we just received about a week ago, Nigh Ride will be managed by the Department of Public Safety with paid student workers. It will be entirely more reliable, accountable, and a professional service for the thousands of rides provided for our students each year. We're very proud of that.

Again, I could go into much more detail, but I hope these initiatives highlight many of the successes of this past year.

To President Williams, to esteemed Members of the Board, to the entire UC Community, Faculty and Staff, thank you for an exceptional year during my service at the University of Cincinnati as President of the Student Body. It has been wonderful. I truly look forward to seeing you all at Commencement on June 8<sup>th</sup> and 9<sup>th</sup> when I will receive my Bachelor of Science in Civil Engineering and Masters of Business Administration. On that Friday, I've been chosen as a Senior Class Orator and I look forward to that opportunity.

Following graduation, I'll be joining the 2012 Teach for America Corps in St. Louis, Missouri and after two years of my service at Teach America, I'm strongly considering law school. Nonetheless, I will continue to serve UC on the Bicentennial

Committee and as a proud Bearcat alumnus. So, as I end my term as President, I'll conclude with one of my most treasured and inspirational quotes by Mahatma Ghandi, "Your beliefs become your thoughts, your thoughts become your words, your words become you actions, your actions become your habits, your habits your values, and your values become your destiny." Thank you very much. *(Applause)*

Mr. Barrett:

Alan, thank you for your farewell address. We'll look forward to seeing you at the graduations and congratulations being accepted in Teach America. I have some familiarity with that program and it's a great program. You will find it extremely enriching and you will get a lot out of it – it's wonderful. Thanks for being here and we'll miss you and hope to see you soon. Thanks so much.

Mr. Hagerty:

Thank you.

### **Executive Session**

Mr. Barrett:

I will now call for an Executive session. There will be no business conducted at the conclusion of the Executive session.

Our next regular board meeting will be held in the Russell C. Myers Alumni Center, on Tuesday, June 26, 2012. I'd like to thank all of you for being with us today.

May I have a motion to enter Executive Session for the purpose of considering the appointment, employment, dismissal, discipline, promotion, demotion or compensation of a public employee; and details relative to the security arrangements and emergency response protocols for a public body?

**THREE HUNDRED AND THIRTEENTH MEETING OF THE BOARD OF TRUSTEES**

**MAY THE TWENTY-SECOND TWO THOUSAND AND TWELVE**

Upon motion of Mr. Humes, seconded by Mrs. Warner, the Board voted to enter Executive Session by the following roll call vote:

**AYE:** C. Francis Barrett, Margaret Buchanan, Thomas D. Cassady, Stanley M. Chesley, Gary Heiman, Thomas H. Humes, William C. Portman, III, and Geraldine B. Warner

**NAY:** None

**ABSENT:** Robert E. Richardson, Jr.

**Adjournment**

There being no further business before the Board, and upon proper motion, the meeting adjourned at 12:15 p.m.

C. FRANCIS BARRETT  
CHAIRPERSON

ROBERT E. RICHARDSON, JR.  
SECRETARY