



Information Security & Compliance
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**Information Security and Compliance Committee
Meeting Minutes
University Hall, Room 310
June 15th, 2017**

Present: Mark Stockman, Mel Sweet, Katrina Biscay, Mathew Clayton, Jane Combs, Ed Dadosky, David Baker, Matt Williams, Kyle Hern, Tina Bosworth, Megan Pfaltzgraff, Todd Beekley, Angela Sklenka, Eira Tansey, Rick Grant, Lorre Ratley, Jesse Fatherree, Dianne Brueggemann

Apologies: Brett Harnett, Bo Vykhovanyuk, Tara Wood, Bruce Burton, Michael Miller, Cindy Lusby

New Business

- **Review of April Meeting Minutes**
 - Jesse Fatherree motioned to accept the minutes with no changes; Jane Combs seconded the motion. The committee unanimously approved the minutes.
- **Results of Risk Tabletop**
 - The attached map is an aggregate of all the groups who participated in the risk assessment exercise.
 - There were 34 risk categories taken directly from Educause and distilled down to seven relevant categories/domains for UC (see attached sheet with seven categories).
 - All committees who participated in the risk assessment exercise agreed upon the highest risk factors.
 - **OIS will share the summary of the BOT recommendations later this fall with this committee.**
- **FISMA Taskforce Update**
 - OIS is required to report to Homeland Security on status of compliance this year.
 - Committee goal was to draft recommendations to show compliance with FISMA requirements.

- Committee has recommended implementing AWS and CASB and plans to conduct a proof-of-concept pilot. If pilot is successful, OIS will ask this committee to review and approve the solution before asking IT Council for approval.
- **Policy Updates**
 - Matt reviewed the attached policy status sheet. Most policies have been approved. HIPAA policy is still being processed in the Office of General Counsel.
 - The integrated decision making process at UC is under review and is being revised. Some of the committees who used to review and approve policies have been dissolved with the new administration. A new review process is being developed. Final policy approval for outstanding policies will be delayed until the review and approve process is finalized.
 - The SaaS (cloud policy) was approved by IT Council June 12.
 - As a result of an IT Council request, IS&CC will hold an annual policy review period instead of reviewing each policy near the renewal date. **OIS will inform the IS&CC Committee when the annual review period is established.**
- **Miscellaneous**
 - Future topics:
 - Making available ePO reports and other security related metrics, such as penetration tests.
 - Penetration tests this year were significantly improved over previous years
 - Update on Enterprise Risk Management
 - Business continuity/disaster recovery process updates? After some discussion the committee decided **to invite Don Rainwater to a future committee meeting to discuss enterprise service disaster recovery. Matt will contact the co-chairs of CSSI committee to discuss enterprise IT systems disaster recovery/business continuity planning.**
 - Discuss training requirements/opportunities based on policies.
 - Develop better communication strategies and procedures for security compliance issues for existing policies without compromising usability
 - Follow up on integrated decision making process
- **Adjournment**
 - Committee adjourned at 11:01 AM.

Information Security Policy Status 6/12/2017

Policy Title	Policy Number	Last Approved Date	Scheduled for Next Review	Current Status	IS&CC	ITC	IDM	Notes
					Approved Date	Approved Date	Approved Date	
Data Governance & Classification	9.1.1	1/25/17	11/1/17	Approved				
Vulnerable Electronic Systems	9.1.2	11/21/16	9/18/17	Approved				
Acceptable Use of University IT Resources	9.1.3	11/21/16	8/21/17	Approved				
Electronic Mail	9.1.4	11/21/16	10/2/17	Approved				
Risk Acceptance	9.1.6	7/20/16	4/24/17	Approved				
Clean Desk	9.1.7	7/6/16	5/8/17	Approved				
HIPAA Information Security	9.1.10	1/25/17	N/A	Approved for Retirement	1/19/17	1/25/17	N/A	Approved for Retirement once HIPAA privacy policy approved by OGC.
Privileged Access	9.1.14	New Policy	N/A	Governance	12/15/16			Will be presented to ITC in August
Password	9.1.23	11/21/16	10/9/17	Approved				
Data Center Visitor	9.1.25	7/20/16	5/22/17	Approved				
Information Security Review	9.1.27	04/07/17	N/A	Approved				
Information Security Incident Management & Response	9.1.50	New Policy	N/A	Governance	4/18/16	10/26/16		To be presented to IDM in the near future.
Infrastructure, Platform, Software as a Service	9.1.5	New Policy	N/A	Governance	5/18/17	06/12/2017		To be presented to IDM in the near future.

IT Risk Assessment Heat Map Aggregate

